

ANDREW M. CUOMO Governor

ALFONSO L. CARNEY, JR. Chair

REUBEN R. MCDANIEL, III President & CEO

# SECTION C

**ALBANY (HEADQUARTERS):** 515 Broadway, Albany, NY 12207 | 518-257-3000 **NEW YORK CITY:** One Penn Plaza, 52nd Floor, New York, NY 10119 | 212-273-5000

**BUFFALO:** 539 Franklin Street, Buffalo, NY 14202 | 716-566-4400

ROCHESTER: 3495 Winton Place, Building C, Suite 1, Rochester, NY 14623 | 585-461-8400

DORMITORY AUTHORITY STATE OF NEW YORK

WE FINANCE, DESIGN & BUILD NEW YORK'S FUTURE.

www.dasny.org



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# FOR DEMO PURPOSES ONLY CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

	( )			
PRODUCER		CONTACT NAME:		
Your Agent or Broker		PHONE (A/C, No. Ext):	FAX (A/C, No):	
		E-MAIL ADDRESS:		
		INSURER(S) AFFOR	DING COVERAGE	NAIC#
		INSURER A: Your Insurance Comp	pany	
INSURED		INSURER B: Your Insurance Comp	pany	
		INSURER C: Your Insurance Comp	pany	
Your Name		INSURER D: Your Insurance Comp	pany	
		INSURER E: Your Insurance Comp	pany	
		INSURER F: Your Insurance Comp	pany	
COVEDAGES	CEDTIFICATE NUMBED:		DEVISION NUMBED:	_

COVERAGES CERTIFICATE NUMBER: REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR			SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMIT	s	
LIK	GENERAL LIABILITY	INSK	WVD	TOLIOT KOMBEK	(MINIOD/1111)	(MIM/DD/1111)	EACH OCCURRENCE	\$	2,000,000
	X COMMERCIAL GENERAL LIABILITY						DAMAGE TO RENTED PREMISES (Ea occurrence)	\$	50,000
	CLAIMS-MADE X OCCUR						MED EXP (Any one person)	\$	5,000
Α	X   Include Independent Contractors	Υ		XYZ-123	MM/DD/YY	MM/DD/YY	PERSONAL & ADV INJURY	\$	2,000,000
							GENERAL AGGREGATE	\$	2,000,000
	GEN'L AGGREGATE LIMIT APPLIES PER:						PRODUCTS - COMP/OP AGG	\$	2,000,000
	POLICY PRO- JECT LOC							\$	
	AUTOMOBILE LIABILITY						COMBINED SINGLE LIMIT (Ea accident)	\$	\$1,000,000
	X ANY AUTO						BODILY INJURY (Per person)	\$	
В	X ALL OWNED X SCHEDULED AUTOS			ABC-345	MM/DD/YY	MM/DD/YY	BODILY INJURY (Per accident)	\$	
	HIRED AUTOS NON-OWNED AUTOS						PROPERTY DAMAGE (Per accident)	\$	
	X							\$	
	X UMBRELLA LIAB X OCCUR						EACH OCCURRENCE	\$	As Needed
С	EXCESS LIAB CLAIMS-MADE	Υ		LLL-555	MM/DD/YY	MM/DD/YY	AGGREGATE	\$	
	DED RETENTION\$							\$	
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY						WC STATU- OTH- TORY LIMITS ER		
ח	AND EMPLOYERS LIABILITY  ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED?	N/A		WCB-678	MM/DD/YY	MM/DD/YY	E.L. EACH ACCIDENT	\$	
	(Mandatory in NH)			1102 010			E.L. DISEASE - EA EMPLOYEE	\$	1,000,000
	If yes, describe under DESCRIPTION OF OPERATIONS below						E.L. DISEASE - POLICY LIMIT	\$	1,000,000
Е	Builders Risk - REQUIRED FOR: OMH, OPWDD, OASAS, NYCHA			MCK-777	MM/DD/YY	MM/DD/YY	Contract Value		

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

Project Name: DASNY NYC Office - Furnish, deliver and make ready for use Data Center equipment.

Facility: DASNY, 28 Liberty Street, New York, NY 10005

The following are Additional Insureds as respect to this project: the Dormitory Authority-State of New York; the State of New York; and the Construction Manager. Proof of 30 Days Notice of Cancellation in favor of the Dormitory Authority of the State of New York is required for all insurance policies.

CERTIFICATE HOLDER	CANCELLATION		
Dormitory Authority- State of New York Attn: Risk Management 515 Broadway	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.		
Albany, New York 12207	AUTHORIZED REPRESENTATIVE Your Agent/Broker Representative		

4	-	ı
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AC	CORD <sub>TM</sub> CERTIFIC	CATE OF I	LIABIL	ATY INS	SURANCE		DATE	(MM	/DD/YY)
	DUCER								
				RIGHTS UF	ON THE CERTIFICATE	MATTER OF INFORMATION HOLDER. THIS CERTIFICA	ATE DOES	NOT	
Prod	ducer			EXTEND OF	RALTER THE COVERAGE	AFFORDED BY THE POLICII	ES BELOW.		
					INSURERS	AFFORDING COVERAG	jE		
INSURI	ED			INSURER A	Insurer A	ı			
				INSURER B	Insurer B				
	1/0			INSURER C					
insur	ed (Contractor), Address			INSURER D					
THE D	OLICIES OF INSURANCE LISTED BELOW HAVE	DEEN ISSUED TO	THE NAME		THE DOLLOW DEDICE	INDICATED NOTWITH	STANDING	- AN	IV
REQU	IREMENT, TERM OR CONDITION OF ANY CON AIN. THE INSURANCE AFFORDED BY THE POLI	NTRACT OR OTHE	R DOCUME	NT WITH RESI	PECT TO WHICH THIS	CERTIFICATE MAY BE IS	SSUED OF	MA	ΛY
AGGR	EGATE LIMITS SHOWN MAY HAVE BEEN RED	UCED BY PAID CL	AIMS.	1					
INSR LTR	TYPE OF INSURANCE	POLICY NUMBER		EFFECTIVE (MM/DD/YY)	POLICY EXPIRATION DATE (MM/DD/YY)	LI	IMITS		2
Α	GENERAL LIABILITY	xxxxxxxxxx	x xx/x	x/xxxx	XX/XX/XXXX	EACH OCCURRENCE	(	Ś	3.000.000
^	X COMMERCIAL GENERAL LIABILITY	7000000000	70,71	,4,000	7047047000	FIRE DAMAGE (Any or		\$	100,000
	CLAIMS MADE X OCCUR					MED EXP (Any one pe		\$	10,000
						PERSONAL & ADV INJ		\$	3,000,000
	X Waiver of Subrogation					GENERAL AGGREGATI		<del>\$</del>	3,000,000
	GENERAL AGGREGATE LIMIT APPLIES PER	Waiver car	n be stated	in the descrip	tion box below.	PRODUCTS-COMP/OF	AGG	\$	3,000,000
Α	POLICY PROJECT LOC  AUTOMOBILE LIABILITY	xxxxxxxxxxx	x xx/x	x/xxxx	XX/XX/XXXX	COMBINED SINGLE LI	IMIT	\$	3,000,000
^	X ANY AUTO	7000000000	7.0.47		7047047000	(Ea accident)		•	0,000,000
	X ALL OWNED AUTOS					BODILY INJURY		\$	
	X SCHEDULED AUTOS					(Per person)			
	X HIRED AUTOS					BODILY INJURY		\$	
	X NON-OWNED AUTOS X Waiver of Subrogation	Waiver can	be stated in	the descript	ion box below.	(Per accident) PROPERTY DAMAGE	<del></del>	\$	
	walver of Subrogation					(Per accident)		Þ	
	COMMERCIAL BLANKET BOND X					BLANKET BOND		\$	
Α	EXCESS LIABILITY	XXXXXXXXXXX	XX XX/X	X/XXXX	XX/XX/XXXX	EACH OCCURRENCE	\$	10	,000,000
••	X OCCUR CLAIMS MADE					AGGREGATE		\$	
	DEDUCTIBLE								
	RETENTION \$								
	WORKER'S COMPENSATION AND	VVVVVVVVVVVVV	// //	v /vvv	VV /VV /VVVV	V WC STATU	OTHER.		
В	EMPLOYER'S LIABILITY	XXXXXXXXXXXX	^^	X/XXXX	XX/XX/XXXX	X WC STATU- C	OTHER		
	X	14/=i h	 			E.L. EACH ACCIDENT	- 1	\$	3,000,000
		waiver can b	e stated in	tne descripti	on box below.	E.L.DISEASE-EA EMPL	.OYEE	\$	3,000,000
						E.L.DISEASE-POLICY L	.IMIT	\$	3,000,000
	OTHER								
DESCRI	I PTION OF OPERATIONS/LOCATIONS/VEHICL	ES/EXCLUSIONS	ADDFD RY F	NDORSEMEN	T/SPECIALPROVISIO	ONS			
	iner and Theobald, Inc., CBRE, Inc., Summit Glory,						onts as the	nir in	torost
	ppear," shall be named as additional insureds with								
	nobile Liability Policy, and Worker's Compensation,							•	t to the
	t any of such parties is finally determined to be sol actor's insurance shall be primary and all insurance			-	•••				
	nce and shall not contribute with Contractor's insu	• •	•	• • •	, , ,	•		•	sun
Prope	rty Holdings Limited's insurance.								
CERTIF	ICATE HOLDER/ADDITIONAL INSURED, INS	URED LETTER			CANCELLATIO	ON			
		<u> </u>	SHOULD AN	IY OF THE ARC	OVE DESCRIBED POLIC	IES BE CANCELLED FFO	RE THF FX	PIR4	ATION
Sun	nmit Glory LLC,					DEAVOR TO MAIL 30 DA			
	E, Inc. and all related entities	J				EFT, BUT FAILURE TO DO			
	iberty Street		OBLIGATIO	N OR LIABILITY	OF ANY KIND UPON	THE INSURER, ITS AGEN	TS OR REP	RES	ENTATIVES.
Nev	v York, NY 10005	Γ	AJTHORIZED	REPRESENTATI	VE				
	A	"good" or "acc	eptable" (	OI must ha	ve the following:				
1. Poli	cies are in effect (i.e., are not expired).					ation. (Either of the 2	wave cha	MAIN	on the
	ts meet or exceed Agreement. The Employer's Liabi	lity Insurance, Comr	mercial	4. Certifie Sample		מנוטוו. נבונוופו טו נוופ 2	ways 5110	vvíl	on the
	ility Insurance, & Auto Liability Insurance requireme			•	•	ributory. (Either of the	e 2 ways	sho	wn on the
Umb	orella/Excess Liability Policy.			Sample		, ( :::::::::::::::::::::::::::::::::::	~,-	,	
3 Add	litional insured phrase matches the Sample COI exact	rtlv		•	amand an Cautificata	II-I-I			

- 6. CBRE Named as Certificate Holder7 30-Day Cancellation (we will allow 10-day cancel for non-payment.)

# **Vendor Notice of Insurance Required**

**THE COVERAGE INDICATED BELOW MUST** be provided through an insurance company which carries an A.M. Best rating of no less than "A-" "VIII." A certificate indicating this coverage with <u>separate</u> Additional Insured Endorsement shall be on file in our office **PRIOR TO COMMENCEMENT OF THE WORK**, and shall provide for thirty (30) days prior written notice of cancellation or reduction of coverage.

**PAYMENT WILL BE WITHHELD** for work performed under your Service Contract until evidence of **ALL** insurance coverages required is received by CBRE.

#### The **MINIMUM REQUIREMENTS ACCEPTABLE** are:

1. COMMERCIAL GENERAL LIABILITY \$3,000,000 Each Occurrence Bodily Injury and Property Damage \$3,000,000 Aggregate

2. AUTOMOBILE LIABILITY COVERAGE \$3,000,000 Combined Single Bodily Injury and Property Damage Limit Each Occurrence

This coverage must include coverage for Owned, Hired, and Non-Owned Vehicles. If no owned vehicles, Hired and Non-Owned coverage is required.

3. WORKERS' COMPENSATION EMPLOYER'S LIABILITY LIMIT

STATUTORY REQUIREMENTS \$3,000,000 each accident.

Statutory coverage as required by state in which the work is to be performed. If you are self-employed with no other employees, a qualified self-insured, or not required to carry Workers' Compensation, you must submit a letter stating this, or a copy of your certificate of self-insurance. A Waiver of Subrogation endorsement issued in favor of C B Richard Ellis and Owner must be attached to the certificate.

4. ADDITIONAL INSURED ENDORSEMENTS – (Form "B" CG 2010 107/04 or equivalent and CG 20 37 07/04). The terms of your Service Contract require you to name CBRE and Owner (insert legal entities named on contract) as an Additional Insured on the endorsements to your policy (attached to the certificate). The endorsements may include the following clause, or a separate endorsement may be issued. This endorsement must be attached to the certificate:

## **PRIMARY COVERAGE**

"The insurance afforded by this policy for the additional insured(s) is primary insurance and any other insurance maintained by or available to the additional insured(s) is non-contributory"

**Note:** We ask the additional insured endorsement provide coverage for "all completed operations" and "all on-going operations performed for CBRE and Owner <u>(insert legal entities named on contract)</u>" in order to minimize paper work for you and us.



## 5. INCREASED LIABILITY COVERAGE (Excess/Umbrella)

Vendors may fulfill their insurance obligations through the use of any combination of primary and umbrella coverage. This coverage shall be primary to Owner's and Manager's insurance and will cover Owner and Manager as Additional Insured for claims arising out of the Vendor's ongoing and completed operations for or on behalf of Owner or Manager. Owner and Manager shall be named as Additional Insured by endorsement to General Liability and Auto Liability insurance policies.

### **PROFESSIONAL LIABILITY**

If a Vendor's work involves professional design or engineering, special evidence of \$1,000,000 in professional liability coverage may also be required by Owner.



# ADDITIONAL INSURED – OWNERS, LESSEES OR CONTRACTORS – SCHEDULED PERSON OR ORGANIZATION

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART

### **SCHEDULE**

Name Of Additional Insured Person(s) Or Organization(s):	Location(s) Of Covered Operations
Information required to complete this Schedule, if not shown	above, will be shown in the Declarations.

- A. Section II Who Is An Insured is amended to include as an additional insured the person(s) or organization(s) shown in the Schedule, but only with respect to liability for "bodily injury", "property damage" or "personal and advertising injury" caused, in whole or in part, by:
  - **1.** Your acts or omissions; or
  - The acts or omissions of those acting on your behalf:

in the performance of your ongoing operations for the additional insured(s) at the location(s) designated above. **B.** With respect to the insurance afforded to these additional insureds, the following additional exclusions apply:

This insurance does not apply to "bodily injury" or "property damage" occurring after:

- All work, including materials, parts or equipment furnished in connection with such work, on the project (other than service, maintenance or repairs) to be performed by or on behalf of the additional insured(s) at the location of the covered operations has been completed; or
- 2. That portion of "your work" out of which the injury or damage arises has been put to its intended use by any person or organization other than another contractor or subcontractor engaged in performing operations for a principal as a part of the same project.

# WAIVER OF TRANSFER OF RIGHTS OF RECOVERY AGAINST OTHERS TO US

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART PRODUCTS/COMPLETED OPERATIONS LIABILITY COVERAGE PART

#### **SCHEDULE**

Name Of Person Or Organization:	
į.	
Information required to complete this Schedule, if not shown above, will be shown in the	Declarations.

The following is added to Paragraph 8. Transfer Of Rights Of Recovery Against Others To Us of Section IV - Conditions:

We waive any right of recovery we may have against the person or organization shown in the Schedule above because of payments we make for injury or damage arising out of your ongoing operations or "your work" done under a contract with that person or organization and included in the "products-completed operations hazard". This waiver applies only to the person or organization shown in the Schedule above.

# ADDITIONAL INSURED – OWNERS, LESSEES OR CONTRACTORS – SCHEDULED PERSON OR ORGANIZATION

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART

#### **SCHEDULE**

Name Of Additional Insured Person(s) Or Organization(s)	Location(s) Of Covered Operations
DASNY, State of New York, CLIENT	Project or installation location
Any language like "as per written contract" is not acceptable - DASNY, etc. must be named	
Information required to complete this Schedule, if not sho	L

- A. Section II Who Is An Insured is amended to include as an additional insured the person(s) or organization(s) shown in the Schedule, but only with respect to liability for "bodily injury", "property damage" or "personal and advertising injury" caused, in whole or in part, by:
  - 1. Your acts or omissions; or
  - The acts or omissions of those acting on your behalf;

in the performance of your ongoing operations for the additional insured(s) at the location(s) designated above.

#### However:

- The insurance afforded to such additional insured only applies to the extent permitted by law: and
- 2. If coverage provided to the additional insured is required by a contract or agreement, the insurance afforded to such additional insured will not be broader than that which you are required by the contract or agreement to provide for such additional insured.

**B.** With respect to the insurance afforded to these additional insureds, the following additional exclusions apply:

This insurance does not apply to "bodily injury" or "property damage" occurring after:

- All work, including materials, parts or equipment furnished in connection with such work, on the project (other than service, maintenance or repairs) to be performed by or on behalf of the additional insured(s) at the location of the covered operations has been completed; or
- 2. That portion of "your work" out of which the injury or damage arises has been put to its intended use by any person or organization other than another contractor or subcontractor engaged in performing operations for a principal as a part of the same project.

- C. With respect to the insurance afforded to these additional insureds, the following is added to Section III Limits Of Insurance:
  - If coverage provided to the additional insured is required by a contract or agreement, the most we will pay on behalf of the additional insured is the amount of insurance:
  - 1. Required by the contract or agreement; or

**2.** Available under the applicable Limits of Insurance shown in the Declarations;

#### whichever is less.

This endorsement shall not increase the applicable Limits of Insurance shown in the Declarations.

HIGHLIGHTS ADDED TO THE FORM

# ADDITIONAL INSURED – OWNERS, LESSEES OR CONTRACTORS – COMPLETED OPERATIONS

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART PRODUCTS/COMPLETED OPERATIONS LIABILITY COVERAGE PART

#### **SCHEDULE**

Name Of Additional Insured Person(s) Or Organization(s)	Location And Description Of Completed Operations
DASNY, State of New York, CLIENT	Project or installation location
Any language like "as per written contract" is not acceptable - DASNY, etc. must be named	
Information required to complete this Schedule, if not sh	own above, will be shown in the Declarations.

A. Section II – Who Is An Insured is amended to include as an additional insured the person(s) or organization(s) shown in the Schedule, but only with respect to liability for "bodily injury" or "property damage" caused, in whole or in part, by "your work" at the location designated and described in the Schedule of this endorsement performed for that additional insured and included in the "products-completed operations hazard".

#### However:

- 1. The insurance afforded to such additional insured only applies to the extent permitted by law; and
- 2. If coverage provided to the additional insured is required by a contract or agreement, the insurance afforded to such additional insured will not be broader than that which you are required by the contract or agreement to provide for such additional insured.

B. With respect to the insurance afforded to these additional insureds, the following is added to Section III – Limits Of Insurance:

If coverage provided to the additional insured is required by a contract or agreement, the most we will pay on behalf of the additional insured is the amount of insurance:

- 1. Required by the contract or agreement; or
- Available under the applicable Limits of Insurance shown in the Declarations;

#### whichever is less.

This endorsement shall not increase the applicable Limits of Insurance shown in the Declarations.

HIGHLIGHTS ADDED TO THE FORM

# PRIMARY AND NONCONTRIBUTORY – OTHER INSURANCE CONDITION

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART PRODUCTS/COMPLETED OPERATIONS LIABILITY COVERAGE PART

The following is added to the **Other Insurance** Condition and supersedes any provision to the contrary:

## Primary And Noncontributory Insurance

This insurance is primary to and will not seek contribution from any other insurance available to an additional insured under your policy provided that:

(1) The additional insured is a Named Insured under such other insurance; and

(2) You have agreed in writing in a contract or agreement that this insurance would be primary and would not seek contribution from any other insurance available to the additional insured.



ANDREW M. CUOMO Governor

ALFONSO L. CARNEY, JR.

**GERRARD P. BUSHELL, Ph.D.** President & CEO

## Memorandum

**TO:** DASNY Contractors & Consultants

FROM: Jamie Pelis- Procurement

**DATE:** August 30, 2017

**RE:** 30 Day Notice of Cancellation

Your contract with the Dormitory Authority of the State of New York (DASNY) requires that your insurance coverage provide the Authority with at least 30 days written notice prior to cancellation, non-renewal, or material change of your insurance policy.

In the event that DASNY's Procurement unit receives your insurance information on an ACORD Certificate of Liability Insurance form (ACORD 25 2016/03), your insurance agent/broker will need to provide information regarding the policy's terms and conditions, as they pertain to Notice of Cancellation, by adding a comment in the Description of Operations/Locations/Vehicles section of the Certificate, or by referencing the applicable policy section or endorsement on the Certificate and attaching that document for our review.

If the policy does not provide at least 30 days notice to the Authority as required by contract, the Authority will ask you to endorse the policy accordingly, and to provide evidence of the change via a copy of that endorsement.

# **Insurance Requirements**

## **Certificate of Liability Insurance**

Sample Accord Certificate is attached.

Please make sure the 30 Days Written Notice Clause Reads as Follows on the Certificate: EXPIRATION DATE THEREOF, THE ISSUING COMPANY MAIL <u>30</u> DAYS WRITTEN NOTICE "TO DASNY".

# **Disability Benefits**

DB-120.1 or DB-820/829 (5/06 or later) - Certificate of Disability Benefits. The insurance carrier will provide a completed form as evidence of in-force coverage.

# **Workers Comp**

- 1. DB-155- Certificate of Disability Self Insurance. The NYS Workers' Compensation Board's Self Insurance Office will provide a completed form. C-105.2 (9/07 or later) Certificate of Workers' Compensation Insurance. The insurance carrier will provide a completed form as evidence of in-force coverage.
- 2. U-26.3- Certificate of Workers' Compensation Insurance from the State Insurance Fund. The State Insurance Fund will provide a completed form as evidence of in-force coverage.
- 3. GSI-105.2 /SI-12- Certificate of Workers' Compensation Self Insurance. The NYS Workers' Compensation Board's Self Insurance Office or the contractor's Group Self Insurance Administrator will provide a completed form.

# Dormitory Authority – State of New York Contractor's Certifications pursuant to State Finance Law § 139-j and § 139-k

This form shall be completed and submitted with your bid. Failure to complete and submit this form may result in a determination of non-responsiveness and disqualification of the bid.

I.	Со	Contractor Affirmation relating to procedures governing permissible contacts:							
	(	Contractor Must (	Check Applicable	e Box)					
	C	Contractor: □ af	firms	□ doe	s not affirm				
		Oormitory Authori	ty's procedures i	elative	grees hereinafter to comply with the to permissible contacts for this procuremer (3) and § 139–j (6) (b).	ıt			
II.					on-Responsibility and Prior Contract e 2005 Procurement Lobbying Law:				
1.					in State Finance Law § 139-j and § 139-k ne Contractor was not responsible?				
		No			Yes				
2.	ir fa	ncomplete inform ailure to comply v ermissible conta	ation required by vith the requirem	/ State l	s) the intentional provision of false or Finance Law § 139-j and § 139-k, and/or th State Finance Law § 139-j (3) relating to	е			
		No f yes, please prov Attach additional			Yes ch finding of non-responsibility below.				
Gov	ernm	ental Entity:							
	Date	e of Finding:							
	Basis	of Finding:							
		-							
		_							
		_							
		-							
		_							

# Dormitory Authority – State of New York Contractor's Certifications pursuant to State Finance Law § 139-j and § 139-k

3. Has any "governmental entity" as defined in State Finance Law § 139-j and § 139-k terminated or withheld a procurement contract with the Contractor due to the intentional provision of false or incomplete information required by such Laws and/or the failure to comply with the requirements of State Finance Law § 139-k(3) relating t permissible contacts?									
		No			Yes				
	If yes	, please pr	ovide details be	low. (Atta	ch additional pa	ges, if necessary)			
Gover	nment	al Entity:					_		
Date of	Termi	ination or V	Vithholding of C	ontract:					
Basis o	f Term	nination or \	Withholding of C	Contract:			_		
							_		
							_		
							_		
may co Section impriso provide	nstitut 210.3 nment d to th	e a felony u 35 or Section of up to fiv	under Penal Lav on 210.45, and r re years under 1 y Authority with	v Section 2 nay also b 8 U.S.C. S	210.40 or a misc e punishable by Section 1001; ar	e or misleading inforn lemeanor under Pena a fine of up to \$10,00 nd states that all inforn aw § 139–j and § 139	al Law 00 or mation		
		Signatu	ıre			Date			
	Contr	ractor: _					_		
	Ad	dress: _					_		
	١	_ Name: _					_		
		Title: _					_		