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**New York State
Parks, Recreation and
Historic Preservation**

New York Statewide Investment in More Swimming (NY SWIMS)

**Informational Webinar
May 15, 2024**

Presentation Overview

- Request for Applications (RFA) Timeline
- Summary of Questions Received
- NY SWIMS Program Overview
- Review of the RFA and Appendices
- Guidelines for Submitting a Complete Application



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Disclaimer

This applicant webinar is for informational purposes only. The information provided is intended to provide general guidance with respect to the application process and the NY SWIMS program and should not be construed as final approval or disapproval of any particular project or project costs.

Potential Applicants must read the Request for Applications (RFA) to determine if a project would be eligible for funding and if it meets the requirements set forth in the enabling NY SWIMS language and RFA.



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RFA Timeline

<u>Event:</u>	<u>Date:</u>
Funding Opportunity Announcement.....	5/1/2024
Open Application Question Period Begin.....	5/1/2024
Applicant Webinar	5/15/2024
Deadline to Submit Questions.....	5/29/2024
Answers Posted By.....	6/12/2024
Application Submission Period.....	6/13/24 – 7/12/2024
Application Scoring	7/15/24 – 8/12/2024
Awards Announced by.....	8/28/2024



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Summary of Questions Received to date



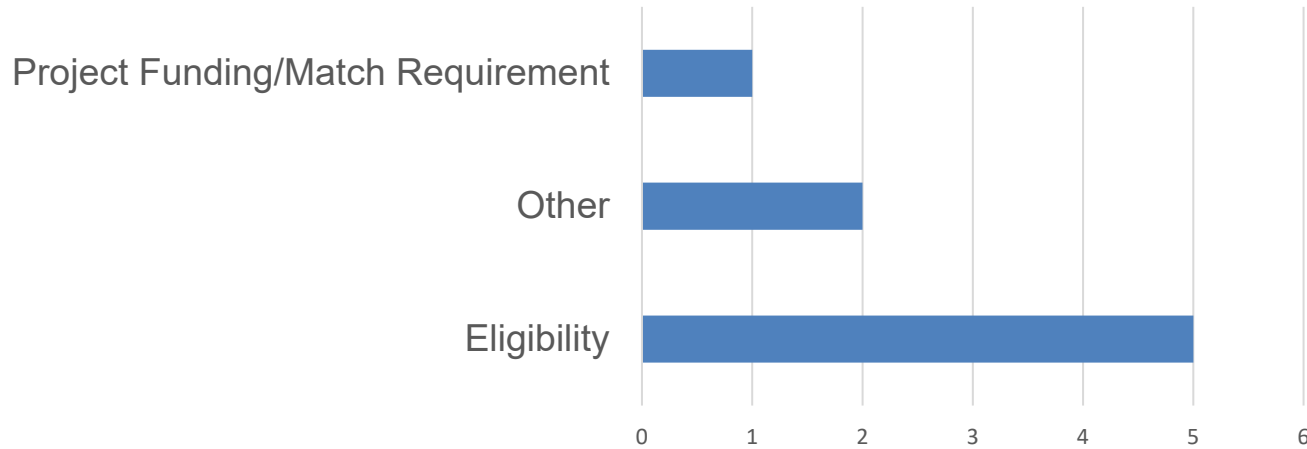
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Survey Questions to date by Category

NY SWIMS Survey Questions



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Survey Questions to date - Responses

➤ Eligibility Questions

- Round One of the NY SWIMS program is for Municipalities as defined in Section 2.1 of the RFA.
- Municipalities may submit more than one application; however, regional diversity is a goal.
- Project costs can include ancillary features to a Municipal Swimming Facility and/or natural swimming area, including splash pads, concessions stands, picnic areas and playgrounds, but these features can only account for up to 10% of the total Grant amount.
- The Project Need scoring criteria in NY SWIMS Round One supports the Governor's commitment to build out municipal pools in high-need areas, to connect New Yorkers to the State's rivers and lakes, deploy pools in urban environments and invest in State parks and pools.

➤ Other questions:

- NY SWIMS is a capital program. No operating funding is provided.

➤ Project funding/Match requirements:

- Municipal funds, which may include other federal, or State grants may be used toward the 20% match requirement.



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NY SWIMS Program Overview



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New York Statewide Investment in More Swimming (NY SWIMS)

NY SWIMS, overseen by NYS OPRHP and administered by DASNY, will create a competitive Grant program to facilitate the renovation and construction of Municipal Swimming Facilities and/or natural swimming areas in Underserved Communities across the State.

NY SWIMS Grants can be used to fund the design and construction or renovation of swimming pools and natural swimming areas along with other related capital expenses, including site infrastructure, public restrooms and changing rooms, land acquisition, and other capital costs necessary to effectuate the NY SWIMS initiative.



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New York Statewide Investment in More Swimming (NY SWIMS)

The 2024-2025 Enacted Budget included \$150 million in capital funding for the NY SWIMS initiative to be awarded to Municipalities and not-for-profit entities through multiple competitive funding opportunities that will award grants to qualified applicants.

This current round will only be open to Municipalities and Indian Nations with not less than \$60 million and no more than \$90 million available for awards.



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Review of the Request for Applications (RFA)

- RFA Key Points
- RFA Information
- Eligible Projects and Costs
- Scoring Criteria



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NY SWIMS RFA Key Points

NY SWIMS Round One is for Municipalities as defined in Section 2.1 of the RFA, to provide swimming opportunities to Underserved Communities.

There is a Two-Step Selection process. Applicants must achieve a threshold Project Need score of 20 in Step One to move forward in scoring to Step Two.

Applicants must achieve an overall score of 60 out of 100 points. Applicants with the highest scores of 60 and above shall be awarded SWIMS grants to the extent funding under the RFA is available.

NY SWIMS requires Applicants to contribute matching funds in a 1:4 ratio.

- Therefore, the Grant is expected to cover no more than 80% of the maximum Project cost and the Municipality will contribute at least the remaining 20% (“Matching Funds”).



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NY SWIMS RFA Information

The link for the RFA Information can be found here:
<https://www.dasny.org/SWIMS> and includes the following:

NY SWIMS RFA

[Appendix 1 NY SWIMS Application \(with Applicant Worksheet to Calculate Project Need\)](#)

[Appendix 2 Certificate of Municipal Site Control](#)

[Appendix 3 Frequently Asked Questions \(FAQ\)](#)

[Appendix 4 Sample Grant Disbursement Agreement \(GDA\)](#)

[Appendix 5 NY SWIMS Scoring Template](#)



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NY SWIMS Eligible Projects and Costs

- Capital costs including acquisition, demolition, design, construction, major renovation, reconstruction, improvement, modernization or rehabilitation of a Municipal Swimming Facility and/or natural swimming area or related eligible improvements thereto and required amenities under State Sanitary Code. This includes public restrooms, bathhouses, showers, shade structures, and pavilions.
- Ancillary features to a Municipal Swimming Facility and/or natural swimming area including splash pads, concession stands, picnic areas, and playgrounds are also eligible for reimbursement, but can only account for up to 10% of the total Grant amount.
- Costs to be paid from Grant funds cannot have been incurred before April 1, 2024. Costs incurred subsequent to January 1, 2023 are eligible to be included as part of the 20% match. Such costs must meet all of the requirements of the NY SWIMS program and this RFA and must be documented to the satisfaction of NYS OPRHP and DASNY.
- Dam removal may be eligible if used to enhance recreation (such as to open up a river or increase safety for aquatic recreation uses).



NY SWIMS Eligibility Review

- All Applicants will be reviewed for eligibility as described in Section 2.2 (Eligible Entities) and 2.3 (Eligible Projects) of the RFA.
- Based on the information provided in the Application and supplemental documentation, NYS OPRHP and DASNY will determine if the submitted Application meets the eligibility criteria as outlined in the RFA.
- Projects that do not meet eligibility criteria will not advance to scoring.



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NY SWIMS Scoring Criteria

NYS OPRHP and DASNY will score each eligible Application and assign a score based on the information provided in the Application. A total of 100 points will be available across all categories in **Steps One** and **Step Two**. To be considered for a grant, an Applicant must receive a combined score of at least 60 points.

Step One – All Applications will be scored based on Project need. Only projects scoring at least 20 points for Project need will be evaluated in Step Two:

- **Project Need – maximum of 40 points**
 - 0-20 points derived from the Statewide Comprehensive Outdoor Recreation Plan Relative Index of Needs (RIN) value.
 - 0-20 points derived from the American Community Survey Poverty Estimates by Zip Code Tabulation Area (ZCTA)(2018-2022).



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NY SWIMS Scoring Criteria

Using the "Grants Map" for NY SWIMS for 2024

Applicant Worksheet to Calculate Project Need

Applicant Name																												
SECTION: Zip Code Tabulation Area (ZCTA) from "Grants Map for NY SWIMS for 2024" Points																												
Percent Below Poverty Level from "Grants Map for NY SWIMS for 2024"	A.																											
Points for Poverty Level from Point Breakdown for Chart	B.																											
<table border="1"> <thead> <tr> <th colspan="2">POINT BREAKDOWN FOR POVERTY LEVEL -</th> </tr> <tr> <th>Percent Poverty</th> <th>Points</th> </tr> </thead> <tbody> <tr><td>0 - 3.2</td><td>0</td></tr> <tr><td>3.3 - 4.7</td><td>1</td></tr> <tr><td>4.8 - 6.5</td><td>2</td></tr> <tr><td>6.6 - 8.4</td><td>3</td></tr> <tr><td>8.5 - 10.0</td><td>4</td></tr> <tr><td>10.1 - 11.7</td><td>5</td></tr> <tr><td>11.8 - 13.7</td><td>6</td></tr> <tr><td>13.8 - 17.4</td><td>7</td></tr> <tr><td>17.5 - 18.7</td><td>8</td></tr> <tr><td>18.8 - 19.9</td><td>9</td></tr> <tr><td>20+</td><td>10</td></tr> </tbody> </table>	POINT BREAKDOWN FOR POVERTY LEVEL -		Percent Poverty	Points	0 - 3.2	0	3.3 - 4.7	1	4.8 - 6.5	2	6.6 - 8.4	3	8.5 - 10.0	4	10.1 - 11.7	5	11.8 - 13.7	6	13.8 - 17.4	7	17.5 - 18.7	8	18.8 - 19.9	9	20+	10		
POINT BREAKDOWN FOR POVERTY LEVEL -																												
Percent Poverty	Points																											
0 - 3.2	0																											
3.3 - 4.7	1																											
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13.8 - 17.4	7																											
17.5 - 18.7	8																											
18.8 - 19.9	9																											
20+	10																											
Score Calculation: Points from Box B x 2 = Total Score for ZCTA	C.																											
SECTION: Relative Index of Need (RIN) Points																												
County of Project Location	D.																											
Using the Relative Index of Need (RIN) Table list the Need Level for "Swim"	E.																											
Score Calculation: Need Level from Box E x 2= Total Score for RIN	F.																											
Total Project Need Score= Box C + Box F	G.																											

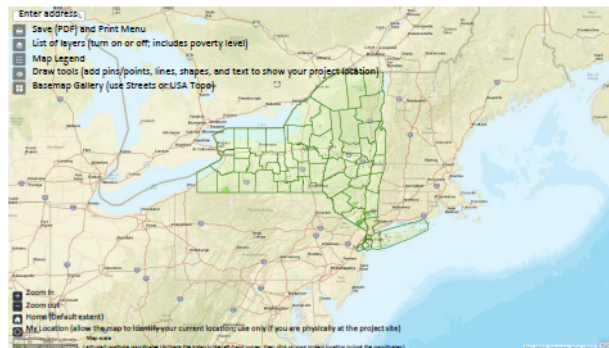
Use the "Grants Map," an online, layered map, to answer location questions for the NY SWIMS application at: <http://ow.ly/4mU5vH>.

Use the map to identify location information for your project location:

- County,
- ZIP Code Tabulation Area (ZCTA) and
- Poverty data.

The map is made up of several layers. Layered files allow users to turn data layers on and off. It is recommended that you select only a few layers at a time to avoid a lengthy wait for data to load.

Most icons on the map allow you to hover your mouse over them to view text describing that tool. Below is a flat image of the map with some additional text to introduce you to the available features.



Applicant Worksheet to Calculate Project Need –
pg 5 to 10 of Application pdf



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NY SWIMS Scoring Criteria

Step Two – Applicants that have a Project need score of at least 20 will be scored and assigned a score based on all categories at the end of Step Two

- **Project Impact – maximum of 20 points**

- 0-20 points assessed from review of an Applicant-submitted narrative and supporting documentation describing the groups served by the proposed Project. Include, as applicable: how the Project meets the needs for an aging population; encourages participation by youth; responds to changes in the composition of the population and social condition of the community; and/or ensures open and reasonable access to persons of various abilities.

- **Project Viability & Novel Features – maximum of 40 points**

- 0-20 points for detailed Project budget, eligibility of costs and supporting documentation (quotes, proposals, estimates, etc.).
- 0-10 points for evidence of Matching Funds and other/additional funding.
- 0-10 points may be added based on any unique or creative features that would distinguish the Project from any other project. Applicant should clearly describe how these features will result in a signature Project within the community.



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Guidelines for Submitting a Complete Application

- Application Checklist
- Application Submission
- Questions through 5/29/2024



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NY SWIMS Application Checklist

All NY SWIMS Applications must include the following:

- ✓ Detailed description of the capital swimming project, including:
 - location, purpose and population served.
 - unique or creative features that distinguish your Project - worth up to 10 points
- ✓ RIN and ZCTA Scores
 - Refer to Applicant Worksheet in Appendix 1
- ✓ Certificate of Municipal Site Control OR for Indian Nations evidence of site control
- ✓ Completed project budget, accompanied by:
 - Project estimates from a qualified professional
 - Documentation of Committed funding for the Match AND to complete the Project

- ✓ **DOCUMENTATION WILL NOT BE REVIEWED UNLESS IT IS ATTACHED TO THE SAME EMAIL AS THE APPLICATION. FAILURE TO SUBMIT ALL REQUESTED DOCUMENTATION WILL RESULT IN A LOWER SCORE.**



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NY SWIMS Application Checklist

New York Statewide Investment In More Swimming (NY SWIMS) Application Submission Checklist and Cover Page
<p>Prior to submitting your NY SWIMS Application(s) to NYSWIMS@dasny.org, please be sure the following has been completed/addressed:</p> <p>APPLICATION:</p> <ul style="list-style-type: none">• Please make sure every question in the Application has been answered, including the RIN and ZCTA scores calculated using the worksheets in the Application. Scores will not interpret blanks in the Applicant's favor.• Supplemental documentation must be provided with the Application in order to receive a passing score. <p>SUPPLEMENTAL DOCUMENTATION:</p> <ul style="list-style-type: none">• BEFORE submitting your Application, please be sure to include the following:<ul style="list-style-type: none">• Detailed description of the capital swimming project, including location, purpose and population served. Include any unique or creative features that distinguish your Project from others. Please remember this section is worth up to 10 points.• RIN and ZCTA Scores• Certificate of Municipal Site Control OR for Indian Nations evidence of site control• Completed project budget, accompanied by:<ul style="list-style-type: none">• Project estimates from a qualified professional• Documentation of Committed funding for the Match AND to complete the Project.• DOCUMENTATION WILL NOT BE REVIEWED UNLESS IT IS ATTACHED TO THE SAME EMAIL AS THE APPLICATION. FAILURE TO SUBMIT ALL REQUESTED DOCUMENTATION WILL RESULT IN A LOWER SCORE. <p>QUESTIONS:</p> <p>Questions that arise during the Open Application Period should be sent to the Survey Monkey address: NY SWIMS 2024 Survey (surveymonkey.com)</p> <p>The deadline to submit questions is 05/29/2024. DASNY will review the questions and provide answers by 06/12/2024.</p> <p>Applicants are encouraged to ask questions to clarify their understanding of the RFA. Neither DASNY nor NYS OPRHP will be able to respond to questions after this period or provide feedback or direction to Applicants submitting incomplete Applications.</p> <p>Applicants are also encouraged to review the webinar video posted on the DASNY and NYS OPRHP Websites by 05/15/2024. The webinar will provide helpful information regarding submission of the Application.</p>

Refer to this “checklist” to help you submit a complete application.



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NY SWIMS Application – Fillable PDF

New York Statewide Investment In More Swimming (NY SWIMS)
APPLICATION

SECTION 1: GENERAL INFORMATION

A. Project Name: _____
Project Location including Project Address, Zip Code, and County: _____

B. Organization / Grantee:
Legally Incorporated Name: _____
Street (not P.O. Box): _____
City: _____ County: _____ Zip: _____
Phone: _____ Ext: _____ Fax: _____ E-mail: _____
Contact Name & Title: _____
Federal Taxpayer ID: _____

1. Type of Organization: _____
 Municipal Corporation (County, City, Town, Village or Indian Nation)

2. a) Is the organization currently seeking or receiving any other New York State assistance for this project? No Yes
b) Is the NYS SWIMS Grant a match to receiving the Other New York State Assistance? No Yes
If either a or b is Yes, please provide a detailed explanation on an attached separate sheet.

SECTION 2: PROJECT INFORMATION

1. Project Purpose - indicate the appropriate project purpose (select all that apply to your project)

<input type="checkbox"/> New Pool	<input type="checkbox"/> Amenity - projects required by State Sanitary Code, or needed for the safety of users, including public restrooms, bathhouses, showers, shade structures, pavilions, etc.	<input type="checkbox"/> Acquisition
<input type="checkbox"/> Renovation of an Existing Pool	<input type="checkbox"/> Auxiliary features-projects not required by State Sanitary Code including splash pads, concession stands, picnic areas, and playground (lifeguard costs are limited to 10% of grant amount)	

Description - Please attach a detailed narrative of the specific capital project that will be undertaken and funded pursuant to this application. Please check box to confirm narrative has been provided.

The Applicant worksheet following this Application will help you determine the REN and ZCTA scores for your project. Refer to the worksheet and provide your scores here: REN Score ZCTA Score Total Score

2. Project Start Date: _____ Anticipated Date of Project Completion: _____

3. Please list the anticipated amount of funding to be received from the NYS SWIMS Program for this project. Please note this Program will require a 20% match. (Grant Amount + Match Amount + Other Funding= Total Project Cost)

\$	+	\$	+	\$	=	\$	Total
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4. Will any entry other than the Grantee set forth in Section 1, above, be paying any project related costs? No Yes
If yes, please attach a separate sheet setting forth the costs to be paid by another entity, as well as a description of the relationship between the Grantee and the other entity.

5. Does the Applicant own the site where the project will be located? No Yes
If yes, please complete the attached Municipal Site Control Certificate or for Indian Nations provide evidence of site control. If no, please attach a separate sheet describing the control the Applicant has over the Project site and include lease or license if applicable.

6. Does the applicant plan on offering learn to swim programs and/or swimming lessons? Does the applicant plan on charging a fee or requiring a membership? Will residents and non-residents pay the same or different fees? On a separate document, please briefly describe your plan for learn to swim programs and/or swimming lessons and swimming fees.

7. Please specify the environmental or regulatory permits required for this project? If none, reply NA: _____
Have they been secured? If no, please specify why: No Yes NA

8. Has any State or local government agency reviewed the project under the State Environmental Quality Review Act (SEQRA)? No Yes NA
If yes, please set forth the lead agency for the review and provide a copy of the negative declaration, findings statement, or Type II memo issued by the lead agency.

9. Please attach narrative and supporting documentation describing the groups served by the proposed project. Include as applicable, how the project meets the needs for an aging population; encourages participation by youth; responds to changes in composition of the population and social conditions of the community and/or ensures open and reasonable access to person of various abilities. Examples of supporting documentation may be news articles, community reports, requests and thanks from patrons, etc.

SECTION 3: ELIGIBILITY FOR TAX-EXEMPT FINANCING

1. Has the applicant previously received financing from the sale of tax-exempt bonds for this project? No Yes
If yes, attach a schedule describing the details of such financing.

2. Does the applicant anticipate applying for financing for this project from the sale of other bonds? No Yes

3. Have any funds been expended or obligations incurred to date on that portion of the project for which this application is made?
If yes, attach a schedule showing details of such disbursements (date, purpose, payee, etc.) Please note, costs incurred and paid prior to the 4/1/2024 will not be eligible for NY SWIMS funding, but could potentially be used for the match component. No Yes

4. Will the Grantee be utilizing internal labor for any portion of the project? No Yes
If yes, attach a narrative summarizing the usage and dollar value of internal labor on the project. Internal labor costs will not be reimbursed from NY SWIMS Grant proceeds.

SECTION 4: PROJECT BUDGET

Complete the following Project Budget detailing the proposed sources and uses of funds (attach additional sheets if necessary) that will be utilized to complete the Project. State the source of the funding, and any contingencies that need to be satisfied prior to accessing the funds.

Please include evidence of committed funding sources to be used to complete the project as described. This may include a copy of letter(s) of credit, award letters, a resolution from the governing board of the Grantee committing to provide the balance of the funds, or a combination of the above.

USE OF FUNDS	SOURCES						TOTAL
	State		Match - In-Kind/Equity		Other sources (Please specify each source and include commitment letter or other evidence that funds have been secured)		
Tasks	Entry Name	Amount	Source Name	Amount	Entry Name	Amount	Total
Total:							

I hereby certify that the information in this Application is true and correct in all material respects, and I understand that the Dormitory Authority of State of New York and other entities that may be involved in the grant process are relying on this information in the course of the reviews that are required under Federal and State law.

Please sign and return these documents to DASNT at nyswims@dasnyc.org. In order for your Application to be reviewed and scored, all supporting documentation requested in the RFA must also be e-mailed to DASNT together with this Application. Please send them from the Grantee's organizational email address and retain the original copies for production to DASNT if requested. By providing electronic signatures, the Grantee's disclaimer will be providing validly binding legal documents, just the same as a pen-and-paper signature.

Name: _____
Signature of Authorized Officer _____ Date _____
Print Name _____



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NY SWIMS Application Submission

- ✓ **Start now to gather your documentation.**
- ✓ **Don't wait until the last minute to prepare and submit your application.**
- ✓ NY SWIMS Applications must be submitted by email to: NYSWIMS@DASNY.org
- The Application Submission Period for NY SWIMS is from 6/13/2024 through 7/12/2024.
- All NY SWIMS Applications must be submitted **no later than 5:00 pm on 07/12/2024.**
- NY SWIMS Applications submitted after the due date will not be considered.
- Anything received via another email, postal delivery, or facsimile will not be accepted, and no notification will be provided to the Applicant.



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NY SWIMS – Questions?

Please send all questions no later than 4 pm on 5/29/2024 to:

<https://www.surveymonkey.com/r/NYSWIMS2024>

Responses to all survey questions received by 5/29/2024 will be posted on the DASNY website by 6/12/2024.

Technical issues with sending an Application may be reported to NYSWIMS@DASNY.org, but there is no guarantee that an issue can be resolved in the final hours of the submission window.



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Thank you...



and Good Luck!



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