



**DASNY**

**Management Team Based on  
2016 Request for Proposals**

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**Firm**

**SENIOR MANAGERS**

- 1 Barclays Capital Inc.
- 2 Citigroup Global Markets Inc.
- 3 Goldman, Sachs & Co.
- 4 J.P. Morgan Securities LLC
- 5 Jefferies LLC
- 6 **Loop Capital Markets LLC (certified 11/18/16)**
- 7 Merrill Lynch, Pierce, Fenner & Smith Incorporated
- 8 Morgan Stanley
- 9 Raymond James & Associates, Inc.
- 10 RBC Capital Markets, LLC
- 11 **Samuel A. Ramirez & Co., Inc.**
- 12 **Siebert Cisneros Shank & Co., L.L.C.**
- 13 Wells Fargo Bank, N.A.

**CO-MANAGERS**

- 1 **Academy Securities, Inc.**
- 2 **Blaylock Van, LLC (Name Change 6/13/17)**
- 3 **Cabrera Capital Markets, LLC**
- 4 Cain Brothers & Company, LLC
- 5 **Drexel Hamilton, LLC**
- 6 Duncan-Williams, Inc.
- 7 Fidelity Capital Markets, a division of National Financial Services LLC
- 8 FTN Financial Capital Markets, a division of First Tennessee Bank N.A.
- 9 Janney Montgomery Scott LLC
- 10 KeyBanc Capital Markets Inc.
- 11 M&T Securities, Inc.
- 12 Mesirow Financial, Inc.
- 13 **Mischler Financial Group, Inc.**
- 14 Oppenheimer & Co., Inc.
- 15 Piper Jaffray & Co.
- 16 PNC Capital Markets LLC
- 17 **Rice Securities, LLC, d/b/a Rice Financial Products Company**
- 18 Robert W. Baird & Co. Incorporated
- 19 Roosevelt & Cross Incorporated
- 20 **Stern Brothers & Co.**
- 21 Stifel, Nicolaus & Company, Incorporated
- 22 U.S. Bancorp Investments, Inc.
- 23 **The Williams Capital Group, L.P.**

## SELLING GROUP MEMBERS

- 1 **Alamo Capital**
- 2 B.C. Ziegler & Company
- 3 **Backstrom McCarley Berry & Co., LLC**
- 4 **Broad Street Capital Markets LLC \*\* (5/30/17)**
- 5 FMSBonds Inc \*\* (7/25/17)
- 6 **Great Pacific Securities**
- 7 Herbert J. Sims & Co., Inc.
- 8 **IFS Securities**
- 9 **Lebenthal & Co. LLC**
- 10 NW Capital Markets Inc. \*\* (2/27/17)
- 11 Powell Capital Markets, Inc.
- 12 Prager & Co., LLC
- 13 **Quion Capital LLC \*\* (12/26/17)**
- 14 Rockfleet Financial Services, Inc.
- 15 280 Securities LLC \*\* (10/26/17)
- 16 TD Securities (USA) LLC \*\* (6/1/17)
- 17 Tribal Capital Markets LLC \*\* (7/11/17)
- 18 UBS Financial Services Inc \*\* (11/1/17)
- 19 UMB Financial Services, Inc. \*\* (3/3/17)
- 20 William Blair & Company LLC \*\* (5/26/17)

**Certified NYS MBE Firm.**

**Certified NYS WBE Firm.**

**Certified Veteran Firm.**

**Not Certified NYS MBE Firm.**

\*\* Added as Selling Group Member