NY SWIMS Program 2025 - Pass/Fail Scoirng Matrix

Q#	Question	Response Field Type	Documentation Required	Pass	Fail	Score (P/F)
1	**Prequalfication Requirement**					
	a) What is your organization's SFS Vendor ID?	Single Line of Text	*Application Reviewer to reference NYS DOS & SFS for Verification of Information*	Legal name of applicant, and d/b/a if applicable, is on file in DOS AND Grantee is registered and was Prequalified in SFS on the date the NY SWIMS Application was submitted	Applicant was not registered and Prequalified in SFS the day the application was submitted.	
	b) Was the Organization Prequalified on the date they submitted their NY SWIMS Application?	Y/N				
	c) Does the Organization name on the NY SWIMS application correspond to the legal name that is on file with the Department of State and SFS?	Y/N				
2	**Project Location Eligibility**					
	a) Does the organization own the project location under their legal name on file with DOS and not a parent or affiliate?	Y/N	Deed or Lease	Applicant has provided a deed or lease. Note: the name on the deed or lease must match DOS filing.	Site control documentation has not been provided or the name on the Deed or Lease does not match applicant organization's filing with DOS.	
	b) Does the organization lease the project location under their legal name on file with DOS and not under a parent or affiliate?	Y/N	Lease			
	c) If the project location is leased, does the term of the lease extend to the required 10 years from the date of the application as noted in the RFA?	Y/N	Lease	Lease term extends to at least ten years from the date the Application was submitted	Lease term does not extend to at least ten years from the date the Application was submitted	
	c) If the project location is leased, and the lease references riders, attachments, exhibits and/or amendments, have those be submitted with the lease?	Y/N	Additional leasing documentation	Riders, attachments, exhibits and/or amendments referenced in lease have been provided	Riders, attachments, exhibits and/or amendments are referenced within the lease but not attached to application	
	d) If the project location is leased, has landlord approval, on formal letterhead of landlord or leasing agent, been provided?	Y/N	Landlord approval	Landlord approval was submitted, on formal letterhead and signed by landlord or leasing entity	No landlord support provided	
	e) If the project location is to be acquired with NY SWIMS funding, is the contract of sale signed by the same legal name as the applicant organization? Has an appraisal of the property been provided with the application?	Y/N	Contract of Sale & Appraisal	Signed contract of sale is in the same legal name of the applicant organization and an appraisal of the property undertaken in accordance with USPAP standards has been provided.	No contract of sale or appraisal was provided or the purchaser listed on the contract of sale is not the applicant organization..	
3	**Project / Project Cost Eligibility**					
	b) Will some or are all of the project's construction costs be incurred after April 1, 2025?	Y/N	na	Applicant answers Y	Applicant answers N	
	c) Is the project submitted a eligible project as defined in section 4.2 of the RFA?	Y/N	na	Applicant answers Y	Applicant answers N	
	d) Will the Organization be incurring and making payment of all project costs under the Organization's legal name and will these costs be incurred from a vendor authorized to do business in NYS?	Y/N	na	Applicant answers Y	Applicant answers N	
4	**Project Finance Eligibility**					
	a) Is it the case that the project costs to be submitted for reimbursement will not require any long-term financing or accrual of long-term debt (over 1 year old)?	Y/N	na	Applicant answers Y	Applicant answers N	
	b) Is it the case that the project financing will not include any tax credit structures?	Y/N	na	Applicant answers Y	Applicant answers N	

Q#	Question	Response Field Type	Documentation Required	Scoring Guidance	MAX score	Score
	**NY SWIMS - COMMUNITY NEED, PROJECT PLANNING & PROJECT IMPACT SCORING MATRIX**					
	Section 2. Project Information			*(Combined total of 1a-1d cannot exceed 40 points)*	40	0
1	a) Community Need - ZCTA	Text	Worksheet 1	What is the ZCTA Score in Worksheet 1 - Box B	10	
	b) Community Need - RIN	Text	Worksheet 1	What is the RIN Score in Worksheet 1 - Box D	10	
	c) Community Need - DAC Designation	Text	Worksheet 1	What is the DAC Designation Score in Worksheet 1 - Box F	5	
	d) Community Need - Distressed Community Designation	Text	Worksheet 1	What is the Distressed Community Designation Score in Worksheet 1 - Box G	5	
	e) Project Planning	Text	Worksheet 2	What is the Planning Score Listed in Worksheet 2 - Box J	20	
	Section 4. Project Impact Questions				20	0
1	Describe how the proposed project addresses the needs for an aging population.	Text	Additional sheets may be included with application	0 or 5 points for relevance of the proposal related to the needs for an aging population	5	
2	Describe how the proposed project encourages participation by youth.	Text	Additional sheets may be included with application	0 or 5 points for relevance of the proposal related to participation of youth	5	
3	Describe how the proposed project responds to changes in the composition of the population and social condition of the community	Text	Additional sheets may be included with application	0 or 5 points for relevance of the proposal related to adapting to changing community composition	5	
4	Describe how the proposed project ensures open and reasonable access to persons of various abilities.	Text	Additional sheets may be included with application	0 or 5 points for relevance of the proposal related to ensuring open and reasonable access to persons of various abilities	5	
				TOTALS	60	0

2. Need Planning Impact

NY SWIMS - PROJECT VIABILITY SCORING MATRIX

Q#	Question	Response Field Type	Documentation Required	Scoring Guidance	MAX score	Score
	Construction Readiness				**10**	**0**
1	What are the construction start and end dates for the proposed project?	Text	na	Reviewer will assess documentation provided and will assign 0 to 2 points: 2 points if the project start date is prior to 12/31/2026. 1 point if the project start date is after 1/1/2027. 0 points if no timeline is given or the project completion date is prior to 4/1/2025.	2	
2	Have any of the project costs already been incurred?	Y/N	Disbursement Schedule	Reviewer will assess documentation provided and will assign 0 to 2 points: 2 points if no costs have been incurred; the cost were incurred after 4/1/2025; or those incurred prior to 4/1/2025 can be utilized for the match requirement. 1 point if some costs incurred to date meet the RFA standards for eligibility. 0 points if none of the costs incurred to date meet any eligibility requirements as listed in the RFA.	2	
3	Has any State or local government agency reviewed the project under the State Environmental Quality Review Act (SEQRA)?	Y/N/NA	if No or Na - none	Reviewer will assess documentation provided and will assign 0 to 4 points: 4 points if project does not require local SEQRA action or is NA 0 points if project is likely to require local action, but applicant indicated No or NA	4	
			if Yes - various	Reviewer will assess documentation provided and will assign 0 to 4 points: 4 points if the Application indicated Yes and provided documentation 2 point if the Application indicated Yes and also indicated local review in process. 0 points if the Application indicated Yes, but provided no additional information.		
4	Are any environmental or regulatory permits required for the project as indicated by the application?	Y/N/NA	if No or Na - none	Reviewer will assess documentation provided and will assign 0 to 2 points: 2 points if the project does not require additional permits. 0 points if project is likely to require additional permits but applicant indicated No or NA.	2	
5	If they are required, does the applicant indicate whether they have been secured? If not secured, does the application indicate why?		if Yes - various	Reviewer will assess documentation provided and will assign 0 to 2 points: 2 points if the Applicant indicated Yes and provided further information. 0 points if the Applicant indicated Yes but provided no further information.		
	Financial Review				**30**	**0**
1	Has the applicant provided detailed project budget, eligibility of costs and supporting documentation (quotes, proposals, estimates, etc.) to support the entire project?	Y/N	Quotes, proposals, Estimates	Reviewer will assess documentation provided and will assign 0 to 10 points: 10 points if all costs of the project budget are supported by professional cost estimates not greater than six months old. 7 points if most costs of the project budget are supported by professional cost estimates not greater than six months old. 5 points if some costs of the project budget are supported by professional cost estimates not greater than six months old. 0 points if no professional costs estimates are provided or if they are all greater than six months old.	10	
2	Does the proposed project budget clearly identify the budget elements that will make up the 20% match requirement?	Text	Project Budget Section 5 of Application	Reviewer will assess Project Budget and estimates provided to assign 0 to 10 points: 10 points if identified match items in budget meet or exceed 20% of total project budget and cost estimates provided support budget numbers. 5 points if identified match items in budget meet or exceed 20% of total project budget but not completely supported by cost estimates. 0 points if identified match items in budget do not equal 20% of total project budget or no cost estimates provided for matching elements.	10	
3	Has the applicant provided evidence of matching funds and other/additional funding?	Y/N	Bank Statements, Budget	Reviewer will assess documentation provided and will assign 0 to 10 points: 10 points for evidence of all additional funding needed to complete the project. 7 points for evidence of some funding to complete the project, with a plan to secure remainder. 5 points for evidence of some funding to complete the project, and no plan to secure remainder. 0 points for no evidence of funding to complete the project or evidence of tax credit involvement.	10	
				TOTALS	**40**	**0**

S#	Section	MAX score	Score
1	**Community Need, Project Planning & Impact**	60	0
2	**Project Viability**	40	0
	TOTALS	**100**	**0**

Total Score