

## New York Statewide Investment In More Swimming (NY SWIMS)

### Application Submission Checklist and Cover Page

Prior to submitting your NY SWIMS Application(s) to [NYSWIMS@dasny.org](mailto:NYSWIMS@dasny.org), please be sure the following has been completed/addressed:

#### APPLICATION:

- Please make sure every question in the Application has been answered, including the RIN and ZCTA scores calculated using the worksheets in the Application. Scorers will not interpret blanks in the Applicant's favor.
- Supplemental documentation must be provided with the Application in order to receive a passing score.

#### SUPPLEMENTAL DOCUMENTATION:

- **BEFORE** submitting your Application, please be sure to include the following:
  - Detailed description of the capital swimming project, including location, purpose and population served. Include any unique or creative features that distinguish your Project from others. Please remember this section is worth up to 10 points.
  - RIN and ZCTA Scores
  - Certificate of Municipal Site Control OR for Indian Nations evidence of site control
  - Completed project budget, accompanied by:
    - Project estimates from a qualified professional
    - Documentation of Committed funding for the Match AND to complete the Project.
- **DOCUMENTATION WILL NOT BE REVIEWED UNLESS IT IS ATTACHED TO THE SAME EMAIL AS THE APPLICATION. FAILURE TO SUBMIT ALL REQUESTED DOCUMENTATION WILL RESULT IN A LOWER SCORE.**

#### QUESTIONS:

Questions that arise during the Open Application Period should be sent to the Survey Monkey address:

[NY SWIMS 2024 Survey \(surveymonkey.com\)](https://www.surveymonkey.com)

The deadline to submit questions is 05/29/2024. DASNY will review the questions and provide answers by 06/12/2024.

Applicants are encouraged to ask questions to clarify their understanding of the RFA. Neither DASNY nor NYS OPRHP will be able to respond to questions after this period or provide feedback or direction to Applicants submitting incomplete Applications.

Applicants are also encouraged to review the webinar video posted on the DASNY and NYS OPRHP Websites by 05/15/2024. The webinar will provide helpful information regarding submission of the Application.

**New York Statewide Investment In More Swimming (NY SWIMS)  
APPLICATION**

**SECTION 1: GENERAL INFORMATION**

**A. Project Name:**

Project Location including **Project Address, Zip Code, and County:**

**B. Organization / Grantee:**

Legally Incorporated Name:

Street (not P.O. Box):

City:

County:

Zip:

Phone:

Ext:

Fax:

E-mail: \_\_\_\_\_

Contact Name & Title:

Federal Taxpayer I.D.

1. Type of Organization:

Municipal Corporation (County, City, Town, Village or Indian Nation)

2. a) Is the organization currently seeking or receiving any other New York State assistance for this project? No      Yes
- b) Is the NYS SWIMS Grant a match to receiving the Other New York State Assistance? No      Yes
- If either a or b is Yes, please provide a detailed explanation on an attached separate sheet.

**SECTION 2: PROJECT INFORMATION**

**1. Project Purpose - indicate the appropriate project purpose (select all that apply to your project)**

New Pool	Amenities- projects required by State Sanitary Code, or needed for the safety of users, including public restrooms, bathhouses, showers, shade structures, pavilions, etc.	Acquisition
Renovation of an Existing Pool	Ancillary features-projects not required by State Sanitary Code including splash pads, concession stands, picnic areas, and playgrounds (Ancillary costs are limited to 10% of grant amount)	

**Description - Please attach a detailed narrative of the specific capital project that will be undertaken and funded pursuant to this application. Please check box to confirm narrative has been provided.**

**The Applicant worksheet following this Application will help you determine the RIN and ZCTA scores for your project. Refer to the worksheet and provide your scores here:**

	RIN Score	ZCTA Score	Total Score
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2. Project Start Date: \_\_\_\_\_ Anticipated Date of Project Completion: \_\_\_\_\_

3. Please list the anticipated amount of funding to be received from the NYS SWIMS Program for this project. Please note this Program will require a 20% match. (Grant Amount + Match Amount + Other Funding= Total Project Cost)

\$ \_\_\_\_\_ + \$ \_\_\_\_\_ + \$ \_\_\_\_\_ = \$ \_\_\_\_\_ Total

<p>4. Will any entity other than the Grantee set forth in Section 1, above, be paying any project related costs? <u>If yes</u>, please attach a separate sheet setting forth the costs to be paid by another entity, as well as a description of the relationship between the Grantee and the other entity.</p>	<p>No Yes</p>
<p>5. Does the Applicant own the site where the project will be located? <u>If yes</u>, please complete the attached Municipal Site Control Certificate or for Indian Nations provide evidence of site control. <u>If no</u>, please attach a separate sheet describing the control the Applicant has over the Project site and include lease or license if applicable.</p>	<p>No Yes</p>
<p>6. Does the applicant plan on offering learn to swim programs and/or swimming lessons? Does the applicant plan on charging a fee or requiring a membership? Will residents and non-residents pay the same or different fees? On a separate document, please briefly describe your plan for learn to swim programs and/or swimming lessons and swimming fees.</p>	
<p>7. Please specify the environmental or regulatory permits required for this project? <u>If none, reply NA:</u></p> <p>Have they been secured? <u>If no</u>, please specify why:      <input type="checkbox"/> No    <input type="checkbox"/> Yes    <input type="checkbox"/> NA</p>	
<p>8. Has any State or local government agency reviewed the project under the State Environmental Quality Review Act (SEQRA)? <u>If yes</u>, please set forth the lead agency for the review and provide a copy of the negative declaration, findings statement, or Type II memo issued by the lead agency.</p>	<p>No Yes NA</p>
<p>9. Please attach narrative and supporting documentation describing the groups served by the proposed project. Include as applicable: how the project meets the needs for an aging population; encourages participation by youth; responds to changes in composition of the population and social conditions of the community and/or ensures open and reasonable access to person of various abilities. Examples of supporting documentation may be news articles, community reports, requests and thanks from patrons, etc.</p>	
<p><b>SECTION 3: ELIGIBILITY FOR TAX-EXEMPT FINANCING</b></p>	
<p>1. Has the applicant previously received financing from the sale of tax-exempt bonds for <u>this project</u>? <u>If Yes</u>, attach a schedule describing the details of such financing.</p>	<p>No Yes</p>
<p>2. Does the applicant anticipate applying for financing for <u>this project</u> from the sale of other bonds?</p>	<p>No Yes</p>
<p>3. Have any funds been expended or obligations incurred to date on that portion of the project for which this application is made? <u>If yes</u>, attach a schedule showing details of such disbursements (date, purpose, payee, etc.). <b>Please note, costs incurred and paid prior to the 4/1/2024 will not be eligible for NY SWIMS funding, but could potentially be used for the match component.</b></p>	
<p>4. Will the Grantee be utilizing internal labor for any portion of the project? <u>If yes</u>, attach a narrative summarizing the usage and dollar value of internal labor on the project. Internal labor costs will <u>not</u> be reimbursed from NY SWIMS Grant proceeds.</p>	<p>No Yes</p>

**SECTION 4: PROJECT BUDGET**

Complete the following Project Budget detailing the proposed sources and uses of funds (attach additional sheets if necessary) that will be utilized to complete the Project. State the source of the funding, and any contingencies that need to be satisfied prior to accessing the funds.

***Please include evidence of committed funding sources to be used to complete the project as described.*** This may include a copy of letter(s) of credit, award letters, a resolution from the governing board of the Grantee committing to provide the balance of the funds, or a combination of the above.

<b><u>USE OF FUNDS</u></b>	<b><u>SOURCES</u></b>						<b><u>TOTAL</u></b>
	<b>State</b>		<b>Match - In-Kind /Equity</b>		<b>Other sources (Please specify each source and include commitment letter or other evidence that funds have been secured)</b>		
Tasks	Entity Name	Amount	Source Name	Amount	Entity Name	Amount	Total
<b>Total:</b>							

I hereby certify that the information in this Application is true and correct in all material respects, and I understand that the Dormitory Authority of State of New York and other entities that may be involved in the grant process are relying on this information in the course of the reviews that are required under Federal and State law.

*Please sign and return these documents to DASNY at nyswims@dasny.org. In order for your Application to be reviewed and scored, all supporting documentation requested in the RFA must also be e-mailed to DASNY together with this Application. Please send them from the Grantee’s organizational email address and retain the original copies for production to DASNY if requested. By providing electronic signature(s), the Grantee’s designee will be providing validly binding legal documents, just the same as a pen-and-paper signature.*

\_\_\_\_\_  
Signature of Authorized Officer

\_\_\_\_\_  
Date

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Title

## Applicant Worksheet to Calculate Project Need

<b>Applicant Name</b>																											
<b>SECTION: Zip Code Tabulation Area (ZCTA) from "Grants Map for NY SWIMS for 2024" Points</b>																											
Percent Below Poverty Level From "Grants Map for NY SWIMS for 2024"	A.																										
Points for Poverty Level from Point Breakdown for Chart	B.																										
<table border="1" style="margin: auto; border-collapse: collapse;"> <thead> <tr> <th colspan="2">POINT BREAKDOWN FOR POVERTY LEVEL -</th> </tr> <tr> <th>Percent Poverty</th> <th>Points</th> </tr> </thead> <tbody> <tr><td>0 - 3.2</td><td style="text-align: center;">0</td></tr> <tr><td>3.3 - 4.7</td><td style="text-align: center;">1</td></tr> <tr><td>4.8 - 6.5</td><td style="text-align: center;">2</td></tr> <tr><td>6.6 - 8.4</td><td style="text-align: center;">3</td></tr> <tr><td>8.5 - 10.0</td><td style="text-align: center;">4</td></tr> <tr><td>10.1 - 11.7</td><td style="text-align: center;">5</td></tr> <tr><td>11.8 - 13.7</td><td style="text-align: center;">6</td></tr> <tr><td>13.8 - 17.4</td><td style="text-align: center;">7</td></tr> <tr><td>17.5 - 18.7</td><td style="text-align: center;">8</td></tr> <tr><td>18.8 - 19.9</td><td style="text-align: center;">9</td></tr> <tr><td>20+</td><td style="text-align: center;">10</td></tr> </tbody> </table>	POINT BREAKDOWN FOR POVERTY LEVEL -		Percent Poverty	Points	0 - 3.2	0	3.3 - 4.7	1	4.8 - 6.5	2	6.6 - 8.4	3	8.5 - 10.0	4	10.1 - 11.7	5	11.8 - 13.7	6	13.8 - 17.4	7	17.5 - 18.7	8	18.8 - 19.9	9	20+	10	
POINT BREAKDOWN FOR POVERTY LEVEL -																											
Percent Poverty	Points																										
0 - 3.2	0																										
3.3 - 4.7	1																										
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13.8 - 17.4	7																										
17.5 - 18.7	8																										
18.8 - 19.9	9																										
20+	10																										
<b>Score Calculation: Points from Box B x 2 = Total Score for ZCTA</b>	<b>C.</b>																										
<b>SECTION: Relative Index of Need (RIN) Points</b>																											
County of Project Location	D.																										
Using the Relative Index of Need (RIN) Table list the Need Level for "Swim"	E.																										
<b>Score Calculation: Need Level from Box E x 2= Total Score for RIN</b>	<b>F.</b>																										
<b>Total Project Need Score= Box C + Box F</b>	<b>G.</b>																										

## Using the “Grants Map” for NY SWIMS for 2024

Use the “Grants Map,” an online, layered map, to answer location questions for the NY SWIMS application at: <http://ow.ly/4mU5vH>.

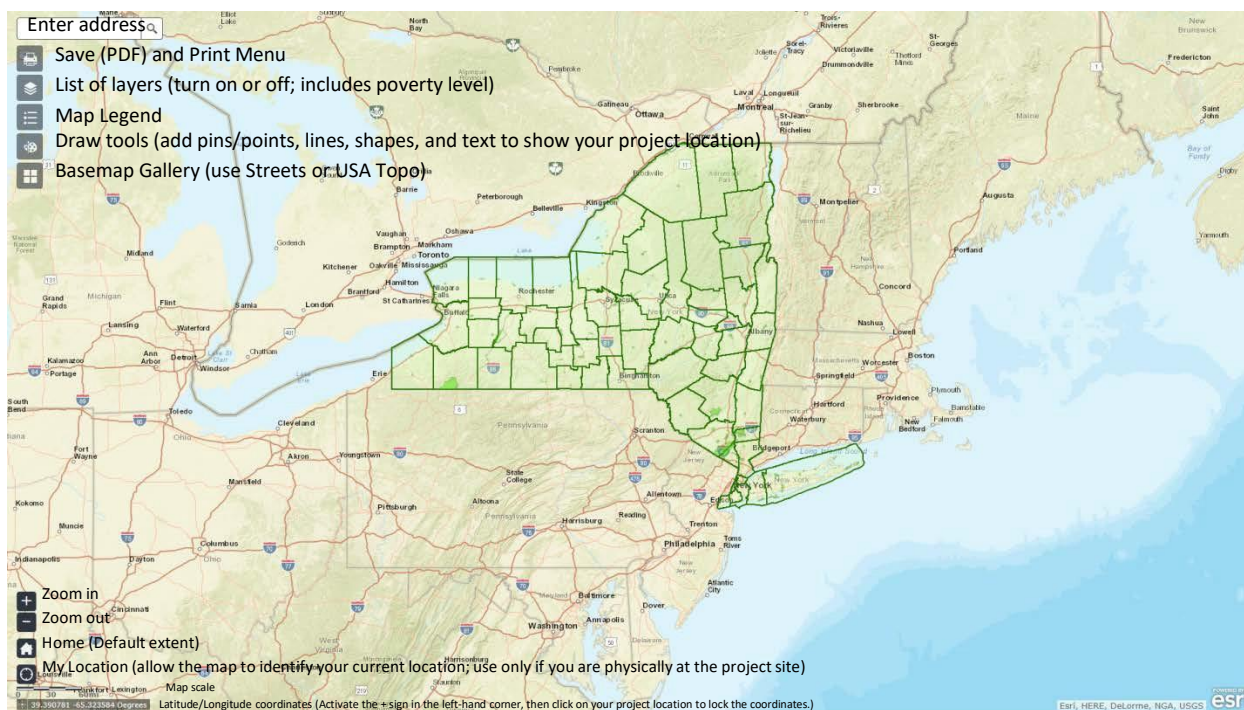
Use the map to identify location information for your project location:

- County,
- ZIP Code Tabulation Area (ZCTA) and
- Poverty data.

The map is made up of several layers. Layered files allow users to turn data layers on and off. It is recommended that you select only a few layers at a time to avoid a lengthy wait for data to load.

Most icons on the map allow you to hover your mouse over them to view text describing that tool.

Below is a flat image of the map with some additional text to introduce you to the available features.





### Answer Location Questions for your project location using the Grants Map for NY SWIMS

The instructions below apply to most layers of the map including:

- County,
- ZIP Code,
- ZIP Code Tabulation Area (ZCTA) and Poverty data

1. Enter the street address, city, and state for your project location into the search box in the upper left-hand corner of the page. Click the magnifying glass to search or select the appropriate address if it appears in the search results drop-down box that generates results as you type.



If your project location does not have a street address, select the zoom in  or zoom out  tools (as needed) which will magnify or minimize portions of the map. Then, click and drag the map to center your project location.

2. If your project location has a street address, view the marked location on the map. If correct, click the Esc (escape) key or click in the search box to clear the search results box from your screen. If it is incorrect, try zooming in or out to find your project location on the map.
3. To identify any of the features listed above (county, ZIP code, etc.), use the layers tool. Click on the



List of Layers tool. Select the layer that you wish to view for your project location such as Poverty by ZCTA 2022 ACS (ACS=American Community Survey). The boundaries for the ZIP Code Tabulation Areas will then appear on the map. If you do not see anything added, try zooming out to



see the boundaries. The map legend tool will show you which layer you are viewing based on the color of the boundaries or shading. See table below. Close the List of Layers box by clicking the X in the upper right-hand corner of that box.

### Map Legend



4. Once the boundaries appear, click on the point marking your project location. Note the ZIP Code Tabulation Area (ZCTA) and the Percent Below Poverty Level (%) for your project location and enter that information into the Applicant Worksheet of the NY SWIMS application.

Poverty by ZCTA 2022 ACS: 12207	
ZCTA	12207
Total Population for whom poverty status is determined	2,311
Number Below Poverty Level	955
Percent Below Poverty Level (%)	41.3

5. If you selected more than one layer in the List of Layers tool, your results box will have an arrow in the upper right-hand corner. Click the arrow to view the results of the next feature.
6. To view other features, go back to the List of Layers tool. Uncheck the box for the layer(s) you already viewed. Select another layer that you wish to identify for your project location. The boundaries of that feature will then be added to the map. Once the boundaries appear, click on the point marking your project location to view the data associated with that layer.

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## Relative Index of Need (RIN)

OPRHP maintains a computerized database, the Recreation Facilities Inventory System (RFIS), in principle lists all known outdoor recreation sites in the state. This information can be transformed into a numerical value, which equals the optimal number of people who can participate in each activity at a given site, also known as the recreation capacity. When aggregated, the capacity across sites for a given geographic area is the recreation supply.

Recreation demand is measured in terms of recreation activity days, equal to the number of participants for an activity, multiplied by the number of days per year each participant does the activity. Demand is also aggregated by county for each of the various measured outdoor activities.

Once all the supply and demand calculations for each county are completed, a single number is calculated for each activity, which indicates the ratio of demand to supply. This number, known as the relative index of need (RIN), is calculated by taking the projected ratio of recreation demand to supply, expressing it as a ratio of the statewide average, and translating it to a value on a scale of 1 to 10. Note that:

- The higher the value, the greater the need. A figure of three or less indicates that the county-wide recreation needs for a given activity are generally being met—but even in these cases there may exist pockets of recreation deficiency. This number provides information on where recreationists live and how often they participate.
- A value of four or greater indicates a need for additional recreation sites within a county. Need may reflect the lack of facilities, or that new facilities need to be constructed to take the place of older ones, which deteriorate or close.
- Much recreation involves travel, including travel across county lines. The RIN therefore also considers the fact that people travel for recreation, by using information on the location of parks and other recreation facilities. Using data on the quantity of recreation amenities at various destinations, with an estimate of an individual's resistance to traveling for a particular activity, it is possible to calculate how much activity takes place at various destinations. Comparing the number of future visitors at the destination counties with the availability of present facilities helps to project the present and future needs for both new and rehabilitated facilities.
- While RIN figures are valuable in looking at the big picture, often the number presented for the county represents an average for the county and the actual need is not homogeneous within a county. For example, a county may have a river or other natural resource with limited facilities, that attracts greater numbers of visitors, while having largely undeveloped areas elsewhere in the county (that satisfy the recreational needs in those locations). The county-wide figure would consider both areas. It would over-estimate the needs in certain areas of the county and underestimate it in others. Nonetheless, an index of need is relevant in comparing one county to another.

Due to the limitations of the 2018 PORs, an alternate means is utilized for grant rating purposes to provide a RIN at the county level. In these cases, an estimated RIN can be calculated on an ad hoc basis using information from the available RIN table. For instance, if a RIN figure was needed for ATV activity, its value might be estimated by averaging the values of snowmobiling with hiking. While ATV use is distinct from both activities, there are certain commonalities. ATV use appeals to participants who enjoy using vehicles to explore the outdoors and, at the same time, often requires the availability of trails such as those that are enjoyed when hiking.

This approach, while not optimal, provides an approximation of real-world needs. If this methodology is applied, the inputs will be determined by OPRHP and maintained for future use so that figures are applied consistently through time.

The RIN is a valuable tool to determine need for facilities at geographic areas over the next five to ten years, but other factors can and should be considered for any final decisions.



## Chapter 3 — Trends, Issues and Needs

**Table 3.10 – Relative Index of Needs (RIN)**

County	Park	Swim	Bike	Golf	Court	Field	Walk	Camp	Fish	Boat	LocW	DnSki	SnM	Hunt	Equine
Albany	4	9	6	7	8	5	5	4	7	7	7	10	6	5	4
Allegany	9	5	3	3	4	4	4	10	5	3	6	3	4	4	4
Bronx	10	5	10	4	5	6	8	4	6	10	3	9	10	7	8
Broome	4	4	5	5	6	4	5	4	4	3	4	5	5	5	4
Cattaraugus	3	5	3	7	5	4	2	4	4	2	10	4	4	4	3
Cayuga	5	4	3	4	6	4	4	5	4	4	10	4	4	4	3
Chautauqua	4	5	3	9	8	6	5	5	4	4	8	4	4	4	4
Chemung	5	8	4	4	6	5	6	4	7	2	7	3	4	4	3
Chenango	4	5	3	3	5	3	3	4	4	3	7	5	4	4	3
Clinton	3	3	3	4	6	4	3	4	4	4	6	2	4	4	2
Columbia	3	3	4	2	9	3	3	5	4	3	4	4	5	5	3
Cortland	4	6	3	3	3	6	4	4	4	3	10	6	4	4	3
Delaware	6	4	4	5	3	3	2	7	4	3	4	6	5	4	3
Dutchess	5	6	6	5	9	5	4	5	6	5	8	10	6	5	4
Erie	3	6	7	7	9	5	8	5	6	6	8	6	5	5	4
Essex	3	3	3	4	7	4	2	3	4	3	3	2	4	4	2
Franklin	4	4	3	3	9	6	3	3	3	2	3	2	4	4	4
Fulton	3	5	4	4	5	3	10	4	5	4	9	9	5	4	3
Genesee	4	6	4	4	6	4	3	10	5	4	4	3	4	4	3
Greene	4	7	4	6	4	5	4	6	7	5	10	5	5	5	3
Hamilton	2	3	3	3	2	2	1	2	4	3	2	3	4	4	2
Herkimer	4	4	3	3	7	6	4	5	4	3	10	5	4	4	3
Jefferson	4	3	3	6	4	3	3	4	3	3	5	4	4	4	3
Kings	10	6	10	5	5	7	10	4	6	10	3	10	10	7	9
Lewis	4	2	3	3	3	2	3	5	4	3	9	3	4	4	3
Livingston	4	4	4	4	4	4	3	4	5	3	4	4	4	4	3
Madison	6	3	3	3	4	7	2	3	5	4	7	5	4	4	3
Monroe	4	6	7	5	10	5	8	3	5	5	3	5	5	5	5
Montgomery	6	3	4	3	4	3	4	6	4	3	4	3	5	4	3
Nassau	6	6	9	6	5	4	8	4	7	8	3	8	10	7	5
New York	10	6	10	5	5	7	9	4	6	5	3	8	10	7	9
Niagara	3	4	5	5	6	3	5	3	4	5	6	5	4	4	4
Oneida	4	5	4	6	7	5	6	6	5	4	10	6	4	4	3
Onondaga	4	5	5	7	6	4	4	3	5	5	8	8	5	5	3
Ontario	6	5	4	4	10	6	6	6	5	3	10	5	4	4	3
Orange	6	8	6	6	8	7	4	6	6	4	9	8	7	5	5
Orleans	4	7	3	4	5	6	4	4	4	4	5	3	4	4	3
Oswego	5	4	4	5	9	6	4	7	4	5	8	4	4	4	3
Otsego	3	3	3	3	3	2	3	5	4	3	4	5	5	4	3
Putnam	6	6	6	3	5	6	3	4	6	5	6	5	7	5	4
Queens	10	6	10	5	5	6	9	5	6	8	2	9	10	7	9

## Chapter 3 — Trends, Issues and Needs

**Table 3.10 – Relative Index of Needs (RIN) (cont.)**

County	Park	Swim	Bike	Golf	Court	Field	Walk	Camp	Fish	Boat	LocW	DnSki	SnM	Hunt	Equine
Rensselaer	5	5	5	9	6	7	4	9	5	4	8	3	5	5	3
Richmond	9	5	10	4	4	5	8	4	5	6	3	8	10	7	8
Rockland	5	5	8	5	7	5	4	3	5	8	3	5	8	6	4
St. Lawrence	4	4	3	5	4	5	3	3	4	3	6	9	4	4	4
Saratoga	4	5	5	5	7	4	5	7	5	4	7	2	5	4	4
Schenectady	10	4	5	5	6	4	6	4	5	4	9	4	5	5	4
Schoharie	4	3	3	2	3	3	5	7	4	4	9	3	5	4	3
Schuyler	3	4	3	2	3	3	3	4	4	5	7	3	4	4	3
Seneca	2	3	3	3	4	2	3	3	4	3	3	2	4	4	3
Steuben	4	6	3	4	7	3	4	4	5	4	10	4	4	4	4
Suffolk	5	6	8	6	7	5	5	4	6	8	5	9	10	6	4
Sullivan	4	6	4	6	6	5	2	7	6	4	10	8	5	4	2
Tioga	9	3	3	3	3	3	3	3	6	3	9	4	4	4	4
Tompkins	4	5	4	4	8	3	3	4	4	3	7	5	4	4	4
Ulster	7	5	5	4	10	6	3	6	6	6	10	5	6	5	5
Warren	3	4	4	5	5	3	5	4	5	4	4	3	4	4	3
Washington	4	3	3	4	5	3	3	2	4	4	6	2	4	4	3
Wayne	5	3	4	4	5	4	8	3	4	4	6	4	4	4	4
Westchester	6	5	8	7	6	5	3	4	5	7	2	7	8	6	4
Wyoming	3	8	3	4	7	7	5	4	6	4	10	3	4	4	4
Yates	4	6	3	2	8	4	6	4	5	3	10	4	4	4	5

**ABBREVIATIONS USED IN THE RELATIVE INDEX OF NEED (RIN) TABLE**

- Park** Relaxing in the park, picnicking, playground use, visiting nature areas and gardens, croquet, dog parks, etc.
- Swim** Outdoor swimming, either in a pool (public or private), lake, ocean or river
- Bike** Bicycling/mountain bikes whether on trails, established paths, off-road or on highways
- Golf** Golfing on either regulation 18 or 9-hole including miniature golf, driving ranges, pitch and putt
- Court** Court games, includes basketball, handball, tennis, racquetball, pickleball
- Field** Field sports, includes baseball, football, soccer and disc golf
- Walk** Walking for enjoyment/jogging/running on paths and trails, and day hiking
- Camp** Camping includes tenting, primitive, RV, cabins, backpacking/long distance hiking
- Fish** Fishing from land, by boat, or ice fishing
- Boat** Boating includes canoeing, kayaking, sailing, motorboating, row boating, paddle boarding, jet skiing, etc.
- LocW** Local winter activities include ice skating, cross country skiing and snowshoeing
- DnSki** Downhill winter sports includes skiing, snowboarding, ski boarding, telemark, etc.
- SnM** Snowmobiling
- Hunt** Hunting big and small game
- Equine** Equestrian activities, dressage, show jumping, polo, trail riding