PROGRAMS FOR MINORITY-AND WOMEN-OWNED SMALL BUSINESSES AND SERVICE-DISABLED VETERANS



Kathy Hochul | Governor Alfonso L. Carney, Jr. | Chair Reuben R. McDaniel, III | President & CEO

DASNY



"At DASNY, we are firmly committed to provide real opportunities for MWBE and SDVOB companies to compete. Enhancing and expanding our programs for these business communities in the fields of construction. as well as financial. legal and professional services, will support the development of the next generation of robust and diverse enterprises in New York State."

-Reuben R. McDaniel, III President & CEO- DASNY



Who is DASNY?

DASNY is New York State's capital development authority. We finance, design, build and deliver infrastucture for:

- · Colleges and universities;
- Health care providers (hospitals, nursing homes, mental health facilities and laboratories);
- New York State, New York City and Municipal Courts;
- Libraries: and
- Not-for-profit institutions and public agencies.

DASNY is committed to diversity and inclusion in all of our procurements. For our financial and professional services procurements, DASNY sets MWBE participation goals for this work and we encourage MWBE involvement with our underwriters, bond counsel, broker-dealers, accounting firms and other service providers.

In addition, we require our construction contractors and professional service providers to utilize gualified MWBE firms. DASNY's statewide construction-related goal for MWBE participation is 30 percent, attained through contracting, subcontracting, and the purchase of materials and services.

We Finance, Design and **Build New York's Future**

Our programs for New York State MWSBEs

DASNY programs support MWBE participation in our financial and professional services, as well as for procurements that extend through the entire lifecycle of a project from the design, construction to the facility fit-out. We encourage MWBE firms to monitor our website and the New York State Contract Reporter for bid opportunities. DASNY features additional tools on our website to help MWBE/SDVOB subconsultants and subcontractors connect with prime and term consultants, plan holders, and prime contractors.

Supporting Financial and Professional Services

DASNY recognizes that New York is the center of the global financial marketplace and we work diligently to increase opportunities for professional and financial services companies owned by minorities and women. This synergy creates new jobs and allows DASNY to play a significant role in New York State's economic growth. We actively pursue MWBE involvement in these service areas:

- Accountants
- Brokers/Dealers
- Commercial Banking
- Financial Advisors
- Graphic Design & Marketing
- Insurance Brokers
- Underwriting
- IT Services
- Real Estate Brokers

Services

Supporting Construction-Related Professional Services DASNY actively pursues MWBE involvement in constructionrelated professional services, including:

- Architecture
- Electrical
- Elevator Rehabilitation
- Engineering
- Environmental Services
- Fire Alarm Upgrade
- Furniture Installation
- General Construction
- Human Services
- HVAC
- Information Technology Support
- Sheet Metal Work

Plumbing

Printing

Roofing

Plan Holders Lists: Bringing primes and subs together

As plans for our upcoming construction projects are purchased, we require our architects to post a list of plan holders to our website, www.dasny.org. This allows subcontractors and suppliers to submit pricing prior to bid to any interested prime bidder.

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Investment Banking/

Legal Services Providers

 Interior Design • Maintenance/Repair

 Management Services Mechanical Services Medical Services Move Services

Restoration Services



"Diversity at DASNY is not just about meeting mandates. It's about bringing top talent, multiple perspectives, and best solutions to every project. This is why a commitment-to business enterprises owned by minorities, women, and service-disabled veterans-permeates everything we do."

-Michael M. Clay, Senior Director, Procurement **Opportunity Programs - DASNY**



"DASNY's support of our firm as a WBE has opened doors that have allowed us to transition from providing sustainable engineering and architecture in a supporting role to leading design and energy projects throughout Upstate New York."

-Nancy Jendryaszek AIA, LEED[®] AP, Partner Pathfinder Engineers LLP, WBE

MWBEs can also post a brief profile about their businesses on our website for review by majority prime bidders prior to bid. Firms may visit: http://www.dasny.org/rfp-bidopportunitiessolicitations/constructionservices. Follow the link titled: "Add Your Firm As An Interested Subcontractor/Supplier" to add your profile. Firms may also sign up to receive bid notifications at: https://www.dasny.org/opportunities/rfps-bids/ dasny-bid-notifications

Professional services term contracts and online networking opportunities

Approximately every two years DASNY awards term contracts to more than 100 professional services firms, which we can guickly deploy on a project as needed. Our website allows potential MWBE subcontractors to network with these firms at https://www.dasny.org/opportunities/rfps-bids/professionalservices-construction

Construction Contracting Programs

We establish MWBE and Equal Employment Opportunity (EEO) goals on construction projects valued at \$100,000 or more. We use several types of contracts and assistance to help accomplish this goal.

Our construction contracting program covers:

- Professional design contracts requiring the services of licensed architects, engineers, planners, and surveyors.
- Regulated professional contracts requiring the services of individuals and firms whose practices are regulated by the State of New York.
- General consultant contracts, such as construction management, affirmative action, and general business services.
- · General service contracts, such as printing and janitorial services.

Job Order Contracting (JOC) Program

DASNY goes out to bid approximately twice per year and awards JOC contracts in ten regions statewide. The five construction disciplines in all regions that we solicit services from are: general construction, electric, plumbing, HVAC, and asbestos. In select regions, we also solicit for roofing and sprinkler services. Interested firms are required to attend a mandatory pre-bid meeting to learn more about the details of the program. Watch the NYS Contract Reporter or our website for JOC bid opportunities.

We use this type of construction procurement, which is specifically designed to provide faster contracting time for maintenance, repair and minor construction projects, for projects with urgent and time-sensitive requirements. Job Orders are issued to contractors who are ready to perform the work on an on-call basis. Firms that have been awarded JOC with DASNY may be interested in receiving information from potential subcontractors and are listed at: https://www.dasny.org/opportunities/joc-contractors

Community Minor Maintenance Contractors

DASNY's CMM Program offers opportunities for MWBE subcontractors on projects with a single scope of work ranging in value from \$10,000-\$75,000 and generally consist of exterior work including roof and driveway replacement, and renovations or replacement of exterior decks, windows, stairways, and entrances. Interior renovations such as kitchen and bathroom rehabilitation, painting and flooring replacement may also be undertaken under this program.

Under the CMM Program, a general contractor, through a competitive procurement process, is awarded a regional contract based upon an agreed upon mark-up percentage. The general contractor's mark-up percentage covers their cost for supervising and coordinating the work as well as their overhead, profit, bonds and insurances. The general contractor applies the mark-up percentage to any sub-contractor performing work on a CMM Program project. For each project, the general contractor is required to solicit at least three quotes for the scope of work. To learn more and see the list of current contractors sorted by region, go to our website, dasny.org

Equal Employment Opportunity (EEO) Program

The EEO Program confirms that minority and women employment goals are met and maintained throughout the life of each DASNY project. We assign an analyst to monitor MWBE and EEO participation and assist contractors in integrating minority and women workers into their workforce. Outreach and referral services are provided to the contractors who are required to seek of minorities and women for employment.



REGION 1 – New York (Manhattan), Bronx, Kings (Brooklyn), Richmond (Staten Island), and Queens

REGION 2 – Nassau and Suffolk

REGION 3 - Westchester, Rockland, and Putnam

REGION 4 – Orange, Sullivan, Delaware, Ulster, Dutchess, Greene, and Columbia

REGION 5 - Rensselaer, Albany, Schenectady, Otsego, Schoharie, Fulton. Montgomery, Saratoga, Washington, Warren, Hamilton, and Herkimer

REGION 6 – Essex, Clinton, and Franklin

REGION 7 – Lewis, Jefferson, St. Lawrence

REGION 8 – Broome, Tioga, Tompkins, Cortland, Chenango, Cayuga, Onondaga, Madison, Oswego

REGION 9 - Monroe, Wayne, Livingston, Ontario, Seneca, Yates, Steuben, Schuyler, and Chemung

REGION 10 - Niagara, Orleans, Genesee, Erie, Wyoming, Chautauqua, Allegany, and Cattaraugus



67 percent MWBE participation

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DASNY's commitment to women-owned businesses (WBEs) has afforded Meadows the opportunity to work on many large-scale state projects. Those projects have set a platform where we have been able to gain an understanding of how our products and services can best accommodate various state agencies, which in turn has led to many long-term business relationships for us. Thanks to DASNY, we are now one of the largest WBE firms in New York City.

- Rosalie Edson, Owner Meadows Office Furniture WBE

Commodity Compliance Program 4

DASNY's clients may need to purchase a variety of commodities for their facilities and programs. Our purchasing unit uses the New York State Contract System and other resources to locate potential minority and women vendors interested in bidding opportunities for commodities. To register go to:

https://ny.newnycontracts.com

Some of the commodities purchased include:

- Audio & video equipment Mattresses
- Cabinets
- Cleaning supplies
- Computer hardware and software
- Gardening and agricultural services
- Food preparation equipment

Hospital equipment

• Vehicles

Tools

• Windows

Uniforms

• Signage

Medical supplies

• Telecommunications

• Office furniture

Office supplies

• Laboratory equipment

Discretionary Purchases (5)

DASNY strives to purchase goods or services from Small Businesses; those certified as MWBE; and goods or technology that is recycled or remanufactured. In order to achieve these goals, DASNY has established a Discretionary Purchases procedure that may be utilized where the contract to be awarded does not exceed \$500,000. DASNY is authorized to procure the goods, services or technology with an informal competitive process, provided that any such goods, services or technology are purchased at a reasonable price. Requests for proposals (RFPs), invitation for bids (IFBs) and formal bid opening dates are not required to be used in a solicitation. However, notice of the prospective purchase of goods or services shall be provided as set forth in DASNY's Discretionary Purchases procedure.

City University of New York (CUNY) Set Aside Program

The City University of New York (CUNY) Set-Aside Program designates purchases and contracts for construction and services at four CUNY campuses to be bid by minority and small businesses only. The set-aside campuses are: **Bronx** and Hostos Community Colleges, Medgar Evers College and York College. Participating small and minority-owned businesses can gain experience in major long-term capital construction projects needed to more effectively compete in the open market. DASNY has a 25 percent participation requirement for Minority Business Enterprises (MBE) and small subcontractors and suppliers on work designated as Set-Aside.

Our Commitment to New York State MWBEs & SDVOBs

DASNY staff members dedicated to supporting the MWBE and SDVOB communities are located around the state. Opportunity Programs staff are assigned to projects, and are active in the field. They engage in outreach, planning and executing MWBE workshops, contract compliance and dispute resolution as required. Staff also participate in various city, state and private MWBE conferences and often moderate and participate on industry-specific panels.

In addition to the six programs, DASNY also invests significant resources into providing services to further support MWBEs, including:

DASNY Business Registry

For Minority-, Women, Small Business Enterprises & Service-Disabled Veteran-Owned Businesses

DASNY's Business Registry is a fast and efficient way to register with DASNY so you can learn of upcoming opportunities. Please go to our online registration website at https://registry.dasny.org. For more information on DASNY's Business Registry, you may contact DASNY's MWBE Business Development and Outreach Coordinator at 212.273.5115.

Registration with DASNY is independent of and not related to any other registration, classification or certification by other local, state or federal government entities. Firms must be certified as a MWBE with the New York State's Empire State Development (ESD) in order to count toward state MWBE participation goals on DASNY Contracts.

Outreach Events

DASNY staff regularly attends more than 20 industry outreach events so that we can meet one-on-one with MWBEs and SDVOBs around New York State. Visit dasny.org for upcoming events.

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On the cover: Bronx Community College North Instructional Building: 33 percent MWBE participation.

John Jay College of Criminal Justice - Phase II: 22 percent MWBE participation.



New York State Contract System

Our team at DASNY was an integral partner in the development of the **New York State Contract System**, a website (<u>https://ny.newnycontracts.com/</u>) for procurements and contract compliance across all State agencies and authorities. The system is an easy-to-use, web-based system that streamlines and simplifies the MWBE registration and reporting process. The system provides many benefits and efficiencies to New York State's procurement process including bid opportunities, improved contract compliance monitoring and the requirement for prime contractors to make accurate and timely payments to all subcontractors and suppliers. The system features an updated MWBE Directory where firms may search for NYS Certified MWBE firms. DASNY requires the use of this system by all its contractors and vendors for contract compliance. Once awarded a contract from DASNY, firms receive information on user login and utilization of the system.

Service-Disabled Veterans Program

The Service-Disabled Veteran-Owned Business Program (SDVOB) was signed into law by the Governor in 2014 and is administered by the New York State Office of General Services (NYSOGS). The purpose of the program is to identify procurement and contracting opportunities for companies owned by service-disabled veterans and achieve a participation goal of 3 percent. OGS has certified hundreds of SDVOB firms that cover services such as commodities, construction, construction-related professional services, IT, financial services and specialty services. Visit the OGS directory at https://online.ogs.ny.gov/SDVOB/search.

For more information

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