



Application Coversheet

Request to Provide Selling Group Member Services

Completed forms should be sent to:

Portia Lee, Managing Director Public Finance & Portfolio Monitoring

e-mail plee@dasny.org

and cc: investorrelations@dasny.org

POSITION APPLYING FOR: Selling Group Member

DATE OF APPLICATION: _____

GENERAL INFORMATION ON FIRM:

Legal Name of Firm: _____

Firm's Mailing Address: _____

Firm's Website Address: _____

Firm's Main Telephone Number (including area code): _____

Federal Tax ID Number: _____

FINRA Registration Number: _____

SEC Registration Number: _____

MWBE Registration Number (if applicable): _____

SDVOB Registration Number (if applicable): _____



MAIN CONTACT INFORMATION:

Please list the individual that will be the main contact:

Contact Name: _____

Title: _____

Contact Telephone Number (including area code): _____

Contact E-mail Address: _____

Address: _____

PRIMARY STAFF PERSON(S):

Please list the primary staff person(s) who will provide services relating to DASNY. Attach additional sheets if necessary.

Contact #1

Contact Name: _____

Title: _____

Contact Telephone Number (including area code): _____

Contact E-mail Address: _____

Address: _____

Contact #2

Contact Name: _____

Title: _____

Contact Telephone Number (including area code): _____

Contact E-mail Address: _____

Address: _____

