



Procurement Contract Annual Report

For the Period
Commencing April 1, 2013 and Ending March 31, 2014

June 25, 2014

D A S N Y
DORMITORY AUTHORITY STATE OF NEW YORK

WE FINANCE, BUILD AND DELIVER.

Procurement Contract Annual Report
For the Period Commencing
April 1, 2013 and Ending March 31,2014
Executive Summary
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INTRODUCTION

Section 2879 of the Public Authorities Law requires The Dormitory Authority State of New York (DASNY) to prepare and submit DASNY's Procurement Contract Annual Report (the "Report") for Board consideration annually.

The Report lists detailed information on all DASNY contracts open on April 1, 2013 and those opened during the period commencing April 1, 2013 and ending March 31, 2014. The Report also includes DASNY's Procurement Policy and Procurement Contract Guidelines.

The Report includes all purchases made by DASNY by contract, purchase order or other written agreement, with a value of \$5,000 or more. The Report also includes payments in excess of \$5,000 made by DASNY to vendors selected by customers, where DASNY does not directly hold a contract. Examples include closing costs associated with financings and paid directly to a vendor from bond proceeds, such as title insurance and institution counsel. The Report excludes certain non-contractual obligations of DASNY, including reimbursement of expenses paid to private institutions, grants, insurance settlements, fees paid to state regulatory agencies, land purchases, payroll and fringe benefits, and items under \$5,000.

This Executive Summary combines and analyzes the Report's data in four broad areas: Construction Services, Public Finance and Financial Services, Counsel's Office, and Administration and Operations Services. In addition, due to the large volume of data related to Construction Services, we have added an Appendix to this Executive Summary which details all awards made during the year for professional service contracts, construction contracts, and purchase orders.

This Executive Summary analyzes summary award and expenditure data and includes comparative information to the 2013 and 2014 fiscal years. The fiscal years ending March 31, 2013 and 2014 are referred to as 2013 and 2014, respectively, within the Executive Summary.

SECTION I – CONSTRUCTION SERVICES AWARDS

◆ PROFESSIONAL SERVICE

- PROFESSIONAL SERVICE CONTRACTS
- TERM CONTRACTS

◆ CONSTRUCTION

- CONSTRUCTION CONTRACTS
- JOB ORDERING CONTRACTS (JOC)

◆ PURCHASING

- PURCHASE ORDERS
- TERM PURCHASE ORDERS

◆ MINORITY AND WOMEN OWNED BUSINESS ENTERPRISES

- PROFESSIONAL SERVICES CONTRACTS
- TERM CONTRACTS
- CONSTRUCTION CONTRACTS
- JOB ORDERING CONTRACTS (JOC)
- PURCHASE ORDERS
- TERM PURCHASE ORDERS

SECTION II – EXPENDITURES

◆ PUBLIC FINANCE AND FINANCIAL SERVICES

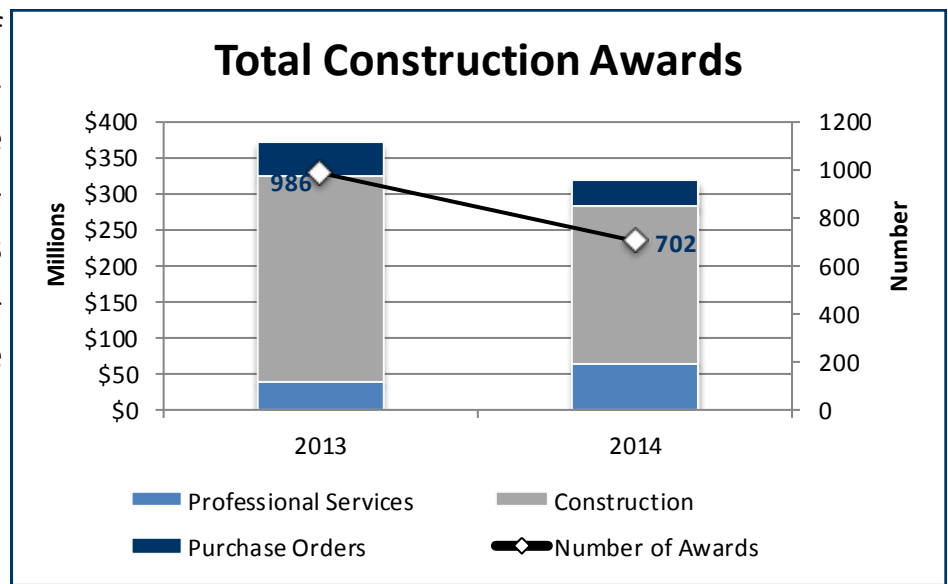
◆ COUNSEL'S OFFICE

◆ ADMINISTRATION & OPERATIONS SERVICES

HIGHLIGHTS
SECTION I – AWARDS
CONSTRUCTION SERVICES

Section I presents information on Construction Services awards. Professional Service Contracts, Construction Contracts, and Purchase Order awards are analyzed in total and by document type. Job Order Contracts, Term Contracts, and Term Purchase Orders are analyzed by the amount of outstanding contracts.

The following chart and three tables provide an analysis of construction services awards. From 2013 to 2014, the total dollar value of awards decreased by \$52.6 million, or 14 percent, while the overall number of awards decreased by 29 percent. The overall dollar value decrease occurred in the Construction and Purchase Orders awards. For 2014 the dollar value awarded to New York State Vendors was 90 percent.



2013/2014 CONSTRUCTION SERVICES SUMMARY OF AWARDS

	2013	%	2013	%	2014	%	2014	%
Professional Services	\$38,004,743	10%	30	3%	\$63,651,383	20%	21	3%
Construction	284,584,675	77	119	12	218,004,707	69	104	15
Purchase Orders	47,570,374	13	837	85	35,929,400	11	577	82
Total	\$370,159,792	100%	986	100%	\$317,585,490	100%	702	100%

SECTION I—AWARDS
CONSTRUCTION SERVICES AWARDS

AWARDS TO NEW YORK STATE VENDORS

The definition of “New York State Vendor” is different for services and goods, which results in the vast percentage differences between contracts (services) and purchase orders (primarily goods). Services are considered New York if the service is performed in New York. Goods are considered New York if the goods were manufactured in New York.

2013 AWARDS TO NEW YORK STATE VENDORS

	New York	Non-New York	Total	% New York
Professional Service	\$38,004,743	\$0	\$38,004,743	100%
Construction	\$284,584,675	\$0	\$284,584,675	100%
Purchase Orders	\$6,607,512	\$40,962,862	\$47,570,374	14%
Total	\$329,196,930	\$40,962,862	\$370,159,792	89%

2014 AWARDS TO NEW YORK STATE VENDORS

	New York	Non-New York	Total	% New York
Professional Service	\$63,651,383	\$0	\$63,651,383	100%
Construction	\$218,004,707	\$0	\$218,004,707	100%
Purchase Orders	\$2,722,620	\$33,206,780	\$35,929,400	8%
Total	\$284,378,710	\$33,206,780	\$317,585,490	90%

SECTION I—AWARDS
CONSTRUCTION SERVICES AWARDS

PAYMENTS TO VENDORS

The table below represents the number of vendors, payments and dollar value for new awards for 2014. The line “All Contracts Total” represents all active contracts for the same period.

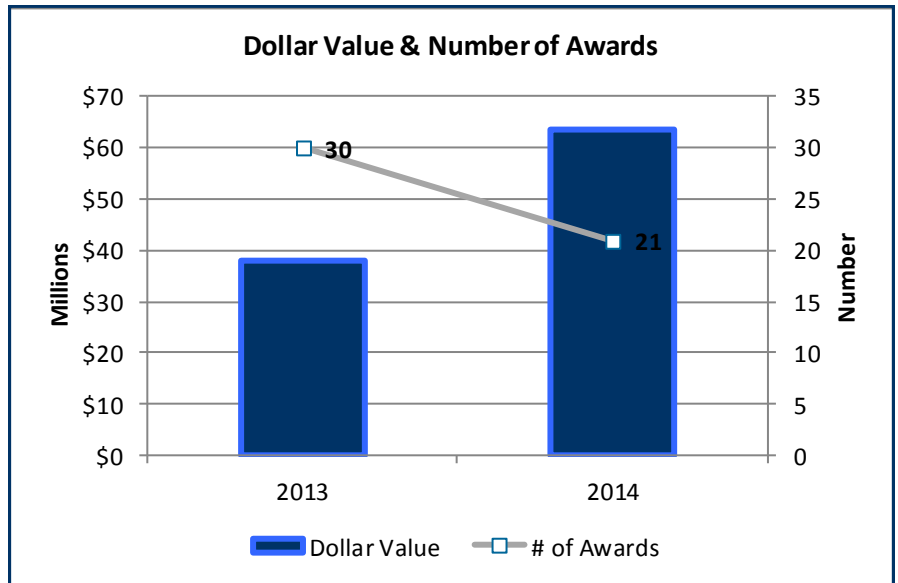
2014 PAYMENTS TO VENDORS

	# of Vendors	# of Payments	Dollar Value
Professional Service	13	53	\$29,132,042
Construction	77	253	41,254,038
Purchase Orders	282	462	11,980,773
Total	372	768	\$82,366,853
All Contracts Total	964	3,937	\$705,294,195

SECTION I—AWARDS
CONSTRUCTION SERVICES AWARDS
PROFESSIONAL SERVICES CONTRACTS

Professional Service Contracts are contracts for services of a consulting, technical or professional nature and include such services as architecture, engineering, construction management, and construction manager (CM) at risk contracts, whereby the construction manager holds the construction contracts. Professional Service Contracts are generally awarded through a review of competitive proposals, often selected from a short list of consultants.

The dollar value of awards increased by \$25.6 million, or 67 percent, from 2013 to 2014. The number of awards decreased by 9, or 30 percent, from 2013 to 2014. The dollar value increase is mainly related to Energy Performance Contract delivery (Table 1).



AWARDS BY TYPE

Table 1 summarizes by broad service category the dollar value and number of all professional service contracts awarded during the last two years.

TABLE 1: DOLLAR VALUE & NUMBER OF PROFESSIONAL SERVICE CONTRACTS AWARDED BY TYPE OF SERVICE

Type of Service	2013	%	2013	%	2014	%	2014	%
Architect	\$4,347,727	11%	8	28%	\$3,367,822	5%	5	24%
CM at Risk	5,238,858	14	4	13	3,497,116	5	3	14
Construction Management	22,104,473	58	7	23	16,279,649	26	3	14
Energy Performance	5,217,407	14	1	3	40,324,480	63	6	29
Engineering	277,129	1	4	13	62,316	0	2	10
Other	819,149	2	6	20	120,000	0	2	10
Total	\$38,004,743	100%	30	100%	\$63,651,383	100%	21	100%

SECTION I—AWARDS
CONSTRUCTION SERVICES AWARDS
PROFESSIONAL SERVICES CONTRACTS

AWARDS BY PROGRAM

Table 2 summarizes by program the dollar value and number of professional service contracts awarded during the last two years. As detailed in Table 1, professional service awards include many design phase and construction management services.

TABLE 2: DOLLAR VALUE & NUMBER OF PROFESSIONAL SERVICE CONTRACTS AWARDED

Program	2013	%	2013	%	2014	%	2014	%
CUNY	\$10,393,584	27%	11	38%	\$40,858,887	64%	10	47%
MH/DOH	14,284,842	38	6	20	1,042,170	2	2	10
HHC	101,649	0	4	13	1,267,401	2	2	10
OCA	9,000	0	1	3	0	0	0	0
SUNY	11,818,677	31	7	23	20,482,925	32	7	33
Other	1,396,991	4	1	3	0	0	0	0
Total	\$38,004,743	100%	30	100%	\$63,651,383	100%	21	100%

SECTION I—AWARDS
CONSTRUCTION SERVICES AWARDS
PROFESSIONAL SERVICES CONTRACTS

LARGEST CONTRACTORS AND VENDORS

Tables 3 & 4 list information on all professional services contractors awarded more than \$1 million in contracts during the last two years. Such contractors represented 91 percent and 97 percent of the total dollar value of professional service contracts awarded in 2013 and 2014, respectively. The contracts amounted to 40 percent and 52 percent of the total number of professional services contracts awarded in 2013 and 2014, respectively.

TABLE 3: 2013 PROFESSIONAL SERVICES CONTRACTORS AWARDED CONTRACTS TOTALING OVER \$1 MILLION

Contractor	Value	Number
Jacobs Project Management Co.	\$13,572,643	2
Kirchhoff-Consigli Construction	6,884,057	5
Johnson Controls Inc.	5,217,407	1
LP Ciminelli Inc.	4,934,620	2
Mitchell/Giurgola Architects LLP	2,564,495	1
Gilbane Building Company	1,396,991	1
Total	\$34,570,213	12
Percent of Total 2013 Awards	91%	40%

TABLE 4: 2014 PROFESSIONAL SERVICES CONTRACTORS AWARDED CONTRACTS TOTALING OVER \$1 MILLION

Contractor	Value	Number
Genesys Engineering P.C. .	\$27,911,307	3
Kirchhoff-Consigli Construction	19,307,954	4
Wendell Energy Services LLC	12,207,083	2
Stantec Architecture Inc.	1,077,114	1
Lomonaco & Pitts, Architects P.C.	1,054,971	1
Total	\$61,558,429	11
Percent of Total 2014 Awards	97%	52%

SECTION I—AWARDS
CONSTRUCTION SERVICES AWARDS
PROFESSIONAL SERVICES CONTRACTS
TERM CONTRACTING PROGRAM

Term contracts are used to competitively procure professional services for a specified period of time. These services include architectural design, engineering, testing, asbestos, and abatement. Typical term contracts are two years with two one-year renewal options. The specific scope of work and location where the services are to be provided are added to the contract through each work authorization issued. The contract amounts shown on Table 5 represent the value of work authorizations issued against all open contracts from inception to date. The dollar value of outstanding contracts increased significantly by \$113.6 million, or 33 percent, from 2013 to 2014.

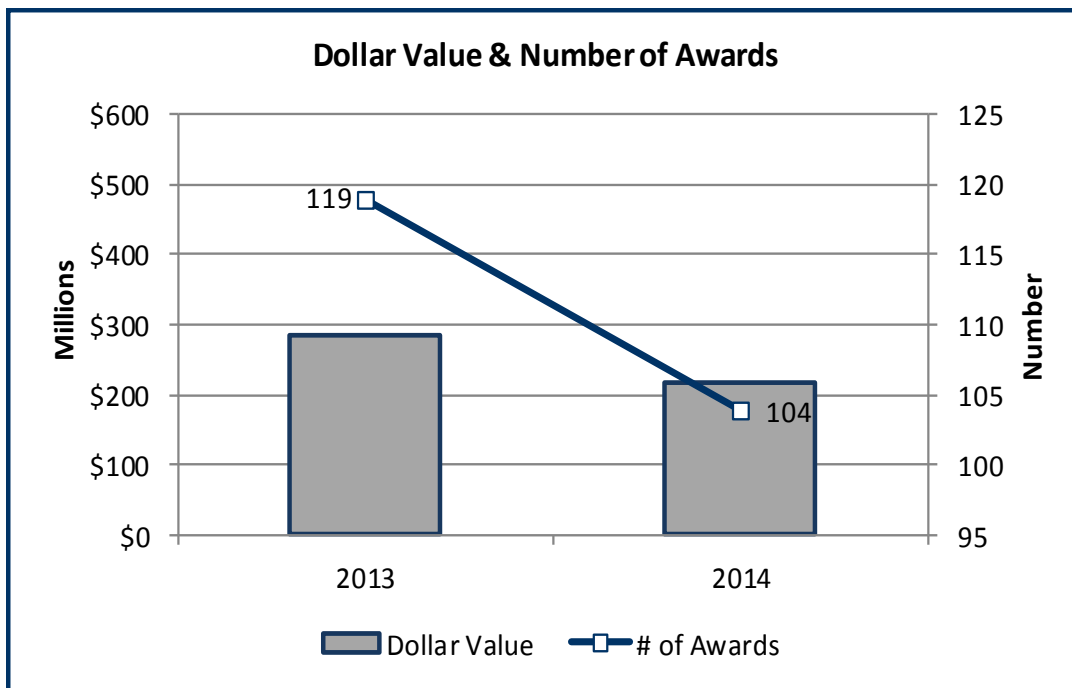
TABLE 5: SUMMARY OF TERM CONTRACT PROGRAM

	2013	2014
Number of Contracts	147	253
Number of Contractors	167	172
Dollar Value Outstanding	\$338,043,713	\$451,649,286
Payments to Date	\$279,787,726	\$346,160,328

SECTION I—AWARDS
CONSTRUCTION SERVICES AWARDS
CONSTRUCTION CONTRACTS

Construction Contracts are contracts for the phases of construction, such as site work, general construction and the building trades (structural, plumbing, electrical and mechanical, and heating, ventilating and cooling). These contracts are generally awarded through a public competitive bidding process.

From 2013 to 2014, the dollar value of awards decreased by \$66.5 million, or 23 percent, while the number of awards decreased by 15 contracts or 13 percent.



SECTION I—AWARDS
CONSTRUCTION SERVICES AWARDS
CONSTRUCTION CONTRACTS

AWARDS BY TYPE

Table 6 summarizes by broad service category the dollar value and number of all construction contracts awarded during the last two years. From 2013 to 2014 the dollar value of overall awards decreased by \$66.6 million, or 23% due to decreases in General Construction, while other services fluctuated.

TABLE 6: DOLLAR VALUE & NUMBER OF CONSTRUCTION CONTRACTS AWARDED BY TYPE OF SERVICE

Type of Service	2013	%	2013	%	2014	%	2014	%
Asbestos Abatement	\$253,793	0%	1	1%	\$837,000	0%	1	1%
Demolition	971,000	0	1	1	0	0	0	0
Electrical/Communications	3,481,221	1	14	12	7,116,886	3	7	7
Elevators	1,152,992	0	2	2	6,674,000	3	1	1
Fire Protection	94,500	0	1	1	11,751,332	5	19	18
General Construction	255,850,837	90	62	51	167,968,620	77	49	47
Heating	6,717,647	3	13	11	723,138	0	5	5
Masonry	0	0	0	0	0	0	0	0
Mechanical	706,478	0	3	3	9,401,093	4	4	4
Plumbing	7,185,183	3	12	10	685,442	0	5	5
Roofing	3,730,601	1	6	5	12,349,749	6	11	11
Site Work	4,440,423	2	4	3	133,000	0	1	1
Other	0	0	0	0	364,447	0	1	1
Total	\$284,584,675	100%	119	100%	\$218,004,707	100%	104	100

SECTION I—AWARDS
CONSTRUCTION SERVICES AWARDS
CONSTRUCTION CONTRACTS

AWARDS BY PROGRAM

Table 7 summarizes by program the dollar value and number of construction contracts awarded during the last two years. From 2013 to 2014, the overall dollar value of awards decreased primarily due to a significant decrease in the MH/DOH and HHC programs, offset by increases in the CUNY & SUNY & Other programs.

TABLE 7: DOLLAR VALUE & NUMBER OF CONSTRUCTION CONTRACTS AWARDED

Program	2013	%	2013	%	2014	%	2014	%
CUNY	\$43,083,215	15%	17	14%	\$77,945,121	36%	27	26%
MH/DOH	172,684,068	61	68	57	37,778,160	17	52	50
HHC	11,153,652	4	3	3	1,511,580	1	2	2
OCA	2,995,000	1	1	1	0	0	0	0
SUNY	53,791,040	19	26	22	69,396,604	32	21	20
*Other	877,700	0	4	3	31,373,242	14	2	2
Total	\$284,584,675	100%	119	100%	\$218,004,707	100%	104	100%

* NYSC—Training Academy \$31.2 million

SECTION I—AWARDS
CONSTRUCTION SERVICES AWARDS
CONSTRUCTION CONTRACTS

Tables 8 & 9 list information on all construction contractors awarded more than \$3 million in contracts during the last two years. Such contractors represented 81 percent and 74 percent of the total dollar value of construction contracts awarded in 2013 and 2014, respectively.

LARGEST CONTRACTORS AND VENDORS

TABLE 8: 2013 CONSTRUCTION CONTRACTORS AWARDED CONTRACTS TOTALING OVER \$3 MILLION

Contractor	Value	Number
J. Kokolakis Contracting Inc.	\$75,972,507	1
Arc Electrical & Mechanical Contr. Corp.	52,199,000	1
Burgio & Campofelice Inc.	22,548,937	1
Manhattan Business Interiors Inc.	16,698,995	3
Admiral Construction, LLC	12,993,000	1
LeChase Construction Services, LLC	8,908,000	2
Whitestone Construction Corp.	8,680,000	2
Charles A. Gaetano Const. Corp.	7,355,325	1
Fresh Meadow Mechanical Corp.	6,413,000	1
Biltmore General Contracting Inc.	4,899,000	1
U.W. Marx, Inc.	3,498,606	1
Northland Associates, Inc.	3,273,000	1
HJ Brandeles Corporation	3,094,000	2
Murnane Building Contractors	3,018,100	1
Total	\$229,551,470	19
Percent of Total 2013 Awards	81%	16%

SECTION I—AWARDS
CONSTRUCTION SERVICES AWARDS
CONSTRUCTION CONTRACTS

LARGEST CONTRACTORS AND VENDORS

TABLE 9: 2014 CONSTRUCTION CONTRACTORS AWARDED CONTRACTS TOTALING OVER \$3 MILLION

Contractor	Value	Number
WDF, Inc.	\$48,667,212	2
U.W. Marx, Inc.	24,328,962	1
Murnane Building Contractors	15,578,000	4
MLB Construction Services, LLC	13,758,190	1
UTB-United Technology, Inc.	8,437,450	1
Kone, Inc.	6,674,000	1
Schneider Electric Buildings Americas	5,249,000	1
Simplex Grinnell LP	5,126,892	3
Empire Control Abatement Inc.	4,410,000	1
USA Roofing Co. Corp	4,399,000	2
Framan Mechanical Inc.	4,255,000	1
Telentos Construction Corp	3,903,850	3
Digesare Mechanical Inc.	3,375,000	1
Verde Electric Corp	3,367,850	1
Positive Electrical Assoc., Inc.	3,351,000	1
Watral Brothers Inc.	3,310,000	1
Adirondack Cabling Inc.	3,109,352	1
Total	\$161,300,758	26
Percent of Total 2014 Awards	74%	25%

SECTION I—AWARDS
CONSTRUCTION SERVICES AWARDS
CONSTRUCTION CONTRACTS
JOB ORDER CONTRACTS (JOC)

Job Order Contracts (“JOC”) are a critical and necessary tool to DASNY’s construction mission, and enable DASNY rapidly to engage contractors to perform construction and construction-related services for emergencies, critical maintenance, and other urgent and/or relatively small projects. JOC contracts are term contracts set up regionally by trade. The initial term is one year with two one-year renewal options.

Contractors competitively bid two adjustment factors (normal work hours and other than normal work hours) that will be applied to the unit prices in the JOC construction price books.

As indicated elsewhere in this report, JOCs continue to be a significant source of MWBE utilization for DASNY (Tables 25 & 26).

The dollar value of outstanding contracts increased by \$6.0 million, or 4 percent from 2013 to 2014, mainly due to on going and new Job Orders issued (Table 10).

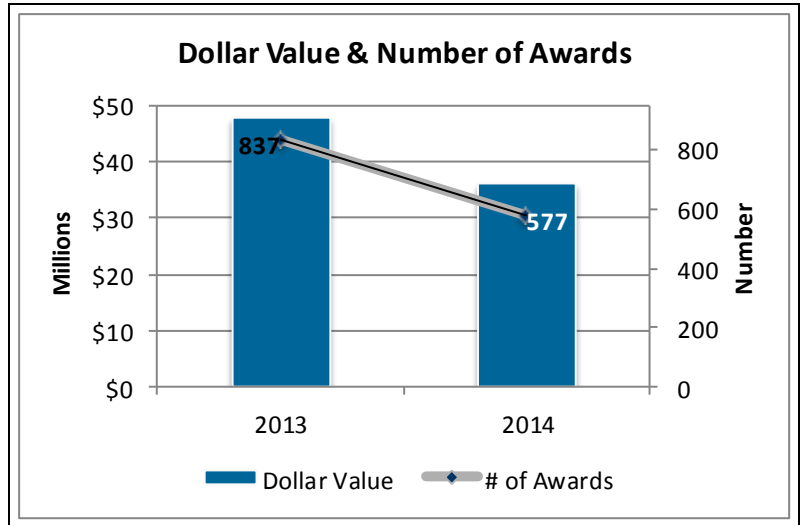
TABLE 10: SUMMARY OF JOC PROGRAM

	2013	2014
NUMBER OF CONTRACTS	66	80
NUMBER OF CONTRACTORS	45	51
DOLLAR VALUE OUTSTANDING	\$165,428,031	\$171,526,539
PAYMENTS TO DATE	\$157,218,443	\$164,502,478

SECTION I—AWARDS
CONSTRUCTION SERVICES AWARDS
PURCHASE ORDERS

Purchase Orders are generally issued for the commodities of furniture and fixtures for buildings, such as dormitory and office furniture, medical equipment, computer equipment and laboratory equipment. Purchase Orders are generally awarded through competitive quoting and bidding processes or through the use of centralized government contracts.

From 2013 to 2014, the dollar value of awards decreased by \$11.6 million, or 24 percent, while the number of awards decreased by 31 percent.



AWARDS BY TYPE

Table 11 summarizes by broad service categories the dollar value and number of all purchase orders awarded during the last two years. The category of Furniture/Furnishings and Office, AV, & Computer decreased significantly, due primarily to significant completion of major sized projects at Harlem Hospital, SUNY Binghamton and the Justice Center in early 2014.

TABLE 11: DOLLAR VALUE & NUMBER OF PURCHASE ORDERS AWARDED BY TYPE OF SERVICE

Type of Service	2013	%	2013	%	2014	%	2014	%
Construction Supplies & Services	\$5,909,924	12%	84	10%	\$6,101,398	17%	51	9%
Furniture/Furnishings	14,364,335	30	277	33	9,954,938	28	183	32
Medical, Scientific & Lab	12,834,239	27	261	31	11,864,347	33	144	25
Office, A/V, & Computer	12,429,588	27	176	21	5,646,725	16	133	23
Miscellaneous	2,032,288	4	39	5	2,361,992	7	66	11
Total	\$47,570,374	100%	837	100%	\$35,929,400	100%	577	100%

SECTION I—AWARDS
CONSTRUCTION SERVICES AWARDS
PURCHASE ORDERS

AWARDS BY PROGRAM

Table 12 summarizes by program the dollar value and number of purchase orders awarded during the last two years.

TABLE 12: DOLLAR VALUE & NUMBER OF PURCHASE ORDERS AWARDED

Program	2013	%	2013	%	2014	%	2014	%
CUNY	\$22,301,941	47%	461	55%	\$23,200,420	65%	266	46%
MH/DOH	4,229,802	9	52	6	2,306,097	6	55	10
HHC	8,637,734	18	111	13	3,518,456	10	84	15
OCA	2,977,355	6	29	4	176,201	0	5	1
SUNY	9,116,619	19	175	21	6,718,696	19	166	29
Other	306,923	1	9	1	9,530	0	1	0
Total	\$47,570,374	100%	837	100%	\$35,929,400	100%	577	100%

SECTION I—AWARDS
CONSTRUCTION SERVICES AWARDS
PURCHASE ORDERS

LARGEST CONTRACTORS AND VENDORS

Tables 13 & 14 list information on the top twenty vendors awarded the largest total dollar value of purchase orders during the last two years. Such vendors represented 44 percent and 64 percent of the total dollar value of purchase orders issued in both 2013 and 2014 respectively.

TABLE 13: 2013 20 VENDORS AWARDED THE LARGEST TOTAL DOLLAR VALUE OF PURCHASE ORDERS

Vendor	Value
John Savoy & Son, Inc.	\$2,753,790
Dell Marketing L.P.	2,652,553
Sterling Modular Homes Inc.	1,789,944
Mythics Inc.	1,642,634
Johnson Controls Inc.	1,207,166
Bluewater Communications Group LLC	1,199,628
Apple Inc.	1,002,651
Knoll Inc.	926,756
The Gunlocke Company LLC	895,614
Carl Zeiss Microscopy LLC	879,476
Karl Storz Endoscopy—America Inc.	730,531
New England Woodcraft Inc.	728,880
Edart GTI Inc.	632,750
Hill-Rom Co., Inc.	598,496
Fisher Scientific Company	566,190
Adwar Video	547,476
Allsteel Inc.	536,023
Linde Gas North America LLC	526,667
RSI Equipment Inc.	495,556
New York State Technology Enterprises	449,673
Total	\$20,762,454
Percent of Total 2013 Awards	44%

SECTION I—AWARDS
CONSTRUCTION SERVICES AWARDS
PURCHASE ORDERS

LARGEST CONTRACTORS AND VENDORS

TABLE 14: 2014 20 VENDORS AWARDED THE LARGEST TOTAL DOLLAR VALUE OF PURCHASE ORDERS

Vendor	Value
FEI Company	*\$6,500,000
Presidio Networked Solutions Inc.	*3,999,317
John Savoy & Son, Inc.	1,580,912
Dell Marketing L.P.	1,553,052
Herman Miller Inc.	1,468,010
Siemens Medical Solutions USA Inc.	1,000,000
Vandis Inc.	911,393
Adden Furniture Inc.	879,432
Steelcase	832,062
Stryker Sales Corporation	580,398
Waldners Business Environments Inc.	500,014
U.S. Chemical Storage LLC	485,242
Alianza Services LLC	436,100
Ergonom Corporation	378,201
Simplex Grinnell LP	368,824
Core BTS Inc.	346,102
New York State Technology Enterprise	283,909
Butler Woodcrafters Inc.	282,379
Knoll Inc.	272,728
Adwar Video	269,652
Total	\$22,927,727
Percent of Total 2014 Awards	64%

* City College - ASRC

SECTION I—AWARDS
CONSTRUCTION SERVICES AWARDS
PURCHASE ORDERS
TERM PURCHASE ORDERS

Term purchase orders are used to competitively procure frequently purchased commodities for a specified period of time. These commodities include dormitory furniture, mattresses, bunk bed frames, window treatments and desk lamps to support the SUNY Equipment Replacement Program. Typical term purchase orders are three years with a two year renewal option. The specific amount, type, and location where the commodities are to be provided is added to each purchase order work authorized. The purchase order amounts shown represent the value issued against all purchase orders from inception to date.

TABLE 15: SUMMARY OF TERM PURCHASE ORDER PROGRAM

	2013	2014
Number of Contracts	11	15
Number of Vendors	11	10
Dollar Value Outstanding	\$11,212,648	\$10,007,666
Payments to Date	\$10,629,455	\$9,438,723

SECTION I—AWARDS
MINORITY AND WOMEN OWNED BUSINESS ENTERPRISES

Tables 16 through 31 provide information on total Professional Service, Construction and Purchase Orders awards to minority (MBE) and women (WBE) owned businesses enterprises during the last two years. From 2013 to 2014 there was an overall decrease in dollar value and number of M/WBE awards.

M/WBE Awards are provided and measured as a percentage of Total “Construction” Awards (Tables 16, 17, 22, and 27).

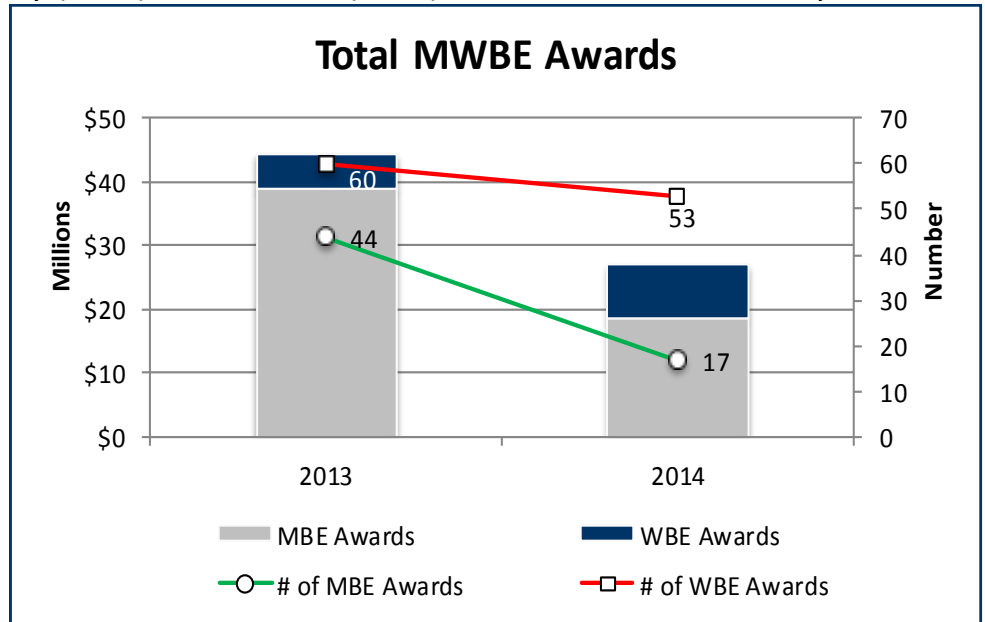


TABLE 16: DOLLAR VALUE & NUMBER OF TOTAL MWBE AWARDS

Designation	2013		2014		2013		2014	
	Dollar Value	%	Dollar Value	%	Number	%	Number	%
MBE Awards	\$38,872,532	11%	\$18,719,207	6%	44	4%	17	2%
WBE Awards	\$5,574,465	2%	\$8,363,665	3%	60	6%	53	8%
Total Awards	\$370,159,792		\$317,585,490		986		702	

SECTION I—AWARDS
MINORITY AND WOMEN OWNED BUSINESS ENTERPRISES
PROFESSIONAL SERVICE CONTRACTS

Tables 17 through 21 provide information on MWBE professional service contract awards.

TABLE 17: DOLLAR VALUE & NUMBER OF MWBE PROFESSIONAL SERVICE CONTRACTS AWARDED

Designation	2013	%	2013	%	2014	%	2014	%
MBE Awards	\$10,856	.03%	1	3%	0	0%	0	0%
WBE Awards	\$0	0%	0	0%	\$0	0%	0	0%
Total Awards	\$38,004,743		30		63,651,383		21	

TABLE 18: 2014 MWBE PROFESSIONAL SERVICE CONTRACTORS AWARDED CONTRACTS TOTALING OVER \$500K

Designation	Contractor	Dollar Value	Number
WBE	*	\$0	0
Total		\$0	0

*There were no MWBE professional service contracts awarded

TABLE 19: 2014 MWBE PROFESSIONAL SERVICE CONTRACTORS AWARDED CONTRACTS TOTALING OVER \$500K

Designation	Contractor	Dollar Value	Number
WBE	*	\$0	0
Total		\$0	0

*There were no MWBE professional service contracts awarded

SECTION I—AWARDS
MINORITY AND WOMEN OWNED BUSINESS ENTERPRISES
PROFESSIONAL SERVICE CONTRACTS
TERM CONTRACTS

TABLE 20: 2013 MWBE TERM CONTRACTORS WITH TOTAL OUTSTANDING CONTRACTS IN EXCESS OF \$500K

Designation	Contractor	Dollar Value	Number
MBE	Ahuja Partnership Architects	\$13,137,002	3
WBE	John Martin Associates Inc.	5,285,908	2
WBE	Pathfinder Engineers And Architects LLP	4,471,458	3
WBE	Goshow Architects LLP	3,399,455	1
WBE	Foit Albert	3,275,343	2
WBE	Environmental Planning & Management Inc.	3,146,381	3
MBE	Watts Architecture & Engineering PC	2,957,164	4
WBE	Freeman Enterprises Inc.	1,247,169	1
MBE	HAKS Engineers Architects & Land Surveyors	1,106,796	1
MBE	WM Group Engineers PC	1,003,960	1
MBE	Ting & Li Architects PC	763,266	1
MBE	Razak Associates Architecture Engineering Land Surveyors	680,207	1
MBE	Alante Security Group Inc.	676,311	1
WBE	Curtis+Ginsberg Architects LLP	507,250	1
Total		\$41,657,670	25

SECTION I—AWARDS
MINORITY AND WOMEN OWNED BUSINESS ENTERPRISES
PROFESSIONAL SERVICE CONTRACTS
TERM CONTRACTS

TABLE 21: 2014 MWBE TERM CONTRACTORS WITH TOTAL OUTSTANDING CONTRACTS IN EXCESS OF \$500K

Designation	Contractor	Dollar Value	Number
MBE	Ahuja Partnership Architects	\$10,664,539	2
WBE	Pathfinder Engineers And Architects LLP	5,698,527	3
WBE	John Martin Associates Inc.	5,269,583	2
WBE	Foit Albert	3,669,482	2
WBE	Environmental Planning & Management Inc.	3,555,597	3
WBE	Goshow Architects LLP	3,535,390	1
MBE	Watts Architecture & Engineering PC	3,270,579	4
MBE	HAKS Engineers Architects & Land Surveyors	1,838,303	1
MBE	WM Group Engineers PC	1,010,185	1
MBE	Razak Associates Architecture Engineering Land Surveyors	690,207	1
MBE	Maitra Associates PC	649,073	1
WBE	Curtis+Ginsberg Architects LLP	545,680	1
Total		\$40,397,145	22

SECTION I—AWARDS
MINORITY AND WOMEN OWNED BUSINESS ENTERPRISES
CONSTRUCTION CONTRACTS

Tables 22 through 26 provide information on MWBE construction contract awards.

TABLE 22: DOLLAR VALUE & NUMBER OF MWBE CONSTRUCTION CONTRACTS AWARDED

Designation	2013	%	2013	%	2014	%	2014	%
MBE Awards	\$38,165,524	13%	17	14%	\$18,209,692	8%	8	8%
WBE Awards	\$3,619,157	1%	7	6%	\$6,731,967	3%	5	5%
Total Awards	\$284,584,675		119		\$218,004,707		104	

TABLE 23: 2013 MWBE CONSTRUCTION CONTRACTORS AWARDED CONTRACTS TOTALING OVER \$500 THOUSAND

Designation	Contractor	Dollar Value	Number
MBE	Manhattan Business Interiors Inc.	\$16,698,995	3
MBE	Admiral Construction LLC	12,993,000	1
MBE	Dean Builders Group Inc.	2,217,000	1
WBE	BLM Construction Co., Inc.	2,045,900	3
MBE	AGA Environmental Inc.	1,651,000	1
WBE	AMG Environmental Restoration LLC	971,000	1
MBE	The Urban Group Ltd	871,355	1
MBE	The Cardenas Group Inc.	768,000	2
MBE	S&P Constr. Management Inc.	766,786	1
MBE	Sierra Mechanical Contracting Inc.	678,660	1
Total		\$39,621,993	15

SECTION I—AWARDS
MINORITY AND WOMEN OWNED BUSINESS ENTERPRISES
CONSTRUCTION CONTRACTS

TABLE 24: 2014 MWBE CONSTRUCTION CONTRACTORS AWARDED CONTRACTS TOTALING OVER \$500 THOUSAND

Designation	Contractor	Dollar Value	Number
MBE	UTB-United Technology Inc.	\$8,437,450	1
WBE	Empire Control Abatement Inc.	4,410,000	1
MBE	Sierra Mechanical Contracting Inc.	2,796,420	1
MBE	Dynasty Stainless Steel & Metal Industries	2,588,000	1
MBE	Manhattan Business Interiors Inc.	1,947,229	1
MBE	Ark Systems Electric Corp	1,281,000	1
WBE	PTL Contracting Corp	1,017,000	1
WBE	BN Systems Inc.	979,240	1
MBE	Rams Mechanical Inc.	702,893	1
Total		\$24,159,232	9

SECTION I—AWARDS
MINORITY AND WOMEN OWNED BUSINESS ENTERPRISES
CONSTRUCTION CONTRACTS
JOB ORDERING CONTRACTS (JOC)

Tables 25 & 26 demonstrate how Job Order Contract (“JOCs”) continue to be a significant source of MWBE utilization at DASNY.

TABLE 25: 2013 MWBE JOC CONTRACTORS WITH TOTAL OUTSTANDING CONTRACTS IN EXCESS OF \$500K

Designation	Contractor	Dollar Value	Number
MBE	Sierra Mechanical Contracting Inc.	\$11,915,495	1
WBE	Corbex Inc.	11,190,819	2
MBE	AGA Environmental Inc.	3,234,839	1
MBE	Geomatrix Services Inc.	2,291,857	2
WBE	International Asbestos Removal Inc.	2,905,022	1
MBE	Charan Electrical Enterprises Inc.	2,467,293	1
MBE	D&K Construction Co Inc.	1,610,740	1
WBE	John R. Wilkins Construction, Inc.	1,265,843	2
WBE	Innovative Mechanical Systems Inc.	706,285	2
Total		\$38,218,193	13

TABLE 26: 2014 MWBE JOC CONTRACTORS WITH TOTAL OUTSTANDING CONTRACTS IN EXCESS OF \$500K

Designation	Contractor	Dollar Value	Number
MBE	Sierra Mechanical Contracting Inc.	\$11,955,553	3
WBE	Corbex Inc.	10,795,851	1
MBE	AGA Environmental Inc.	6,274,055	2
MBE	Geomatrix Services Inc.	3,677,612	2
WBE	International Asbestos Removal Inc.	2,905,022	1
MBE	Charan Electrical Enterprises Inc.	2,865,853	2
MBE	D&K Construction Co Inc.	1,664,249	1
WBE	John R. Wilkins Construction, Inc.	1,345,033	2
WBE	Innovative Mechanical Systems Inc.	907,526	2
MBE	Laser Electrical Contracting Inc.	680,694	1
Total		\$43,071,448	17

SECTION I—AWARDS
MINORITY AND WOMEN OWNED BUSINESS ENTERPRISES
PURCHASE ORDERS

Tables 27 through 31 provide information on MWBE purchase order awards.

TABLE 27: DOLLAR VALUE & NUMBER OF MWBE PURCHASE ORDERS AWARDED

Designation	2013	%	2013	%	2014	%	2014	%
MBE Awards	\$696,152	1%	26	3%	\$509,515	1%	9	2%
WBE Awards	\$1,955,308	4%	53	6%	\$1,631,698	5%	48	8%
Total Awards	\$47,570,374		837		\$35,929,400		577	

TABLE 28: 2013 MWBE VENDORS AWARDED PURCHASE ORDERS TOTALING OVER \$100 THOUSAND

Designation	Contractor	Dollar Value	Number
WBE	RSI Equipment Inc.	\$495,556	13
WBE	FM Office Express Inc.	206,263	15
WBE	Culver Floor Covering Co., Inc.	199,354	1
MBE	Big Apple Sign Corp	179,817	1
WBE	Debra Audette dba Ace Window Fashions	158,994	2
WBE	Superior Windows LLC	157,510	2
WBE	Waldners Business Environments Inc.	148,769	5
WBE	Annese & Associates	142,459	2
MBE	Nexus Consortium Inc.	136,904	1
WBE	John W. Francisco Inc.	132,253	2
Total		\$1,957,879	44

SECTION I—AWARDS
MINORITY AND WOMEN OWNED BUSINESS ENTERPRISES
PURCHASE ORDERS

TABLE 29: 2014 MWBE VENDORS AWARDED PURCHASE ORDERS TOTALING OVER \$100 THOUSAND

Designation	Contractor	Dollar Value	Number
WBE	Waldners Business Environments Inc.	\$491,514	4
MBE	Alianza Services LLC	436,100	2
WBE	Annese & Associates Inc.	263,102	2
WBE	RSI Equipment Inc.	158,139	15
WBE	Pride Carpet Inc. dba Pride Flooring	133,419	3
WBE	Design Specialist Inc.	112,024	1
WBE	Van Bortel Ford Inc.	102,606	1
WBE	Earth Road Inc.	100,656	3
Total		\$1,797,560	31

SECTION I—AWARDS
MINORITY AND WOMEN OWNED BUSINESS ENTERPRISES
CONSTRUCTION CONTRACTS
TERM PURCHASE ORDERS

**TABLE 30: 2013 MWBE TERM PURCHASE ORDER VENDORS WITH
TOTAL OUTSTANDING PURCHASE ORDERS IN EXCESS OF \$250 THOUSAND**

Designation	Vendor	Dollar Value	Number
WBE	The Chambers Corp	\$713,565	4
WBE	Superior Windows LLC	\$280,039	4
Total		\$993,604	8

**TABLE 31: 2014 MWBE TERM PURCHASE ORDER VENDORS WITH
TOTAL OUTSTANDING PURCHASE ORDERS IN EXCESS OF \$250 THOUSAND**

Designation	Vendor	Dollar Value	Number
WBE	The Chambers Corp	\$680,023	1
Total		\$680,023	1

SECTION II—EXPENDITURES

PUBLIC FINANCE AND FINANCIAL SERVICES

For purposes of this analysis, Public Finance and Financial Services have been combined because, in many instances, vendors and subject matter overlap. Expenditures primarily include underwriting/remarketing, mortgage banking and mortgage servicing fees, credit enhancement, and rating agency fees.

For Public Finance and Financial Services, most items relate to costs of issuance incurred in connection with DASNY’s financing process, a series of individual fixed price transactions rather than a multi-year contract, and, therefore, expenditures made during the period have been summarized. As noted in the Introduction, this Report also includes payments in excess of \$5,000 made by DASNY to vendors selected by DASNY’s customers (e.g., borrowers), where DASNY did not select the vendor and does not hold a contract with the vendor. For example, certain expenditures summarized below, such as those paid for certain credit enhancement, financial/swap advisor, ratings and other, were paid to vendors selected by DASNY’s customers from bond proceeds in connection with financings undertaken by DASNY for those customers.

TABLE 32: SUMMARY OF 2013 EXPENDITURES — PUBLIC FINANCE AND FINANCIAL SERVICES

Category	Amount	% of Total
Underwriting/Remarketing	\$30,729,770	69%
Credit Enhancement	6,755,030	15
Financial/Swap Advisors	2,889,557	6
Ratings	2,179,626	5
Trustee/Custody	760,146	2
Mortgage Servicing	167,074	0
Other	1,156,430	3
Total	\$44,637,633	100%

TABLE 33: SUMMARY OF 2014 EXPENDITURES — PUBLIC FINANCE AND FINANCIAL SERVICES

Category	Amount	% of Total
Underwriting/Remarketing	\$21,480,392	72%
Credit Enhancement	5,415,420	18
Ratings	1,208,053	4
Financial/Swap Advisors	909,565	3
Trustee/Custody	411,469	1
Other	699,973	2
Total	\$30,124,872	100%

SECTION II—EXPENDITURES
PUBLIC FINANCE AND FINANCIAL SERVICES

Tables 34 through 38 highlight all firms paid more than \$1 million during the past two years. Such firms represented 73 & 73 percent of the total dollar value for 2013 and 2014 respectively.

TABLE 34: SUMMARY OF MAJOR EXPENDITURES

	2013	2014
Total Vendors – Over \$1 Million	\$32,366,705	\$22,607,891
Total Expenditures – Public Finance and Finance	\$44,637,633	\$30,124,872
Vendors Over \$1 Million as a Percentage of Total Payments	73%	75%

SECTION II—EXPENDITURES

PUBLIC FINANCE AND FINANCIAL SERVICES

TABLE 35: 2013 UNDERWRITING/REMARKETING AND MORTGAGE BANKING - EXPENDITURES OVER \$1 MILLION

Senior Manager	Amount
Goldman Sachs & Company	\$9,835,013
Merrill Lynch Pierce Fenner & Smith Inc.	7,343,723
J.P. Morgan Securities, Inc.	3,915,699
RBC Dain Rauscher Inc., D/B/A RBC Capital	2,803,131
Citigroup Global Markets Inc.	1,444,976
Roosevelt & Cross Incorporated	1,336,986
Ramirez & Company	1,208,212
Total	\$27,887,740
Percent Total Expenditures — Public Finance & Financial Services	62%

TABLE 36: 2014 UNDERWRITING/REMARKETING AND MORTGAGE BANKING - EXPENDITURES OVER \$1 MILLION

Senior Manager	Amount
Citigroup Global Markets Inc.	\$6,076,027
Siebert Brandford Shank & Co., LLC	5,627,282
J.P. Morgan Securities, Inc.	5,581,885
Raymond James & Associates Inc.	1,343,743
Total	\$18,628,937
Percent Total Expenditures — Public Finance & Financial Services	62%

Tables 35 and 36: Amounts represent total underwriter's compensation that is ultimately allocated amongst the syndicate of underwriters.

SECTION II—EXPENDITURES
PUBLIC FINANCE AND FINANCIAL SERVICES

TABLE 37: 2013 CREDIT ENHANCEMENT — EXPENDITURES OVER \$1 MILLION

Providers	Amount
Bank of America	\$2,259,958
Royal Bank of Canada	1,194,824
TD Bank N.A.	1,024,183
Total	\$4,478,965
Percent Total Expenditures — Public Finance & Financial Services	10%

TABLE 38: 2014 CREDIT ENHANCEMENT — EXPENDITURES OVER \$1 MILLION

Providers	Amount
Bank of America	\$1,703,448
Royal Bank of Canada	1,159,813
TD Bank N.A.	1,003,591
Total	\$3,866,852
Percent Total Expenditures — Public Finance & Financial Services	13%

SECTION II—EXPENDITURES

PUBLIC FINANCE AND FINANCIAL SERVICES

Underwriters on transactions related to public clients are generally selected by DASNY from the list of underwriters determined through DASNY’s competitive RFP process. Private clients generally select underwriters on their transactions. Tables 39 and 40 detail underwriting fees by type of client.

The Fee per \$1,000 of bonds issued is higher, as expected, on private client transactions than on public client transactions due to generally increased complexity and relatively smaller size. The Fee per \$1,000 of bonds issued increased from 2013 to 2014 for both private and public issues.

The overall average issue size decreased and average fee per issue increased from 2013 to 2014.

TABLE 39: SUMMARY OF 2013 UNDERWRITING FEES BY TYPE OF CLIENT

	Fees	Percent of Total	Bonds Issued	Number of Issues	Average Size	Fee Per \$1,000	Average Fee Per Issue
Private	\$7,764,052	27%	\$1,256,485,000	21	\$59,832,619	\$6.18	\$369,717
Public	21,333,681	73	4,652,350,000	6	775,391,667	4.59	3,555,614
Total	\$29,097,733	100%	\$5,908,835,000	27	\$218,845,741	\$4.92	\$1,077,694

TABLE 40: SUMMARY OF 2014 UNDERWRITING FEES BY TYPE OF CLIENT

	Fees	Percent of Total	Bonds Issued	Number of Issues	Average Size	Fee Per \$1,000	Average Fee Per Issue
Private	\$2,876,073	14%	\$366,377,853	10	\$36,637,785	\$7.85	\$287,607
Public	17,881,314	86	3,437,595,000	8	429,699,375	5.20	2,235,164
Total	\$20,757,387	100%	\$3,803,972,853	18	\$211,331,825	\$5.46	\$1,153,188

SECTION II—EXPENDITURES

COUNSEL’S OFFICE

For Counsel’s Office, most items relate to costs of issuance incurred in connection with DASNY’s financing process, a series of individual fixed price transactions rather than a multi-year contract, and therefore, expenditures made during the period have been summarized.

As noted in the Introduction, this Report also includes payments in excess of \$5,000 made by DASNY to vendors selected by DASNY’s customers (e.g., borrowers), where DASNY did not select the vendor and does not hold a contract with the vendor. For example, certain expenditures summarized below, such as those paid for borrower’s counsel, title insurance, and insurer/swap counsel, were paid to vendors selected by DASNY’s customers from bond proceeds in connection with financings undertaken by DASNY for those customers. Additionally, the payments listed in Tables 41 through 45 below are reported on a cash basis, and not the accrual basis used by DASNY for financial reporting purposes.

TABLE 41: SUMMARY OF 2013 EXPENDITURES — COUNSEL’S OFFICE

Category	Amount	Percent of Total
Bond Counsel	\$6,587,839	49%
Institutions Counsel	3,191,986	23
Real Estate Counsel	1,292,793	10
Title Insurance	1,130,437	8
Litigation Counsel	682,334	5
Insurer/Swap Counsel	381,424	3
Other	276,040	2
Total	\$13,542,853	100%

TABLE 42: SUMMARY OF 2014 EXPENDITURES — COUNSEL’S OFFICE

Category	Amount	Percent of Total
Bond Counsel	\$3,482,124	35%
Institutions Counsel	1,561,383	16
Litigation Counsel	1,532,330	12
Real Estate Counsel	1,132,862	16
Special Counsel	1,086,555	11
Title Insurance	445,061	5
Defeasance Counsel	293,120	3
Other	215,367	2
Total	\$9,748,802	100%

SECTION II—EXPENDITURES

COUNSEL’S OFFICE

Tables 43 through 47 highlight the ten highest paid firms, which represented 75 percent of all expenditures listed in the Report within the Counsel’s Office section for 2013 and 2014, respectively.

TABLE 43: SUMMARY OF MAJOR EXPENDITURES

	2013	2014
Total — Ten Highest Paid Legal Firms and All Title Costs	\$10,141,982	\$7,325,908
Total Expenditures — Counsel's Office	\$13,542,853	\$9,748,802
Ten Highest Paid Legal Firms and All Title Costs as a Percentage of Total Expenditures	75%	75%

SECTION II—EXPENDITURES
COUNSEL’S OFFICE

TABLE 44: 2013 TEN HIGHEST PAID LEGAL FIRMS

Firm	Amount
Orrick, Herrington & Sutcliffe LLP	\$1,983,164
Nixon Peabody LLP	1,579,428
Hawkins, Delafield & Wood LLP	1,257,026
Holland & Knight LLP	866,685
Hiscock & Barclay LLP	678,279
Sidley Austin LLP	624,836
Harris Beach LLP	620,500
Pillsbury Winthrop Shaw Pittman LLP	586,069
Squire, Sanders & Dempsey LLP	445,563
Berger & Webb LLP	369,995
Total	\$9,011,545
Percent Total Expenditures — Counsel's Office	67%

TABLE 45: 2014 TEN HIGHEST PAID LEGAL FIRMS

Firm	Amount
Hawkins, Delafield & Wood LLP	\$1,566,849
Nixon Peabody LLP	1,395,543
Holland & Knight LLP	1,010,937
Berger & Webb LLP	961,154
Pillsbury Winthrop Shaw Pittman LLP	485,605
Squire, Sanders & Dempsey LLP	340,764
Schiff Hardin LLP	329,911
Hiscock & Barclay LLP	266,814
Orrick, Herrington & Sutcliffe LLP	262,800
Harris Beach LLP	260,470
Total	\$6,880,847
Percent Total Expenditures — Counsel's Office	71%

SECTION II—EXPENDITURES

COUNSEL’S OFFICE

TABLE 46: 2013 TITLE EXPENDITURES

Provider	Amount
Stewart Title Insurance Company	\$257,369
First American Title Insurance Company	250,848
Chicago Title Insurance Company	204,159
American Land Services Inc.	170,675
St. Francis College	66,455
Poughkeepsie Abstract Company Inc.	65,861
William R. Kenyon D/B/A Kenyon & Kenyon	48,216
Phillips Lytle LLP	31,596
Titlevest Services LLC	16,558
GCA Abstract LLC D/B/A GCA Abstract Comp	11,200
Fidelity National Title Insurance Comp	7,500
All Title Costs	\$1,130,437
Percent Total Expenditures – Counsel’s Office	8%

TABLE 47: 2014 TITLE EXPENDITURES

Provider	Amount
GCA Abstract LLC D/B/A GCA Abstract Comp	\$111,596
Chicago Title Insurance Company	110,594
Fidelity National Title Insurance Comp	71,994
Poughkeepsie Abstract Company Inc.	69,998
Commonwealth Land Title Insurance Co.	48,509
Stewart Title Insurance Company	32,370
All Title Costs	\$445,061
Percent Total Expenditures – Counsel’s Office	5%

SECTION II—EXPENDITURES

ADMINISTRATION AND OPERATIONS SERVICES

Administration and Operations Services includes costs incurred for the ongoing administration and operations of DASNY , such as occupancy, information services and telecommunications, and risk management expenses. These items are included within the Operating Budget or are paid from other program operating funds and have also been summarized by expenditures made during the period.

Tables 48 and 49 summarize 2013 and 2014 expenditures by major category. Expenditures relate to both program and DASNY operations.

TABLE 48: SUMMARY OF 2013 EXPENDITURES BY CATEGORY

Category	Amount	Percent of Total
Risk Management	\$10,055,445	53%
One Penn Plaza	2,874,737	15
I/S & Telecommunications	1,765,099	9
Executive Initiatives	729,977	4
Travel	522,228	3
Building/Utilities	501,066	3
Financial Management	434,836	2
Office Expense	409,297	2
Parking	290,019	2
Vehicle/Maintenance	150,258	1
Human Resources/Benefits	53,898	0
Other	1,216,980	6
Total	\$19,003,840	100%

SECTION II—EXPENDITURES
ADMINISTRATION AND OPERATIONS SERVICES

TABLE 49: SUMMARY OF 2014 EXPENDITURES BY CATEGORY

Category	Amount	Percent of Total
Risk Management	\$3,139,030	26%
One Penn Plaza	2,903,515	24
I/S & Telecommunications	2,296,981	19
Building/Utilities	506,887	4
Financial Management	487,540	4
Travel	461,946	4
Executive Initiatives	420,706	3
Office Expense	356,336	3
Parking	337,196	3
Vehicle/Maintenance	185,610	2
Human Resources/Benefits	38,813	0
Other	1,132,358	9
Total	\$12,266,918	100%

SECTION II—EXPENDITURES
ADMINISTRATION AND OPERATIONS SERVICES

Tables 50 through 52 highlight all vendors paid more than \$100,000, which represented 85 percent, and 79 percent of all expenditures listed in the Administration and Operations Services section for 2013 and 2014, respectively.

TABLE 50: SUMMARY OF MAJOR EXPENDITURES

Total Administration & Operations Services	2013	2014
Vendors Over \$100,000	\$16,201,183	\$9,694,289
Total Expenditures – Administration & Operations	\$19,003,840	\$12,266,918
Vendors Over \$100,000 as a Percentage of Total Administration & Operations Services	85%	79%

SECTION II—EXPENDITURES

ADMINISTRATION AND OPERATIONS SERVICES

TABLE 51: 2013 VENDORS OVER \$100,000

Vendor	Amount
Marsh USA Inc.	\$8,228,788
One Penn Plaza LLC	2,874,737
Rose & Kiernan	894,702
Crawford & Company	419,565
Health Research Inc.	397,414
KPMG LLP	317,500
Sentry Insurance	308,636
Albany Parking Authority	278,139
Citibank	265,543
National Grid	253,987
40 West 20 th Street Condominium	226,182
Systems Management Planning, Inc.	192,261
Renee Sacks Associates, Inc.	156,988
Jamaica Business Resource Center Inc.	145,393
Verizon Wireless (VAW) LLC	140,858
U.S. Bank, National Association	140,495
Hartford Financial Services Group, Inc.	137,585
Hewlett Packard Company	131,318
Treasurer, City of Albany	125,000
RMBDC, Inc.	124,290
NYS Industries for Disabled Inc.	117,202
Hoselton Chevrolet, Inc.	115,820
Oracle America Inc.	105,326
NYS Department of Labor	103,454
Total Vendors Over \$100,000	\$16,201,183
Percent Total Expenditures - Administration & Operations Services	85%

SECTION II—EXPENDITURES
ADMINISTRATION AND OPERATIONS SERVICES

TABLE 52: 2014 VENDORS OVER \$100,000

Vendor	Amount
One Penn Plaza LLC	\$2,903,515
Marsh USA Inc.	1,540,389
Rose & Kiernan	867,500
Oracle America Inc.	420,702
Health Research Inc.	389,881
KPMG LLP	365,700
Albany Parking Authority	326,248
National Grid	301,172
Sentry Insurance	296,646
Crawford & Company	264,146
40 West 20th Street Condominium	263,403
Citibank	246,665
Systems Management Planning, Inc.	193,465
Light & Power Communications, Ltd	169,993
Dell Marketing L.P.	144,982
Wright Express FSC	144,903
U.S. Bank, National Association	129,907
NYS Office of General Services	126,766
Verizon Wireless (VAW) LLC	126,670
Treasurer, City of Albany	125,000
Hoselton Chevrolet, Inc.	123,227
Hewlett Packard Company	111,779
The Cayemitte Group	111,630
Total Vendors Over \$100,000	\$9,694,289
Percent Total Expenditures - Administration & Operations Services	79%

D A S N Y
 Procurement Contract Annual Report
 for the Period Commencing
 April 1, 2013 and Ending March 31, 2014

CONSTRUCTION SERVICES
SUMMARY OF AWARDS

	Original Encumbered Value	Current Encumbered Value	Fiscal Period Payments	All Payments To-Date
21 Professional Service Contracts	63,651,383	71,494,183	29,132,042	29,132,042
104 Construction Contracts	218,004,707	220,051,163	41,254,038	41,254,038
577 Purchase Orders (Construction)	35,929,400	37,450,693	11,980,773	11,980,773
702	317,585,490	328,996,039	82,366,853	82,366,853

Executive Summary - APPENDIX A
Awarded Professional Services

Award Date	Complete Date	Contractor/Vendor	ContractID	Comm/ Service	Award Code	Original Encum. Value	Current Encum. Value	Fiscal Period Payments	Payments To-Date	NYS	Prog. MWBE Bids
Professional Service Contracts											
04/19/13	08/16/13	ARCHITECTURAL TESTING, INC.	00174722	400	E	60,000	60,000	17,750	17,750	Y	52
04/19/13	08/16/13	ARCHITECTURAL TESTING, INC.	00174723	400	E	60,000	60,000	7,750	7,750	Y	52
01/21/14	02/07/14	FULCRUM FACILITIES SERVICES LLC DBA	00180101	266	E	206,090	206,090	0	0	Y	41
08/15/13	08/14/14	FULCRUM FACILITIES SERVICES LLC DBA	00172774	255	A2	190,287	190,287	190,287	190,287	Y	33
02/04/14	08/28/14	GENESYS ENGINEERING P.C.	00180315	266	A1E	2,933,841	2,933,841	0	0	Y	40
04/22/13	12/31/14	GENESYS ENGINEERING P.C.	00175186	266	A2	3,350,142	3,591,398	2,967,508	2,967,508	Y	41
07/17/13	10/30/15	GENESYS ENGINEERING P.C.	00177122	266	A2	21,627,324	21,627,324	922,670	922,670	Y	40
12/16/13	04/04/14	GRAF & LEWENT ARCHITECTS LLP	00177999	255	E	50,450	50,450	0	0	Y	40
05/28/13	05/31/13	KALLEN & LEMELSON, CONSULTING ENG.	00175239	265	E	15,146	15,146	8,817	8,817	Y	41
04/25/13	08/10/13	KIRCHHOFF-CONSIGLI CONSTRUCTION	00174317	302	A2	1,887,888	4,362,755	4,030,511	4,030,511	Y	52
05/02/13	04/16/14	KIRCHHOFF-CONSIGLI CONSTRUCTION	00171037	307	A2	2,859,731	3,709,288	3,288,850	3,288,850	Y	52
06/06/13	08/09/14	KIRCHHOFF-CONSIGLI CONSTRUCTION	00177147	307	E	480,901	491,670	467,086	467,086	Y	52
07/25/13	07/02/14	KIRCHHOFF-CONSIGLI CONSTRUCTION	00175184	302	A2	14,079,434	17,623,114	12,198,406	12,198,406	Y	52
04/24/13	08/31/14	LOMONACO & PITTS, ARCHITECTS P.C. D/B/A	00174217	255	A2	1,054,971	1,062,954	794,536	794,536	Y	52
04/19/13	12/31/13	R.G. VANDERWEIL ENGINEERS, PC	00172375	265	E	47,170	47,170	0	0	Y	28
10/11/13	12/31/16	STANTEC ARCHITECTURE, INC.	00177032	255	A2	1,077,114	1,077,114	0	0	Y	33
02/27/14	03/03/14	TDX CONSTRUCTION CORP.	00178459	302	E	312,327	312,327	0	0	Y	40
11/15/13	03/31/18	TRUDEAU ARCHITECTS PLLC	00175707	255	E	995,000	995,000	549,688	549,688	Y	28
10/04/13	01/19/14	URS CORPORATION - NEW YORK	00176946	307	A2	156,484	156,484	36,306	36,306	Y	41
07/16/13	02/28/14	WENDEL ENERGY SERVICES, LLC	00175555	266	A2	364,260	1,078,948	295,637	295,637	Y	40
09/25/13	04/30/14	WENDEL ENERGY SERVICES, LLC	00177124	266	A2	11,842,823	11,842,823	3,356,240	3,356,240	Y	40
Total Awarded Professional Service Contracts						63,651,383	71,494,183	29,132,042	29,132,042		

Award Date	Complete Date	Contractor/Vendor	ContractID	Comm/ Service	Award Code	Original Encum. Value	Current Encum. Value	Fiscal Period Payments	Payments To-Date	NYS	Prog. MWBE Bids
01/24/14	10/06/15	ADIRONDACK CABLING INC. D/B/A ADIRONDACK	00180155	700	A1E	3,109,352	3,109,352	0	0	Y	21
03/03/14	10/14/14	AMCON CONTRACTING CORP.	00180392	600	A1	682,500	682,500	0	0	Y	41
06/06/13	04/30/14	ARK SYSTEMS ELECTRIC CORP.	00176685	600	A1	1,281,000	1,281,000	338,193	338,193	Y	40
04/15/13	10/31/13	BILLITIER ELECTRIC INC.	00175643	700	A1	54,800	355,700	293,170	293,170	Y	28
04/15/13	03/31/14	BILTMORE GENERAL CONTRACTING INC.	00175702	600	A1	2,168,000	2,168,000	139,032	139,032	Y	40
06/24/13	10/02/14	BN SYSTEMS, INC.	00177095	600	A1	979,240	979,240	173,449	173,449	Y	28
09/04/13	11/06/13	BOULEY ASSOCIATES, INC.	00178106	803	A1	167,000	167,000	153,924	153,924	Y	28
07/22/13	02/14/14	COMMANDER ELECTRIC INC.	00177507	600	A1	348,000	351,584	283,958	283,958	Y	28
09/04/13	11/04/13	CONDOR FIRE SPRINKLER CO., LLC	00178104	803	A1	332,500	332,500	125,534	125,534	Y	28
09/04/13	11/04/13	CONDOR FIRE SPRINKLER CO., LLC	00178105	803	A1	338,500	338,500	14,412	14,412	Y	28
10/18/13	01/31/14	CONDOR FIRE SPRINKLER CO., LLC	00178789	803	A1	112,900	112,900	14,630	14,630	Y	28
05/01/13	08/30/13	CON-TECH BUILDING SYSTEMS, INC	00176052	600	A1	515,900	632,264	600,651	600,651	Y	28
06/06/13	08/23/13	CON-TECH BUILDING SYSTEMS, INC	00176674	600	A1	821,500	924,871	924,871	924,871	Y	52
06/06/13	08/30/13	CON-TECH BUILDING SYSTEMS, INC	00176686	901	A1	343,650	359,485	317,271	317,271	Y	28
06/06/13	09/16/13	CON-TECH BUILDING SYSTEMS, INC	00176705	901	A1	195,795	207,899	180,855	180,855	Y	28
06/06/13	09/18/13	CON-TECH BUILDING SYSTEMS, INC	00176706	901	A1	167,848	167,848	127,883	127,883	Y	28
06/06/13	08/30/13	CON-TECH BUILDING SYSTEMS, INC	00176707	901	A1	199,495	204,344	194,127	194,127	Y	28
09/03/13	11/06/13	CON-TECH BUILDING SYSTEMS, INC	00178065	803	A1	139,900	139,900	94,958	94,958	Y	28
09/03/13	11/12/13	CON-TECH BUILDING SYSTEMS, INC	00178066	803	A1	141,900	141,900	107,139	107,139	Y	28
12/04/13	05/16/14	CON-TECH BUILDING SYSTEMS, INC	00179443	803	A1	294,500	294,500	14,660	14,660	Y	28
03/14/14	10/01/14	DIEKOW ELECTRIC & CONST INC.	00180808	700	A1	99,800	99,800	0	0	Y	21
05/30/13	06/30/16	DIGESARE MECHANICAL, INC.	00176572	800	A1	3,375,000	3,375,000	846,781	846,781	Y	28
02/26/14	08/08/14	DOW ELECTRIC INC.	00180573	600	A1	1,482,996	1,482,996	0	0	Y	52
07/09/13	10/25/13	DYNAMIC MECHANICAL CONTRACTORS INC	00177305	600	A1	2,242,000	2,246,893	655,500	655,500	Y	40
11/04/13	10/30/14	DYNASTY STAINLESS STEEL & METAL	00179069	600	A1	2,588,000	2,588,000	0	0	Y	40
04/18/13	10/16/13	EMCOR/BETLEM SERVICE CORPORATION	00175786	804	A1	79,526	79,526	75,550	75,550	Y	28
07/23/13	12/31/13	EMCOR/BETLEM SERVICE CORPORATION	00177514	804	A1	95,282	95,282	90,518	90,518	Y	28
05/21/13	05/31/14	EMPIRE CONTROL ABATEMENT INC.	00176412	600	A1	4,410,000	4,410,000	510,542	510,542	Y	41
09/13/13	12/31/14	FGI CORPORATION	00178255	600	A1	2,075,000	2,075,000	75,001	75,001	Y	41
04/24/13	10/16/13	FIRST GENERAL OF SOUTHERN NY, INC.	00175912	600	A1	676,223	676,223	638,948	638,948	Y	28
05/14/13	12/13/14	FRAMAN MECHANICAL INC.	00176247	600	A1	4,255,000	4,378,217	1,101,078	1,101,078	Y	40
11/08/13	09/30/14	GEM CONSTRUCTION & RESTORATION CORP	00179145	600	A1	1,342,000	1,342,000	32,129	32,129	Y	40
04/15/13	11/15/13	GRIDSPAN CORPORATION	00175644	600	A1	385,000	385,000	233,082	233,082	Y	40
03/18/14	10/01/14	H.J. BRANDELES CORPORATION	00180888	805	A1	83,888	83,888	0	0	Y	21

Construction Contracts

Executive Summary - APPENDIX B
Awarded Construction Contracts

Award Date	Complete Date	Contractor/Vendor	ContractID	Comm/ Service	Award Code	Original Encum. Value	Current Encum. Value	Fiscal Period Payments	Payments To-Date	NYS	Prog. MWBE Bids
03/20/14	08/07/15	HAROLD R. CLUNE, INC.	00180957	600	A1	1,570,000	1,570,000	0	0	Y	52
05/14/13	06/30/16	HAROLD R. CLUNE, INC.	00176242	700	A1	306,400	306,400	95,240	95,240	Y	28
05/29/13	08/02/13	HARVEY EXCAVATION & CONSTRUCTION CO. I	00176549	600	A1	287,830	301,098	301,098	301,098	Y	52
06/28/13	10/18/13	HOMER C GOW & SONS INC	00177160	600	A1	696,500	720,678	683,534	683,534	Y	28
03/14/14	11/30/15	HULL CONSTRUCTION AND RESTORATION INC	00180827	600	A1	2,398,000	2,398,000	0	0	Y	21
03/12/14	12/01/14	J. ANTHONY ENTERPRISES, INC.	00180799	600	A1	1,300,000	1,300,000	0	0	Y	28
10/28/13	09/20/14	JOHNSON CONTROLS INC	00178939	803	A1E	1,399,179	1,468,621	0	0	Y	40
03/28/14	08/08/14	JOS. A. SANDERS & SONS, INC.	00181062	600	A1	236,227	236,227	0	0	Y	52
12/26/13	05/31/13	KALISCH-JARCHO, INC.	00179751	303	A1E	118,580	118,580	0	0	Y	33
10/04/13	11/05/13	KIRCHER CONSTRUCTION INC.	00178570	600	A1	138,700	138,700	108,457	108,457	Y	21
02/10/14	01/31/15	KNIGHTSBRIDGE CONSTRUCTION CORP.	00180387	600	A1	1,691,000	1,691,000	0	0	Y	40
03/17/14	02/20/16	KONE, INC.	00180883	905	A1	6,674,000	6,674,000	0	0	Y	40
02/20/14	07/31/14	LANDRY MECHANICAL CONTRACTORS, INC.	00180470	600	A1	1,640,000	1,640,000	0	0	Y	52
04/18/13	10/31/13	LANDRY MECHANICAL CONTRACTORS, INC.	00175767	804	A1	321,000	334,700	285,062	285,062	Y	28
04/18/13	10/31/13	LANDRY MECHANICAL CONTRACTORS, INC.	00175774	805	A1	109,600	109,600	97,232	97,232	Y	28
08/27/13	09/30/13	LANDRY MECHANICAL CONTRACTORS, INC.	00178022	800	A1	74,200	86,600	81,700	81,700	Y	28
06/21/13	08/01/13	LUPINI CONSTRUCTION, INC.	00177069	600	A1E	316,000	341,470	298,300	298,300	Y	52
08/12/13	11/30/14	LUPINI CONSTRUCTION, INC.	00177789	600	A1	1,338,441	1,338,441	0	0	Y	28
06/19/13	11/22/13	MANHATTAN BUSINESS INTERIORS INC	00177040	600	A1	1,947,229	1,947,229	1,542,242	1,542,242	Y	41
06/14/13	10/31/13	METRO CONTRACTING & ENVIRONMENTAL, IN	00176840	600	A1	223,650	236,035	224,233	224,233	Y	21
12/11/13	07/16/14	MLB CONSTRUCTION SERVICES, LLC	00179554	600	A1E	13,758,190	13,758,190	3,241,575	3,241,575	Y	52
06/13/13	09/15/13	MOISTURE BARRIERS, INC.	00176841	615	A1	545,999	545,999	511,218	511,218	Y	52
03/26/14	06/06/15	MURNANE BUILDING CONTRACTORS INC	00181020	600	A1	4,642,000	4,642,000	0	0	Y	52
06/14/13	07/01/14	MURNANE BUILDING CONTRACTORS INC	00176842	600	A1	7,297,000	7,293,685	4,056,366	4,056,366	Y	52
01/23/14	08/08/14	MURNANE BUILDING CONTRACTORS, INC.	00180123	600	A1E	1,772,000	1,772,000	15,012	15,012	Y	52
05/28/13	08/01/13	MURNANE BUILDING CONTRACTORS, INC.	00176499	600	A1	1,867,000	2,003,423	1,902,425	1,902,425	Y	52
04/22/13	08/08/13	NIRAM, INC.	00175863	906	A1E	837,000	840,196	788,500	788,500	Y	52
06/06/13	10/27/13	NUA CONSTRUCTION CORP.	00176677	615	A1E	1,176,700	1,410,812	1,257,677	1,257,677	Y	52
07/18/13	12/31/13	PANKO ELECTRICAL & MAINTENANCE INC.	00177502	700	A1	89,500	91,810	87,220	87,220	Y	28
03/18/14	10/01/14	PETCOSKY & SONS PLUMBING AND HEATING I	00180889	804	A1	50,300	50,300	0	0	Y	21
04/18/13	10/16/13	PETCOSKY & SONS PLUMBING AND HEATING I	00175787	805	A1	184,277	187,463	178,090	178,090	Y	28
08/02/13	12/31/13	PETCOSKY & SONS PLUMBING AND HEATING I	00177680	805	A1	159,977	167,499	152,645	152,645	Y	28
09/19/13	03/31/15	POSITIVE ELECTRICAL ASSOC., INC	00178363	600	A1	3,351,000	3,351,000	67,944	67,944	Y	40
08/16/13	03/31/14	POSTLER & JAECKLE CORP.	00177871	804	E	177,030	177,030	177,030	177,030	Y	30
09/03/13	02/28/14	POSYLIO GC CORP.	00178103	600	A1	1,819,000	1,819,000	318,326	318,326	Y	40

Executive Summary - APPENDIX B
Awarded Construction Contracts

Award Date	Complete Date	Contractor/Vendor	ContractID	Comm/ Service	Award Code	Original Encum. Value	Current Encum. Value	Fiscal Period Payments	Payments To-Date	NYS	Prog.	MWBE Bids
04/24/13	08/02/13	PTL CONTRACTING CORP.	00175910	615	A1	1,017,000	1,017,000	927,960	927,960	Y	52	X
05/30/13	08/02/13	PULVER ROOFING CO., INC.	00176586	615	A1E	389,000	415,389	357,794	357,794	Y	52	4
11/06/13	02/19/14	R.A.M.S MECHANICAL INC.	00179106	800	EC	702,893	773,191	115,413	115,413	Y	28	X
12/05/13	07/31/14	RED HAWK FIRE & SECURITY (NY) LLC	00179478	ALA	C	1,952,493	1,952,493	0	0	Y	41	1
03/27/14	08/08/14	RESETARITS CONSTRUCTION CORPORATION	00181047	600	A1	1,492,000	1,492,000	0	0	Y	52	3
10/25/13	11/04/13	RICHARD E. ALEXANDER CO. INC.	00178914	803	A1	330,240	330,240	0	0	Y	28	4
10/29/13	11/04/13	RICHARD E. ALEXANDER CO. INC.	00178947	803	A1	294,240	294,240	0	0	Y	28	3
03/18/14	10/01/14	RICHARD W. WAKEMAN, INC.	00180887	600	A1	501,000	501,000	0	0	Y	21	6
10/04/13	03/31/14	RIGGS CONSTRUCTION CO., INC.	00178585	615	A1	309,000	309,000	19,618	19,618	Y	40	X
11/25/13	12/01/13	RISING SUN CONSTRUCTION LLC	00179342	600	A1	366,000	366,000	9,711	9,711	Y	40	6
01/23/14	06/30/14	RIITER & PARATORE CONTRACTING, INC.	00180140	SIT	A1	133,000	133,000	0	0	Y	28	16
11/15/13	09/30/15	SCHINDLER ELEVATOR CORP.	00179236	600	A1	1,393,000	1,393,000	0	0	Y	33	3
04/04/13	03/15/15	SCHNEIDER ELECTRIC BUILDINGS AMERICAS	00175550	MEC	A1	5,249,000	5,244,000	1,161,887	1,161,887	Y	28	2
04/23/13	03/31/14	SIERRA MECHANICAL CONTRACTING INC.	00175874	600	A1	2,796,420	2,967,261	2,448,333	2,448,333	Y	40	X
05/28/13	12/31/13	SIMPLEXGRINNELL LP	00176511	901	A1	135,688	135,688	78,379	78,379	Y	28	2
08/02/13	08/31/14	SIMPLEXGRINNELL LP	00177678	803	D	209,913	209,913	0	0	Y	28	1
11/19/13	10/04/14	SIMPLEXGRINNELL LP	00179314	803	A1E	4,781,291	4,781,291	874,561	874,561	Y	28	1
05/14/13	08/30/13	SRI FIRE SPRINKLER LLC	00176245	803	A1	214,300	214,300	136,682	136,682	Y	28	2
05/03/13	12/31/13	TELENTOS CONSTRUCTION CORP.	00176118	615	A1	914,850	1,200,093	975,900	975,900	Y	40	14
09/11/13	12/31/13	TELENTOS CONSTRUCTION CORP.	00178240	615	A1	496,000	496,000	175,735	175,735	Y	41	6
09/18/13	09/01/14	TELENTOS CONSTRUCTION CORP.	00178352	615	A1	2,493,000	2,493,000	238,830	238,830	Y	41	15
05/22/13	09/27/13	THE PIKE COMPANY	00176437	600	A1	1,937,000	2,161,344	2,050,098	2,050,098	Y	28	8
08/02/13	08/31/14	TYCO FIRE & SECURITY (US) MANAGEMENT IN	00177675	SEC	D	364,447	364,447	0	0	Y	28	1
10/23/13	06/01/15	U.W. MARX, INC.	00178882	600	A1E	24,328,962	24,328,962	1,915,151	1,915,151	Y	52	6
04/18/13	11/01/13	UNIFIED MECHANICAL CONTRACTORS, INC.	00175765	805	A1	147,700	162,957	144,980	144,980	Y	28	X
08/23/13	12/31/13	USA ROOFING CO. CORP	00178010	615	A1	1,550,000	1,550,000	500,867	500,867	Y	41	8
09/03/13	08/04/14	USA ROOFING CO. CORP	00178102	615	A1	2,849,000	2,849,000	602,893	602,893	Y	41	4
09/18/13	12/12/14	UTB-UNITED TECHNOLOGY, INC.	00178330	600	A1	8,437,450	8,437,450	802,550	802,550	Y	41	X
10/18/13	11/30/14	VERDE ELECTRIC CORP	00178805	700	A1	3,367,850	3,367,850	57,950	57,950	Y	28	10
04/18/13	10/16/13	WADE ENTERPRISES INC DBA WADE ELECTRIC	00175784	700	A1	89,184	94,862	89,933	89,933	Y	28	7
08/02/13	11/08/13	WATRAL BROTHERS, INC.	00177671	600	A1	3,310,000	3,310,000	2,102,445	2,102,445	Y	52	14
10/09/13	01/05/15	WDF, INC.	00178620	600	A1	17,471,000	17,471,000	0	0	Y	40	12
12/19/13	12/31/15	WDF, INC.	00179730	600	A1	31,196,212	31,196,212	0	0	Y	29	14
05/08/13	08/08/13	WEAVER METAL & ROOFING INC.	00176182	615	A1	609,200	623,212	569,760	569,760	Y	52	4
11/05/13	12/15/13	WILLIAM J. KELLER & SONS CONSTRUCTION	00179087	600	A1	78,870	78,870	67,636	67,636	Y	28	4

Executive Summary - APPENDIX B
Awarded Construction Contracts

Award Date	Complete Date	Contractor/Vendor	ContractID	Comm/ Service	Award Code	Original Encum. Value	Current Encum. Value	Fiscal Period Payments	Payments To-Date	NYS Prog. MWBE Bids
Total Awarded Construction Contracts										
						218,004,707	220,051,163	41,254,038	41,254,038	

Executive Summary - APPENDIX C
Awarded Purchase Orders

Award Date	Complete Date	Contractor/Vendor	ContractID	Comm/ Service	Award Code	Original Encum. Value	Current Encum. Value	Fiscal Period Payments	Payments To-Date	NYS	Prog. MWBE Bids
Purchase Orders (Construction)											
10/15/13	10/15/13	A. LISS & CO., INC.	00178708	12099	A1	6,125	6,125	6,125	6,125	N	40
07/19/13	07/19/13	AAA KEYLOCK CO INC DBA AAA ARCHITECTUR	00177504	35501	C	9,990	9,990	9,990	9,990	N	40
03/14/14	09/03/14	ADDEN FURNITURE INC.	00180843	20915	B	31,041	31,041	0	0	N	28
03/14/14	09/03/14	ADDEN FURNITURE INC.	00180844	20915	B	104,410	104,410	0	0	N	28
03/14/14	10/15/14	ADDEN FURNITURE INC.	00180845	20915	B	33,879	38,283	0	0	N	28
03/14/14	09/01/14	ADDEN FURNITURE INC.	00180846	20915	B	127,478	127,478	0	0	N	28
03/14/14	05/01/14	ADDEN FURNITURE INC.	00180847	20915	B	208,021	208,021	0	0	N	28
03/14/14	08/01/14	ADDEN FURNITURE INC.	00180848	20915	B	67,607	67,607	0	0	N	28
03/14/14	09/01/14	ADDEN FURNITURE INC.	00180849	20915	B	255,367	255,367	0	0	N	28
03/14/14	03/14/14	ADDEN FURNITURE INC.	00180851	20915	B	51,629	51,629	0	0	N	28
08/26/13	08/26/13	ADORAMA INC.	00178012	38800	C	28,841	28,841	28,841	28,841	N	40
01/08/14	01/21/14	ADWAR VIDEO	00179918	38789	B	26,176	26,176	26,176	26,176	Y	33
01/22/14	02/10/14	ADWAR VIDEO	00180112	38789	B	26,176	26,176	26,176	26,176	Y	33
03/11/14	03/11/14	ADWAR VIDEO	00180778	38212	B	9,020	9,020	0	0	N	40
08/23/13	09/30/13	ADWAR VIDEO	00178006	38210	B	100,723	100,723	18,652	18,652	N	21
09/04/13	09/29/13	ADWAR VIDEO	00178070	38800	B	5,048	5,048	5,048	5,048	N	40
09/05/13	10/05/13	ADWAR VIDEO	00178136	38777	B	5,320	5,320	5,320	5,320	N	40
09/05/13	10/05/13	ADWAR VIDEO	00178137	60004	B	8,124	8,124	8,124	8,124	N	40
09/05/13	09/13/13	ADWAR VIDEO	00178143	34400	A1	7,186	7,186	7,186	7,186	N	21
10/03/13	10/15/13	ADWAR VIDEO	00178557	38789	B	26,176	26,176	26,176	26,176	Y	33
10/04/13	10/04/13	ADWAR VIDEO	00178591	38212	A1	5,600	5,600	5,600	5,600	N	40
10/16/13	10/16/13	ADWAR VIDEO	00178723	38210	A1	23,927	23,927	23,927	23,927	N	21
10/17/13	11/12/13	ADWAR VIDEO	00178784	38789	B	26,176	26,176	26,176	26,176	Y	33
12/18/13	06/02/14	AFD CONTRACT FURNITURE INC.	00179682	35502	A1	10,203	10,203	0	0	N	40
11/15/13	11/15/13	AGILENT TECHNOLOGIES INC.	00179198	12099	B	47,624	47,624	47,624	47,624	N	40
12/18/13	02/10/14	AIS HOLDINGS CORP	00179683	20915	B	140,508	140,508	0	0	N	41
02/18/14	02/18/14	ALIANZA SERVICES LLC	00180441	20915	A1	10,500	10,500	0	0	Y	40
11/15/13	11/15/13	ALIANZA SERVICES LLC	00178594	20915	E	425,600	432,520	6,384	6,384	Y	40
01/30/14	02/10/14	ALLEGIANCE CORPORATION DBA CARDINAL	00180264	11600	A1	5,923	5,923	5,923	5,923	N	33
08/07/13	08/14/13	ALLEGIANCE CORPORATION DBA CARDINAL	00177725	11600	A1	1,981	27,746	27,746	27,746	N	33
08/16/13	10/01/13	ALLEGIANCE CORPORATION DBA CARDINAL	00177897	11600	A1	5,923	5,923	5,923	5,923	N	33
10/18/13	11/04/13	ALLEGIANCE CORPORATION DBA CARDINAL	00178794	11600	A1	5,923	5,923	0	0	N	33
12/04/13	12/16/13	ALLEGIANCE CORPORATION DBA CARDINAL	00179448	11600	A1	5,923	5,923	5,923	5,923	N	33
05/13/13	05/13/13	ALLSTEEL INC.	00176189	20915	B	36,794	36,794	36,794	36,794	N	55

Executive Summary - APPENDIX C
Awarded Purchase Orders

Procurement Report
April 1, 2013 - March 31, 2014

Award Date	Complete Date	Contractor/Vendor	ContractID	Comm/ Service	Award Code	Original Encum. Value	Current Encum. Value	Fiscal Period Payments	Payments To-Date	NYS	Prog.	MWBE Bids
04/29/13	04/29/13	ALMO DISTRIBUTING PA, INC.	00176010	38210	A1	5,985	5,985	5,985	5,985	N	52	1
06/11/13	06/12/13	AMCASE INC.	00176788	38789	B	14,748	14,748	14,748	14,748	N	33	1
03/24/14	03/24/14	AMERICAN HOUSEHOLD STORAGE COMPANY	00181002	79004	A1	42,300	42,300	0	0	Y	52	3
03/24/14	03/24/14	AMERICAN HOUSEHOLD STORAGE COMPANY	00181004	79004	A1	7,250	7,250	0	0	Y	52	1
02/24/14	02/24/14	ANIXTER, INC.	00180540	77530	B	12,040	12,040	0	0	N	52	1
04/04/13	04/04/13	ANIXTER, INC.	00175522	77012	A1	5,692	5,692	5,692	5,692	N	40	1
05/14/13	06/14/13	ANIXTER, INC.	00176244	35501	B	11,400	11,400	11,400	11,400	N	52	1
10/23/13	10/23/13	ANIXTER, INC.	00178895	77007	B	10,250	10,250	10,250	10,250	N	40	1
12/16/13	12/16/13	ANIXTER, INC.	00179627	77001	B	20,500	20,500	20,500	20,500	N	40	1
11/12/13	11/12/13	ANNESE & ASSOCIATES INC.	00179053	85110	B	130,274	130,274	130,274	130,274	N	52	X
11/12/13	11/12/13	ANNESE & ASSOCIATES INC.	00179058	85110	B	132,828	132,828	132,828	132,828	N	52	X
01/07/14	01/07/14	APPLE INC.	00179857	75314	B	8,310	9,191	9,191	9,191	N	40	1
01/07/14	01/07/14	APPLE INC.	00179863	75314	B	5,980	6,362	2,753	2,753	N	40	1
01/17/14	01/17/14	APPLE INC.	00180072	75314	B	28,018	28,018	19,800	19,800	N	40	1
03/24/14	03/24/14	APPLE INC.	00180993	75314	B	19,608	19,608	0	0	N	40	1
04/02/13	04/02/13	APPLE INC.	00175495	78265	C	15,996	15,996	15,996	15,996	N	40	1
04/02/13	04/02/13	APPLE INC.	00175501	75314	B	25,207	25,207	25,207	25,207	N	40	1
04/02/13	04/02/13	APPLE INC.	00175504	75314	B	32,330	32,330	32,330	32,330	N	40	1
04/16/13	04/16/13	APPLE INC.	00175708	75314	B	8,116	8,200	8,200	8,200	N	40	1
05/06/13	06/06/13	APPLE INC.	00176134	22932	B	8,765	8,666	8,666	8,666	N	40	1
05/17/13	05/17/13	APPLE INC.	00176373	75314	B	199	6,052	6,052	6,052	N	40	1
05/23/13	05/23/13	APPLE INC.	00176454	75314	B	13,779	14,916	14,916	14,916	N	40	1
10/17/13	10/17/13	APPLE INC.	00178769	75314	B	7,200	10,345	8,053	8,053	N	40	1
10/31/13	10/31/13	APPLE INC.	00179024	75314	B	1,550	21,550	0	0	N	40	1
11/01/13	12/01/13	APPLE INC.	00179039	22932	B	7,572	9,038	9,038	9,038	N	40	1
12/06/13	12/31/13	APPLE INC.	00179484	22932	B	8,832	8,832	8,832	8,832	N	40	1
12/11/13	12/11/13	APPLE INC.	00179404	75314	C	13,516	13,516	13,516	13,516	N	40	1
12/23/13	12/23/13	APPLE INC.	00179744	75314	B	1,083	13,460	13,460	13,460	N	40	1
08/02/13	10/01/13	APPLIKON BIOTECHNOLOGY INC	00177610	12099	C	27,564	27,999	26,499	26,499	N	40	1
05/10/13	05/10/13	ARBON EQUIPMENT CORPORATION	00176210	84000	E	61,814	61,814	61,814	61,814	N	28	1
01/30/14	02/10/14	ARJOHUNTLEIGH INC	00180275	11600	B	17,836	17,836	17,836	17,836	N	33	1
08/21/13	10/01/13	ARJOHUNTLEIGH INC	00177985	11600	B	18,459	18,459	18,459	18,459	N	33	1
10/18/13	11/04/13	ARJOHUNTLEIGH INC	00178792	11600	B	18,459	18,459	17,213	17,213	N	33	1
12/18/13	01/15/14	ARJOHUNTLEIGH INC	00179456	11600	B	18,459	18,459	17,836	17,836	N	33	1
05/20/13	05/20/13	ARKANSAS LAMP MANUFACTURING COMPANY	00176402	35706	A1	14,476	14,476	14,476	14,476	N	52	2

Executive Summary - APPENDIX C
Awarded Purchase Orders

Award Date	Complete Date	Contractor/Vendor	ContractID	Comm/ Service	Award Code	Original Encum. Value	Current Encum. Value	Fiscal Period Payments	Payments To-Date	NYS	Prog. MWBE Bids
06/03/13	06/03/13	ARKANSAS LAMP MANUFACTURING COMPANY	00176627	35720	A1	34,887	34,887	34,887	34,887	N	52
05/10/13	05/10/13	ARMINGTON NURSERY INC DBA WAYSIDE	00176211	71009	A1	8,420	8,420	8,420	8,420	Y	52
09/05/13	10/05/13	ATI INDUSTRIAL AUTOMATION	00178133	38736	C	10,100	10,109	10,109	10,109	N	40
07/09/13	07/09/13	AUDIO VIDEO CORP.	00177322	38210	B	13,982	15,012	15,012	15,012	N	52
04/03/13	06/18/13	AVESTIN INCORPORATED	00175519	12099	C	26,055	26,705	26,705	26,705	N	40
11/06/13	11/06/13	AVTRON LOADBANK INC	00179096	34401	A1	7,701	7,701	7,701	7,701	N	52
01/08/14	01/08/14	B&H FOTO & ELECTRONICS CORP DBA B&H	00179889	38200	A1	5,831	5,831	5,831	5,831	N	40
01/24/14	01/24/14	B&H FOTO & ELECTRONICS CORP DBA B&H	00180151	38212	A1	9,769	9,769	0	0	N	40
03/24/14	03/24/14	B&H FOTO & ELECTRONICS CORP DBA B&H	00181001	38212	C	10,905	10,905	0	0	N	40
03/25/14	03/25/14	B&H FOTO & ELECTRONICS CORP DBA B&H	00181003	38212	C	11,310	11,310	0	0	N	40
05/22/13	06/22/13	B&H FOTO & ELECTRONICS CORP DBA B&H	00176438	22932	A1	6,451	6,451	6,451	6,451	N	40
11/20/13	11/20/13	B&H FOTO & ELECTRONICS CORP DBA B&H	00179320	38200	A1	9,060	9,060	9,060	9,060	N	40
12/05/13	12/16/13	B. BRAUN MEDICAL INC.	00179462	38789	A1	9,016	9,069	9,069	9,069	N	33
04/04/13	04/04/13	BARBIZON ELECTRIC COMPANY	00175520	38212	A1	5,023	5,023	5,023	5,023	N	40
06/11/13	06/11/13	BARBIZON ELECTRIC COMPANY	00176810	38212	C	9,042	9,042	9,042	9,042	N	40
03/17/14	03/17/14	BARJAN MANUFACTURING LTD.	00180870	38789	A1	8,142	8,142	0	0	N	33
04/12/13	04/12/13	BAYFORD CONSTRUCTION INC	00175663	84000	A1	12,700	12,700	12,700	12,700	Y	52
05/08/13	05/08/13	BERTIN CORP	00176186	12099	C	17,845	17,845	17,845	17,845	N	40
04/11/13	09/05/13	BIOAUTOMATION CORP	00175654	12099	C	46,700	47,125	47,125	47,125	N	40
07/19/13	11/14/13	BIO-LOGIC USA LLC	00177505	12099	C	16,060	16,108	16,108	16,108	N	40
04/24/13	04/24/13	BIOPAC SYSTEMS, INC.	00175917	12099	A1	5,030	5,030	5,030	5,030	N	40
05/20/13	06/20/13	BIOPAC SYSTEMS, INC.	00176405	10610	C	30,696	30,696	30,696	30,696	N	40
12/13/13	12/13/13	BIOPAC SYSTEMS, INC.	00179619	12099	A1	6,268	6,268	6,268	6,268	N	40
04/11/13	04/11/13	BIO-RAD LABORATORIES INC.	00175645	12004	B	37,024	37,024	37,024	37,024	N	40
05/06/13	06/06/13	BIO-RAD LABORATORIES INC.	00176137	10610	B	27,976	27,976	27,976	27,976	N	40
10/03/13	11/03/13	BIO-RAD LABORATORIES INC.	00178559	10610	B	8,000	8,324	8,324	8,324	N	40
07/29/13	09/27/13	BKIN TECHNOLOGIES LTD	00177594	38767	C	56,056	56,056	56,056	56,056	N	40
02/06/14	02/06/14	BLOCKHOUSE CO., INC.	00180361	21501	B	27,911	28,814	0	0	N	52
04/30/13	04/30/13	BLOCKHOUSE CO., INC.	00176043	21501	B	24,322	24,322	24,322	24,322	N	52
07/09/13	07/09/13	BLOCKHOUSE CO., INC.	00177315	21501	B	30,044	30,044	30,044	30,044	N	52
12/03/13	06/01/14	BLOCKHOUSE CO., INC.	00179406	21501	B	42,150	42,150	0	0	N	52
12/16/13	12/16/13	BLOCKHOUSE CO., INC.	00179634	21501	B	9,583	9,583	9,583	9,583	N	52
04/04/13	04/04/13	BLUEWATER COMMUNICATIONS GROUP LLC	00175525	77001	B	11,600	11,600	11,600	11,600	N	40
03/03/14	03/03/14	BOSCH SECURITY SYSTEMS INC	00180626	38212	A1	8,534	8,534	0	0	N	40
10/28/13	03/13/14	BOSCH SECURITY SYSTEMS INC	00178897	38212	C	8,828	9,175	9,175	9,175	N	40

Executive Summary - APPENDIX C
Awarded Purchase Orders

Award Date	Complete Date	Contractor/Vendor	ContractID	Comm/ Service	Award Code	Original Encum. Value	Current Encum. Value	Fiscal Period Payments	Payments To-Date	NYS	Prog. MWBE Bids
11/07/13	03/13/14	BOSCH SECURITY SYSTEMS INC	00179121	38212	C	11,344	11,371	11,371	11,371	N	40
07/08/13	07/08/13	BRAIN VISION LLC	00177284	12099	C	94,629	94,629	94,629	94,629	N	40
12/20/13	12/20/13	BRAIN VISION LLC	00179738	12099	C	40,575	40,575	40,575	40,575	N	40
10/18/13	10/18/13	BROADCASTERS GENERAL STORE INC	00178806	38212	A1	8,276	8,276	8,276	8,276	N	40
05/20/13	05/20/13	BUFFALO HOTEL SUPPLY CO., INC.	00176406	20915	A1	6,428	13,136	13,136	13,136	N	55
10/14/13	10/14/13	BUSINESS ENVIRONMENTS LLC	00178673	20915	E	8,905	8,905	0	0	N	40
04/15/13	06/15/13	BUTLER WOODCRAFTERS, INC.	00175697	35706	B	22,912	22,912	22,912	22,912	N	52
05/30/13	06/30/13	BUTLER WOODCRAFTERS, INC.	00176583	21501	B	65,569	65,569	65,569	65,569	N	52
05/30/13	05/30/13	BUTLER WOODCRAFTERS, INC.	00176587	21501	B	58,899	58,899	58,899	58,899	N	52
05/30/13	05/30/13	BUTLER WOODCRAFTERS, INC.	00176588	21501	B	6,632	6,632	6,632	6,632	N	52
06/26/13	06/26/13	BUTLER WOODCRAFTERS, INC.	00177118	21501	B	57,346	57,346	57,346	57,346	N	52
07/30/13	08/30/13	BUTLER WOODCRAFTERS, INC.	00177598	21501	B	9,973	9,973	9,973	9,973	N	52
09/19/13	09/19/13	BUTLER WOODCRAFTERS, INC.	00178357	21501	B	34,320	34,320	34,320	34,320	N	52
10/11/13	10/11/13	BUTLER WOODCRAFTERS, INC.	00178651	21501	B	15,640	15,640	15,640	15,640	N	52
11/25/13	11/25/13	BUTLER WOODCRAFTERS, INC.	00179338	21501	C	11,088	11,088	11,088	11,088	N	52
02/18/14	02/18/14	BYTEC TECHNOLOGY INC.	00180433	38205	B	28,658	28,658	0	0	N	41
02/18/14	02/18/14	BYTEC TECHNOLOGY INC.	00180435	38205	A1	8,813	8,813	0	0	N	41
12/12/13	12/12/13	CAMPBELL SCIENTIFIC, INC.	00179490	12099	C	24,498	24,498	24,498	24,498	N	40
03/14/14	03/14/14	CAMPUS COMMUNICATION SYSTEMS INC	00180816	38000	E	16,500	16,500	0	0	Y	52
04/24/13	04/24/13	CARL ZEISS MICROSCOPY LLC	00175931	12010	A1	7,114	7,114	7,114	7,114	N	40
04/24/13	04/24/13	CARL ZEISS MICROSCOPY LLC	00175939	12010	C	44,983	44,983	44,983	44,983	N	40
06/04/13	07/30/13	CARL ZEISS MICROSCOPY LLC	00176592	10100	C	38,379	38,379	38,379	38,379	N	40
03/17/14	03/21/14	CAROUSEL INDUSTRIES OF NORTH AMERICA I	00180480	77530	B	165,621	165,621	0	0	N	40
05/14/13	06/14/13	CBORD GROUP INC.	00176243	77201	C	70,799	71,578	71,578	71,578	Y	52
04/24/13	05/24/13	CDW GOVERNMENT	00175925	38215	A1	6,846	6,846	6,846	6,846	N	40
05/29/13	05/29/13	CDW GOVERNMENT	00176550	85200	B	37,601	38,511	38,511	38,511	N	33
07/01/13	07/02/13	CDW GOVERNMENT	00177187	38789	C	6,511	6,511	6,511	6,511	N	33
12/16/13	12/16/13	CDW GOVERNMENT	00179629	22994	B	32,159	32,159	0	0	N	41
05/10/13	05/21/13	CHAMPION MOVING & STORAGE INC.	00176205	79004	A1	9,800	9,800	9,800	9,800	Y	52
04/18/13	04/18/13	CHARLES BRICKMAN DBA OHIO MATTRESS	00175772	79004	A1	11,640	11,640	11,640	11,640	N	52
05/30/13	05/30/13	CHARLES BRICKMAN DBA OHIO MATTRESS	00176589	22700	A1	5,820	5,820	5,820	5,820	N	52
02/07/14	02/07/14	CLARIDGE PRODUCTS & EQUIPMENT INC	00180373	79018	A1	5,246	5,246	0	0	N	40
03/31/14	04/10/14	CLARIDGE PRODUCTS & EQUIPMENT INC	00181126	21500	A1	7,526	7,526	0	0	N	28
05/02/13	05/02/13	CLARK EQUIPMENT COMPANY D/B/A BOBCAT	00176079	40604	B	43,244	43,244	43,244	43,244	N	52
06/06/13	06/06/13	COBLESKILL STONE PRODUCTS, INC.	00176676	91050	C	24,919	24,919	15,176	15,176	Y	52

Executive Summary - APPENDIX C
Awarded Purchase Orders

Award Date	Complete Date	Contractor/Vendor	ContractID	Comm/ Service	Award Code	Original Encum. Value	Current Encum. Value	Fiscal Period Payments	Payments To-Date	NYS	Prog.	MWBE Bids
11/18/13	11/18/13	COLFAX INTERNATIONAL	00179288	75318	A1	7,593	7,593	7,593	7,593	N	40	1
08/19/13	08/19/13	COLOR TECH PAINTING CONTRACTORS INC.	00177948	92120	A1	7,500	7,500	7,500	7,500	Y	52	X 1
11/13/13	01/06/14	CONCEPTS OFFICE FURNISHINGS, INC.	00179182	39014	A1	5,030	5,030	0	0	N	41	X 1
12/06/13	12/06/13	CONTROLLED ENVIRONMENTS INC.	00179315	12099	C	45,420	45,420	0	0	N	40	1
05/09/13	05/09/13	CORE BTS INC.	00176199	77530	B	346,102	346,102	346,102	346,102	N	52	1
04/01/13	05/17/13	CORPORATE COMPUTER SOLUTIONS, INC	00175462	38212	A1	5,640	5,740	5,740	5,740	N	40	X 1
02/26/14	02/26/14	CORTECH SOLUTIONS INC.	00180567	12099	C	33,799	33,799	0	0	N	40	1
12/13/13	12/13/13	COULBOURN INSTRUMENTS, LLC	00179618	76050	A1	6,195	6,195	6,195	6,195	N	40	1
12/19/13	12/19/13	COULBOURN INSTRUMENTS, LLC	00179734	12099	A1	5,204	5,298	5,298	5,298	N	40	1
05/02/13	05/02/13	CUSTOM FABRICATION INC.	00176077	35502	C	13,807	13,807	13,807	13,807	Y	52	1
04/05/13	05/05/13	CYTEK DEVELOPMENT	00175557	10610	C	83,764	86,464	86,464	86,464	N	40	1
10/04/13	10/04/13	DALE ELECTRONICS CORP	00178592	38212	C	13,979	13,979	13,979	13,979	N	40	1
06/12/13	06/12/13	DAVE'S GLASS CO., INC.	00176821	35508	A1	7,500	7,500	7,500	7,500	Y	28	1
08/06/13	10/07/13	DAVID EDWARD COMPANY LTD	00177724	20915	B	172,320	172,320	167,520	167,520	Y	33	1
03/10/14	03/10/14	DEBRA AUDETTE DBA ACE WINDOW FASHIONS	00180736	24400	A1	12,500	12,500	0	0	N	52	X 2
05/17/13	05/17/13	DEBRA AUDETTE DBA ACE WINDOW FASHIONS	00176355	24400	C	19,278	19,278	19,278	19,278	N	52	X 1
04/12/13	04/12/13	DELL MARKETING CORPORATION	00175668	75302	B	32,725	32,725	32,725	32,725	N	40	1
04/17/13	04/17/13	DELL MARKETING CORPORATION	00175736	75302	B	6,408	6,408	6,408	6,408	N	40	1
05/13/13	05/13/13	DELL MARKETING CORPORATION	00176218	75302	B	6,996	7,090	7,090	7,090	N	40	1
01/15/14	01/15/14	DELL MARKETING L.P.	00180054	75302	B	40,000	40,000	40,000	40,000	N	40	1
01/16/14	01/16/14	DELL MARKETING L.P.	00180060	75302	B	320,444	320,444	0	0	N	40	1
01/17/14	01/17/14	DELL MARKETING L.P.	00180071	75302	B	331,388	331,388	0	0	N	40	1
01/17/14	01/17/14	DELL MARKETING L.P.	00180077	77530	B	47,813	47,813	0	0	N	40	1
01/17/14	01/17/14	DELL MARKETING L.P.	00180090	77530	B	79,994	79,994	0	0	N	40	1
03/07/14	03/07/14	DELL MARKETING L.P.	00180721	77530	B	19,416	19,416	0	0	N	40	1
04/04/13	04/04/13	DELL MARKETING L.P.	00175527	22932	B	17,471	17,471	17,471	17,471	N	41	1
04/24/13	05/24/13	DELL MARKETING L.P.	00175904	22932	B	13,579	13,579	13,579	13,579	N	40	1
05/29/13	05/29/13	DELL MARKETING L.P.	00176570	22932	B	47,310	47,310	47,310	47,310	N	33	1
08/28/13	08/28/13	DELL MARKETING L.P.	00178053	75302	B	34,599	34,599	34,599	34,599	N	40	1
08/29/13	09/29/13	DELL MARKETING L.P.	00178071	22932	B	18,147	18,147	18,147	18,147	N	40	1
09/20/13	09/20/13	DELL MARKETING L.P.	00178421	75302	B	8,684	8,684	939	939	N	40	1
09/20/13	09/20/13	DELL MARKETING L.P.	00178422	75302	B	5,618	5,618	0	0	N	40	1
09/30/13	09/30/13	DELL MARKETING L.P.	00178470	79518	B	471,083	471,083	471,083	471,083	N	40	1
10/31/13	10/31/13	DELL MARKETING L.P.	00179023	75302	B	41,712	41,712	41,712	41,712	N	40	1
11/01/13	12/01/13	DELL MARKETING L.P.	00179037	22960	B	5,762	5,762	5,762	5,762	N	40	1

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Award Date	Complete Date	Contractor/Vendor	ContractID	Comm/ Service	Award Code	Original Encum. Value	Current Encum. Value	Fiscal Period Payments	Payments To-Date	NYS	Prog.	MWBE Bids
11/01/13	12/31/13	DELL MARKETING L.P.	00179041	22960	B	18,596	18,596	18,596	18,596	N	40	1
12/06/13	12/31/13	DELL MARKETING L.P.	00179483	22960	B	8,144	8,144	0	0	N	40	1
12/23/13	12/23/13	DELL MARKETING L.P.	00179746	75302	B	23,292	23,292	23,292	23,292	N	40	1
03/10/14	03/10/14	DESIGN SPECIALISTS INC. D/B/A CORPORATE	00180737	24400	C	112,024	112,024	0	0	N	52	X
03/17/14	03/21/14	DLT SOLUTIONS	00180529	77530	B	31,433	31,433	0	0	N	40	1
04/29/13	04/29/13	DOBMEIER JANITOR SUPPLY, INC.	00176009	35502	A1	8,878	8,878	8,878	8,878	N	52	1
08/29/13	08/29/13	DOBMEIER JANITOR SUPPLY, INC.	00178079	35502	A1	7,584	7,584	7,584	7,584	N	52	1
09/30/13	09/30/13	DOOR SPECIALTIES INC.	00178469	35501	A1	5,544	15,307	15,307	15,307	N	52	1
04/16/13	04/16/13	DRAEGER MEDICAL, INC.	00175735	11600	B	23,837	23,837	0	0	N	33	1
02/11/14	02/11/14	DRB BUSINESS INTERIORS INC.	00180394	20915	B	18,422	18,422	0	0	N	28	X
06/04/13	10/31/13	EARTH ROAD, INC.	00175779	85000	B	39,900	39,900	39,900	39,900	Y	28	X
06/04/13	10/31/13	EARTH ROAD, INC.	00175780	85000	B	20,810	40,000	40,000	40,000	Y	28	X
06/04/13	10/31/13	EARTH ROAD, INC.	00175782	85000	B	39,946	39,946	39,946	39,946	Y	28	X
04/04/13	04/11/13	EASTERN MANAGED PRINT NETWORK LLC	00175523	38789	A1	9,450	9,450	9,450	9,450	N	21	1
09/24/13	09/24/13	EC PRO VIDEO SYSTEMS INC	00178442	38212	C	32,855	32,855	32,855	32,855	N	40	1
10/28/13	10/28/13	EC PRO VIDEO SYSTEMS INC	00178943	38212	C	24,570	24,570	24,570	24,570	N	40	1
09/23/13	09/23/13	ECOLOGIC INDUSTRIES LLC	00178429	21501	A1	21,300	21,300	21,300	21,300	N	52	3
11/13/13	11/19/13	EDART GTI INC.	00179196	38789	E	266,010	266,010	63,300	63,300	Y	32	1
10/04/13	10/04/13	EDWARD J WEICZOREK DBA RFGUY.COM INC	00178590	38212	A1	8,822	8,822	8,675	8,675	N	40	1
02/18/14	02/18/14	EIA DATACOM INC.	00180262	38212	A1	25,799	25,799	0	0	N	40	2
02/18/14	02/18/14	EIA DATACOM INC.	00180445	77001	B	41,719	41,719	0	0	N	40	1
04/01/13	04/01/13	EIA DATACOM INC.	00175465	77201	B	8,013	8,013	8,013	8,013	N	40	1
05/29/13	05/29/13	EIA DATACOM INC.	00176551	77201	B	22,621	22,621	22,621	22,621	N	40	1
05/29/13	05/29/13	EIA DATACOM INC.	00176561	77201	B	5,432	5,432	5,432	5,432	N	40	1
06/03/13	06/03/13	EIA DATACOM INC.	00176629	77201	B	24,831	24,831	24,831	24,831	N	40	1
06/25/13	06/25/13	EIA DATACOM INC.	00177103	77201	B	9,025	9,025	9,025	9,025	N	40	1
10/16/13	10/16/13	EIA DATACOM INC.	00178763	77201	B	6,459	6,459	6,459	6,459	N	40	1
05/13/13	06/24/13	EMERALD RESOURCES, INC.	00176222	85000	E	40,170	40,170	40,170	40,170	N	28	1
09/11/13	09/11/13	EMERALD RESOURCES, INC.	00178236	85000	E	39,570	41,220	41,220	41,220	N	28	1
11/08/13	11/08/13	EMERALD RESOURCES, INC.	00179148	85000	C	13,740	13,740	13,740	13,740	N	28	1
11/18/13	12/20/13	EMERALD RESOURCES, INC.	00179291	10100	A1	27,730	29,322	29,322	29,322	N	28	6
11/22/13	11/22/13	EMERALD RESOURCES, INC.	00179337	85000	E	13,740	13,740	13,740	13,740	N	28	1
02/19/14	02/19/14	EMPIRE OFFICE INC.	00180160	FUR	E	26,998	26,998	0	0	Y	41	1
08/07/13	10/07/13	EMPIRE OFFICE INC.	00177743	38789	A1	18,182	18,182	4,443	4,443	N	33	3
10/10/13	11/12/13	EMPIRE OFFICE INC.	00178649	20915	E	21,511	21,511	0	0	Y	40	1

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06/14/13	06/14/13	ENDWELL RUG COMPANY INC.	00176887	20600	A1	84,139	84,139	84,139	84,139	Y	52
03/20/14	03/20/14	ENVIRO EQUIPMENT SALES LTD.	00180717	38789	A1	54,160	54,160	0	0	Y	40
03/10/14	03/10/14	ERGONOM CORPORATION D/B/A ERG INTERNA	00180756	20915	B	19,948	19,948	0	0	N	52
03/27/14	08/15/14	ERGONOM CORPORATION D/B/A ERG INTERNA	00181057	20915	B	9,321	9,321	0	0	N	28
05/08/13	06/08/13	ERGONOM CORPORATION D/B/A ERG INTERNA	00176183	20915	B	291,729	291,729	291,729	291,729	N	52
05/14/13	06/14/13	ERGONOM CORPORATION D/B/A ERG INTERNA	00176240	20915	B	24,904	24,904	24,904	24,904	N	52
05/20/13	05/20/13	ERGONOM CORPORATION D/B/A ERG INTERNA	00176401	20915	B	11,517	11,517	11,517	11,517	N	55
06/19/13	06/19/13	ERGONOM CORPORATION D/B/A ERG INTERNA	00177042	21501	B	834	7,337	6,503	6,503	N	52
07/11/13	08/11/13	ERGONOM CORPORATION D/B/A ERG INTERNA	00177371	20915	B	19,948	19,948	19,948	19,948	N	52
02/04/14	02/04/14	EVENSON BEST LLC	00180292	20915	B	6,112	6,112	0	0	N	28
03/03/14	03/03/14	EVENSON BEST LLC	00180625	38789	A1	63,020	63,020	0	0	Y	32
03/24/14	03/24/14	EVERTZ MICROSYSTEMS LTD	00180994	38212	C	16,260	16,260	0	0	N	40
04/05/13	05/03/13	EXEMPLIS CORPORATION	00175556	10610	B	9,828	9,828	9,828	9,828	N	40
04/19/13	04/19/13	EXEMPLIS CORPORATION	00175814	21501	B	17,508	17,508	17,508	17,508	N	52
04/19/13	04/19/13	EXEMPLIS CORPORATION	00175816	21501	B	7,440	7,753	7,753	7,753	N	52
08/07/13	08/07/13	EXXACT CORPORATION	00177757	22992	A1	10,792	10,792	10,792	10,792	N	40
11/14/13	12/06/13	FALCUM SERVICE INC. D/B/A MASTER OF MOBI	00179097	30208	A1	5,802	5,802	0	0	N	52
04/19/13	04/19/13	FARIES-MCMEEKAN, INC.	00175812	31804	A1	9,625	9,625	9,625	9,625	N	52
03/17/14	03/17/14	FEI COMPANY	00179333	12013	C	6,500,000	6,500,000	0	0	N	40
07/30/13	07/30/13	FILMETRICS INC	00177603	12099	C	14,473	14,473	14,473	14,473	N	40
05/01/13	05/02/13	FIRE SAFETY SYSTEMS INCORPORATED	00176053	39013	E	5,925	78,359	78,359	78,359	N	52
10/02/13	01/03/14	FIRE SAFETY SYSTEMS INCORPORATED	00178381	39013	E	98,258	98,258	98,258	98,258	N	52
02/12/14	02/12/14	FISHER SCIENTIFIC COMPANY LLC	00180402	12099	A1	8,161	8,161	0	0	N	40
03/10/14	03/10/14	FISHER SCIENTIFIC COMPANY LLC	00180753	12099	B	18,268	18,268	0	0	N	40
03/10/14	03/10/14	FISHER SCIENTIFIC COMPANY LLC	00180760	12099	A1	6,994	6,994	0	0	N	40
04/15/13	04/15/13	FISHER SCIENTIFIC COMPANY LLC	00175698	12099	B	33,192	33,192	33,192	33,192	N	40
04/16/13	04/16/13	FISHER SCIENTIFIC COMPANY LLC	00175723	12099	B	19,277	19,277	19,277	19,277	N	40
04/16/13	04/16/13	FISHER SCIENTIFIC COMPANY LLC	00175732	12099	B	17,548	17,548	17,548	17,548	N	40
05/06/13	06/06/13	FISHER SCIENTIFIC COMPANY LLC	00176138	10610	B	17,004	17,004	17,004	17,004	N	40
05/14/13	05/14/13	FISHER SCIENTIFIC COMPANY LLC	00176253	12099	B	17,167	17,167	17,167	17,167	N	40
05/20/13	05/20/13	FISHER SCIENTIFIC COMPANY LLC	00176404	12099	B	9,127	9,127	9,127	9,127	N	40
07/05/13	07/05/13	FISHER SCIENTIFIC COMPANY LLC	00177232	12099	A1	11,427	11,427	11,427	11,427	N	40
07/10/13	07/10/13	FISHER SCIENTIFIC COMPANY LLC	00177339	12099	A1	8,155	8,155	8,155	8,155	N	40
07/12/13	07/12/13	FISHER SCIENTIFIC COMPANY LLC	00177400	12099	B	6,404	6,404	6,404	6,404	N	40
08/27/13	09/27/13	FISHER SCIENTIFIC COMPANY LLC	00178031	27506	A1	13,272	13,272	13,272	13,272	N	40

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10/03/13	11/03/13	FISHER SCIENTIFIC COMPANY LLC	00178560	10610	B	5,068	5,068	5,068	5,068	N	40	1
11/18/13	11/18/13	FISHER SCIENTIFIC COMPANY LLC	00179101	12099	B	16,705	16,705	16,431	16,431	N	40	1
02/27/14	02/27/14	FLOORING ENVIRONMENT INC.	00180601	92070	B	45,560	188,351	0	0	N	52	X
05/08/13	06/08/13	FLOORING ENVIRONMENT INC.	00176179	92070	C	17,133	17,133	17,133	17,133	N	52	X
05/08/13	06/08/13	FLOORING ENVIRONMENT INC.	00176180	92070	A1	8,567	8,567	8,567	8,567	N	52	X
06/24/13	07/24/13	FLOORING ENVIRONMENT INC.	00177093	92070	A1	6,570	6,570	6,570	6,570	N	52	X
04/22/13	04/22/13	FM OFFICE EXPRESS INC DBA BUSINESS	00175870	20915	B	6,833	6,833	6,833	6,833	N	28	X
05/09/13	05/09/13	FM OFFICE EXPRESS INC DBA BUSINESS	00176191	20915	B	12,239	12,239	12,239	12,239	N	28	X
05/03/13	05/03/13	FM OFFICE EXPRESS INC DBA FM OFFICE	00176119	85220	C	18,567	18,567	18,567	18,567	N	52	X
04/25/13	07/15/13	FOLIOT FURNITURE INC.	00175960	21501	B	67,569	67,569	67,569	67,569	N	52	1
04/29/13	04/29/13	FOLIOT FURNITURE INC.	00176024	35706	B	25,802	25,802	25,802	25,802	N	52	1
06/14/13	06/14/13	FOLIOT FURNITURE INC.	00176886	21501	A1	5,400	5,400	5,400	5,400	N	52	1
06/17/13	06/17/13	FOLIOT FURNITURE INC.	00176650	20915	B	11,121	11,121	11,121	11,121	N	52	1
04/16/13	04/17/13	FOLLETT CORPORATION	00175721	38789	B	5,788	5,788	5,788	5,788	N	33	1
12/17/13	06/02/14	FORMS + SURFACES INC.	00179679	35502	A1	49,991	49,991	0	0	N	40	5
05/17/13	02/28/14	GALLO CONSTRUCTION CORP.	00176351	85000	E	35,800	38,107	35,084	35,084	Y	28	1
10/10/13	10/10/13	GAVIN CABLING SYSTEMS INC.	00178633	77007	B	60,473	60,473	0	0	Y	33	1
03/03/14	07/07/14	GE HEALTHCARE BIO-SCIENCES CORP	00180637	12099	C	58,894	58,894	0	0	N	40	1
10/03/13	11/03/13	GE HEALTHCARE BIO-SCIENCES CORP	00178558	10610	C	25,763	25,763	25,763	25,763	N	40	1
07/22/13	07/23/13	GE MEDICAL SYSTEMS INFORMATION	00177508	38789	C	0	52,509	42,008	42,008	N	33	1
09/26/13	10/26/13	GENERAL ELECTRIC COMPANY	00178453	24103	A1	5,300	5,300	5,300	5,300	N	52	1
06/14/13	09/11/13	GENESEE SCIENTIFIC CORPORATION	00176888	12099	C	16,317	16,317	16,317	16,317	N	40	1
02/04/14	02/07/14	GLOBAL EQUIPMENT CO.	00180313	79013	A1	5,412	5,412	5,412	5,412	N	41	1
06/27/13	06/27/13	GLOBAL INDUSTRIES INC.	00177143	21501	B	27,980	33,051	33,051	33,051	N	52	1
07/17/13	07/17/13	GLOBAL INDUSTRIES INC.	00177485	21501	B	20,625	20,625	20,625	20,625	N	52	1
10/23/13	02/03/14	GLOBAL INDUSTRIES INC.	00178862	20915	B	61,859	61,859	0	0	N	40	1
06/20/13	06/25/13	GRAFTON DATA SYSTEMS INC. D/B/A GDS INC.	00177070	38789	C	23,179	23,179	23,179	23,179	N	33	1
11/25/13	11/25/13	GRAFTON DATA SYSTEMS INC. D/B/A GDS INC.	00179344	38600	A1	6,804	6,804	0	0	N	33	1
04/22/13	04/22/13	GRAYBAR ELECTRIC CO., INC.	00175861	34401	B	30,352	30,352	30,352	30,352	N	52	1
04/22/13	04/22/13	GRAYBAR ELECTRIC CO., INC.	00175862	34401	B	29,208	29,208	29,208	29,208	N	52	1
04/23/13	04/23/13	GRAYBAR ELECTRIC CO., INC.	00175895	34401	B	32,626	32,626	32,626	32,626	N	52	1
10/01/13	10/01/13	GRAYBAR ELECTRIC CO., INC.	00178486	93040	A1	13,308	13,308	13,308	13,308	N	52	2
01/03/14	03/10/14	GROUPE LACASSE LLC	00179800	20915	B	20,976	20,976	0	0	N	33	1
04/01/13	04/03/13	GROUPE LACASSE LLC	00175463	20915	B	8,511	8,511	8,511	8,511	N	33	1
04/01/13	04/03/13	GROUPE LACASSE LLC	00175464	20915	B	6,927	6,927	6,927	6,927	N	33	1

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05/30/13	05/30/13	G-SYSTEMS, INC.	00176581	85110	C	21,881	21,881	21,881	21,881	Y	33
12/04/13	12/04/13	G-SYSTEMS, INC.	00179444	84000	E	29,707	29,707	0	0	Y	33
12/26/13	12/26/13	G-SYSTEMS, INC.	00179754	77007	E	45,496	45,496	0	0	N	33
09/20/13	09/20/13	H.R. HOWARD AND SONS	00178401	21501	A1	7,662	8,012	8,012	8,012	Y	52
05/29/13	05/29/13	HARDEN FURNITURE INC.	00176555	31607	C	16,542	28,204	28,204	28,204	Y	52
06/25/13	06/25/13	HARDEN FURNITURE INC.	00177104	31607	C	49,911	49,911	49,911	49,911	Y	52
10/16/13	10/16/13	HAWORTH INC	00178730	20915	B	38,599	38,599	0	0	N	40
12/30/13	03/10/14	HAWORTH INC	00179797	20915	B	98,475	98,475	0	0	N	33
12/30/13	02/10/14	HAWORTH INC	00179798	20915	B	5,774	8,244	6,739	6,739	N	33
02/18/14	02/18/14	HERITAGE CONTRACT FLOORING LLC	00180436	20600	C	108,178	108,178	0	0	N	52
03/10/14	03/10/14	HERITAGE CONTRACT FLOORING LLC	00180747	71002	A1	104,318	104,318	0	0	Y	52
05/06/13	05/06/13	HERITAGE CONTRACT FLOORING LLC	00176131	20600	B	27,629	27,629	27,629	27,629	N	52
01/21/14	02/10/14	HERMAN MILLER INC DBA HERMAN MILLER FO	00180105	20915	B	11,052	11,052	0	0	N	33
01/21/14	02/10/14	HERMAN MILLER INC DBA HERMAN MILLER FO	00180106	20915	B	26,455	26,455	0	0	N	33
01/27/14	01/27/14	HERMAN MILLER INC DBA HERMAN MILLER FO	00180168	20915	B	28,756	34,922	34,386	34,386	N	28
02/06/14	02/06/14	HERMAN MILLER INC DBA HERMAN MILLER FO	00180280	20915	B	14,022	14,022	0	0	N	28
02/19/14	02/19/14	HERMAN MILLER INC DBA HERMAN MILLER FO	00180458	20915	B	11,556	11,556	0	0	N	28
04/15/13	04/16/13	HERMAN MILLER INC DBA HERMAN MILLER FO	00175705	38789	B	11,867	11,867	11,867	11,867	N	33
04/26/13	04/26/13	HERMAN MILLER INC DBA HERMAN MILLER FO	00176004	21501	B	5,247	10,339	10,339	10,339	N	52
05/17/13	05/17/13	HERMAN MILLER INC DBA HERMAN MILLER FO	00176346	20915	B	8,555	31,296	31,296	31,296	N	28
05/23/13	05/23/13	HERMAN MILLER INC DBA HERMAN MILLER FO	00176471	20915	B	5,541	5,541	5,541	5,541	N	28
06/06/13	06/06/13	HERMAN MILLER INC DBA HERMAN MILLER FO	00176704	20915	B	7,796	7,796	7,796	7,796	N	28
07/09/13	07/09/13	HERMAN MILLER INC DBA HERMAN MILLER FO	00177326	20915	B	5,090	10,016	10,016	10,016	N	28
07/29/13	07/29/13	HERMAN MILLER INC DBA HERMAN MILLER FO	00175589	21501	B	2,337	22,972	22,972	22,972	N	52
08/26/13	08/26/13	HERMAN MILLER INC DBA HERMAN MILLER FO	00178020	20915	B	7,291	8,405	8,405	8,405	N	28
10/07/13	10/07/13	HERMAN MILLER INC DBA HERMAN MILLER FO	00178593	20915	B	1,281,693	1,282,223	0	0	N	40
10/22/13	11/18/13	HERMAN MILLER INC DBA HERMAN MILLER FO	00178785	20915	B	20,539	20,539	20,539	20,539	N	28
10/23/13	01/06/14	HERMAN MILLER INC DBA HERMAN MILLER FO	00178885	20915	B	20,213	20,213	0	0	N	40
03/17/14	03/21/14	HEWLETT PACKARD COMPANY	00180504	77530	B	46,211	46,211	0	0	N	40
11/21/13	11/21/13	HILL & MARKES INC.	00179329	21400	A1	11,758	11,758	11,758	11,758	N	52
02/11/14	02/11/14	HOLSAG CANADA	00180388	21501	C	28,849	28,849	0	0	N	52
06/27/13	07/27/13	HOLSAG CANADA	00177129	20915	C	12,974	12,974	12,974	12,974	N	52
07/24/13	07/24/13	HOLSAG CANADA	00177536	21501	A1	380	6,337	6,337	6,337	N	52
12/19/13	01/31/14	HORIBA INSTRUMENTS INCORPORATED	00179721	10610	C	19,800	20,050	20,050	20,050	N	40
04/16/13	04/17/13	HUMANSKALE CORPORATION	00175720	38789	B	6,419	6,419	6,419	6,419	N	33

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04/25/13	04/25/13	IDESCO CORP.	00175980	77201	B	8,370	8,370	8,370	8,370	N	40
07/11/13	07/11/13	IDESCO CORP.	00177369	77201	A1	9,901	9,901	0	0	N	40
05/01/13	05/01/13	IMMIXTECHNOLOGY, INC.	00176055	77060	B	103,579	103,579	103,579	103,579	N	40
12/09/13	12/09/13	IMMIXTECHNOLOGY, INC.	00179504	79528	B	52,065	52,065	0	0	N	41
03/10/14	03/17/14	INNER SPACE SYSTEMS INC.	00180750	85000	A1	16,750	16,750	0	0	Y	28
06/07/13	06/07/13	INSCAPE INC DBA INSCAPE/OFFICE SPECIALTY	00176762	20915	B	5,670	5,670	5,670	5,670	Y	28
05/23/13	05/23/13	INTEGRATED SYSTEMS & POWER INC.	00176465	34710	C	10,000	10,000	10,000	10,000	Y	40
06/17/13	06/17/13	J J ROSENBERG ELECTRICAL CONTRACTORS I	00176983	38212	C	10,348	10,348	10,348	10,348	Y	40
07/08/13	12/03/13	JALI MEDICAL INC.	00177254	12099	C	178,626	178,626	164,686	164,686	N	40
10/15/13	10/15/13	JAMES LONG COMPANY	00178703	12099	A1	8,911	8,911	8,911	8,911	Y	40
03/11/14	05/21/14	JAMESTOWN MATTRESS CO INC	00180781	22700	A1	137,115	137,115	0	0	Y	52
07/09/13	04/28/14	JANIS RESEARCH CO., INC.	00177316	12099	C	88,270	89,447	0	0	N	40
10/10/13	03/05/14	JASCO INC.	00178556	12099	C	68,550	69,698	69,698	69,698	N	40
05/28/13	05/28/13	JES LIGHTING INC.	00176510	24400	C	22,325	22,325	22,325	22,325	N	52
10/14/13	10/14/13	JFD SALES CONSULTING SERVICES CORP D/B/	00178675	20915	E	6,840	6,840	0	0	Y	40
01/23/14	01/23/14	JOHN D. MARCELLA & SON APPLIANCES INC	00180122	25200	A1	9,125	9,125	9,125	9,125	N	52
05/01/13	05/01/13	JOHN D. MARCELLA APPLIANCES & SON INC.	00176066	25200	A1	10,504	10,504	10,504	10,504	N	52
06/24/13	07/24/13	JOHN D. MARCELLA APPLIANCES & SON INC.	00177091	25200	A1	13,500	13,500	13,500	13,500	N	52
08/06/13	09/06/13	JOHN D. MARCELLA APPLIANCES & SON INC.	00177689	25200	A1	9,125	9,125	9,125	9,125	N	52
08/06/13	09/06/13	JOHN D. MARCELLA APPLIANCES & SON INC.	00177690	25200	A1	13,500	13,500	13,500	13,500	N	52
03/04/14	05/20/14	JOHN SAVOY & SON INC.	00180661	21501	B	18,912	18,912	0	0	N	52
03/06/14	06/02/14	JOHN SAVOY & SON INC.	00180716	21501	B	575,565	575,565	0	0	N	52
03/18/14	07/01/14	JOHN SAVOY & SON INC.	00180894	22700	B	81,750	81,750	0	0	N	52
03/19/14	03/19/14	JOHN SAVOY & SON INC.	00180951	21501	A1	9,973	9,973	0	0	N	52
03/27/14	06/02/14	JOHN SAVOY & SON INC.	00181048	21501	B	46,855	46,855	0	0	N	52
04/10/13	04/10/13	JOHN SAVOY & SON INC.	00175621	21501	B	317,452	317,452	317,452	317,452	N	52
06/05/13	06/05/13	JOHN SAVOY & SON INC.	00176667	21501	B	56,840	56,840	56,840	56,840	N	52
06/05/13	06/05/13	JOHN SAVOY & SON INC.	00176668	21501	B	35,550	35,550	35,550	35,550	N	52
07/16/13	08/19/13	JOHN SAVOY & SON INC.	00177463	21501	B	15,470	15,470	15,470	15,470	N	52
07/18/13	08/16/13	JOHN SAVOY & SON INC.	00177500	20915	B	41,121	41,121	41,121	41,121	N	52
07/23/13	08/09/13	JOHN SAVOY & SON INC.	00177509	22700	B	12,480	12,480	12,480	12,480	N	52
09/03/13	09/03/13	JOHN SAVOY & SON INC.	00178099	21501	B	8,211	8,211	8,211	8,211	N	52
10/07/13	12/16/13	JOHN SAVOY & SON INC.	00178595	21501	B	18,949	18,949	18,949	18,949	N	52
10/24/13	10/24/13	JOHN SAVOY & SON INC.	00178899	21501	B	345,867	345,867	0	0	N	52
03/14/14	03/14/14	JOHNSON CONTROLS, INC.	00180842	84000	A1	9,530	9,530	0	0	Y	30

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04/29/13	04/30/13	JOHNSON CONTROLS, INC.	00176015	85000	B	52,745	52,745	34,817	34,817	Y	33
10/14/13	10/14/13	JSJ FURNITURE CORPORATION D/B/A HARTER	00178674	20915	B	88,438	88,438	0	0	N	40
12/19/13	02/10/14	JSJ FURNITURE CORPORATION D/B/A IZZY	00179719	20915	B	9,291	9,291	0	0	N	41
04/19/13	04/20/13	KARL'S SALES AND SERVICE CO. LLC	00175815	38789	A1	6,008	6,008	6,008	6,008	N	33
07/01/13	07/02/13	KARL'S SALES AND SERVICE CO. LLC	00177170	38789	A1	6,761	6,761	0	0	N	33
04/02/13	04/02/13	KATHLEEN A TRONG DBA THE ASPHALT DOCT	00175356	91050	A1	14,875	15,575	15,575	15,575	Y	28
03/17/14	03/17/14	KNIGHT MARKETING CORP OF NY	00180863	85050	B	9,437	9,437	0	0	N	40
01/09/14	01/09/14	KNOLL, INC.	00179951	20915	B	5,520	5,520	0	0	N	28
01/21/14	01/21/14	KNOLL, INC.	00180104	20915	B	11,844	11,844	11,844	11,844	N	28
10/14/13	10/14/13	KNOLL, INC.	00178628	20915	B	249,316	249,316	0	0	N	40
10/23/13	11/18/13	KNOLL, INC.	00178864	20915	B	6,048	6,048	5,873	5,873	N	28
03/19/14	03/19/14	KRUEGER INTERNATIONAL INC DBA KI	00180936	21501	B	26,552	26,552	0	0	N	52
03/20/14	03/20/14	KRUEGER INTERNATIONAL INC DBA KI	00180960	20906	B	16,907	16,907	0	0	N	52
06/17/13	06/17/13	KRUEGER INTERNATIONAL INC DBA KI	00176969	21501	B	37,093	44,596	44,596	44,596	N	52
10/22/13	01/06/14	KRUEGER INTERNATIONAL INC DBA KI	00178881	20915	B	13,305	13,305	13,305	13,305	N	40
04/22/13	04/22/13	KRUG, INC.	00175831	21501	B	15,192	15,192	15,192	15,192	N	52
10/10/13	11/10/13	KURT J. LESKER COMPANY	00178638	10132	A1	8,006	8,006	8,006	8,006	N	40
10/10/13	12/10/13	KURT J. LESKER COMPANY	00178639	10132	C	10,614	10,686	10,686	10,686	N	40
05/01/13	05/01/13	L & H MANUFACTURING CO INC DBA L & H	00176054	38612	A1	2,117	5,471	5,471	5,471	N	28
06/27/13	06/27/13	L. WERNINCK & SONS SUPPLY CO., INC.	00177127	25203	C	9,608	11,388	0	0	N	52
12/19/13	02/03/14	LAB CRAFTERS INC	00179729	27505	A1	34,575	34,575	0	0	N	40
05/10/13	05/10/13	LEICA MICROSYSTEMS, INC.	00176204	12099	C	20,110	20,110	20,110	20,110	N	40
06/13/13	08/21/13	LEICA MICROSYSTEMS, INC.	00176843	12010	C	47,998	48,141	48,141	48,141	N	40
08/01/13	09/19/13	LEICA MICROSYSTEMS, INC.	00177664	12099	C	17,027	17,027	17,027	17,027	N	40
08/20/13	08/20/13	LEICA MICROSYSTEMS, INC.	00177968	12099	C	16,538	16,538	16,538	16,538	N	40
06/13/13	07/13/13	LIBERTY MOVING & STORAGE CO., INC.	00176845	79004	C	100,105	150,105	126,283	126,283	N	52
04/08/13	04/16/13	LIFE TECHNOLOGIES CORPORATION	00175584	12099	C	23,995	24,420	24,420	24,420	N	40
04/22/13	05/22/13	LIFE TECHNOLOGIES CORPORATION	00175837	10610	C	57,787	71,100	71,100	71,100	N	40
06/14/13	06/14/13	LIFE TECHNOLOGIES CORPORATION	00176944	12099	B	75,384	75,384	75,384	75,384	N	40
04/10/13	05/20/13	LINCOLN MOVING & STORAGE OF BUFFALO IN	00175624	39102	B	13,160	13,160	13,160	13,160	Y	52
03/12/14	03/12/14	MALVERN INSTRUMENTS INC.	00180798	12099	C	39,999	39,999	0	0	N	40
04/05/13	04/05/13	MARK CERRONE INC.	00175553	95060	E	24,998	24,998	24,998	24,998	Y	52
10/22/13	10/22/13	MARK HARVEY DBA MARK HARVEY DESIGN	00178878	38212	C	5,660	5,660	5,660	5,660	N	40
11/07/13	11/07/13	MARK HARVEY DBA MARK HARVEY DESIGN	00179117	38205	A1	6,470	6,470	0	0	N	40
12/26/13	12/26/13	MASIMO AMERICAS INC	00179748	10100	C	23,370	23,501	0	0	N	33

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08/08/13	09/09/13	MAYLINE COMPANY LLC	00177765	22913	B	15,679	15,679	15,679	15,679	N	41	1
10/16/13	10/16/13	MAYLINE COMPANY LLC	00178766	20915	B	15,990	15,990	0	0	N	40	1
03/04/14	03/04/14	MEADOWS OFFICE SUPPLY CO. INC. D/B/A	00180662	38789	A1	5,886	5,886	0	0	Y	32	X
06/04/13	07/04/13	MED ASSOCIATES, INC.	00176647	10610	C	30,187	30,187	30,187	30,187	N	40	1
08/21/13	08/21/13	MICROBRIGHTFIELD INC. DBA MBF BIOSCIENC	00177978	12099	C	29,719	29,719	29,719	29,719	N	40	1
07/30/13	07/30/13	MICROMERITICS INSTRUMENT CORPORATION	00177605	12099	C	30,000	30,298	30,298	30,298	N	40	1
05/30/13	05/30/13	MILESTONE INC.	00176582	12099	C	27,850	27,850	27,850	27,850	N	40	1
10/24/13	12/09/13	MOBILIER DE BUREAU LOGIFLEX	00178900	20915	B	60,500	426,590	0	0	N	52	1
06/17/13	06/17/13	MODUFORM LIBRARY BUREAU INC	00176965	21501	B	12,360	12,360	12,360	12,360	N	52	1
06/27/13	06/27/13	MODUFORM LIBRARY BUREAU INC	00177132	21501	B	22,339	22,339	22,339	22,339	N	52	1
07/24/13	07/24/13	MODUFORM LIBRARY BUREAU INC	00177539	21501	B	3,854	10,020	10,020	10,020	N	52	1
08/21/13	08/21/13	MORRELL INSTRUMENT CO., INC	00177980	12099	C	14,047	14,047	14,047	14,047	N	40	1
06/12/13	06/12/13	MOUSE SPECIFICS INC	00176820	12099	C	63,775	63,775	63,775	63,775	N	40	1
02/26/14	02/26/14	MSC INDUSTRIAL SUPPLY CO.	00180566	39000	B	6,143	6,143	0	0	N	40	1
05/20/13	05/20/13	MYTHICS INC.	00176383	76050	B	262,693	262,693	250,108	250,108	N	40	1
06/05/13	08/21/13	NANOSCIENCE INSTRUMENTS INC.	00176669	12099	C	41,600	41,600	41,870	41,870	N	40	1
06/06/13	09/11/13	NANOSCIENCE INSTRUMENTS INC.	00176675	12099	C	41,600	41,870	41,870	41,870	N	40	1
06/06/13	06/06/13	NANOSCIENCE INSTRUMENTS INC.	00176717	12099	C	36,960	36,960	36,960	36,960	N	40	1
06/10/13	07/26/13	NATIONAL FUEL GAS DISTRIBUTION CORP	00176781	98040	D	32,716	32,716	32,716	32,716	Y	52	1
11/15/13	11/15/13	NATIONAL OFFICE FURNITURE, INC.	00179237	21501	A1	9,777	9,777	9,777	9,777	N	52	1
03/07/14	03/07/14	NCS PEARSON INC. D/B/A PEARSON CLINICAL	00180731	12004	C	13,281	13,281	0	0	N	40	1
08/05/13	08/05/13	NEC CORPORATION OF AMERICA	00177687	60000	B	47,740	47,740	47,740	47,740	N	33	1
12/19/13	02/10/14	NETWORK VOICE & DATA COMMUNICATIONS I	00179715	60000	B	47,310	47,310	0	0	N	41	1
12/24/13	12/24/13	NEW YORK PRECISION EQUIPMENT INC	00179747	12099	A1	9,647	9,647	9,647	9,647	N	40	1
02/20/14	02/20/14	NEW YORK STATE INDUSTRIES FOR THE	00180471	31607	B	26,182	26,182	0	0	Y	52	1
03/26/14	03/26/14	NEW YORK STATE INDUSTRIES FOR THE	00181016	22200	A1	38,539	38,539	0	0	Y	52	2
03/26/14	03/26/14	NEW YORK STATE INDUSTRIES FOR THE	00181018	22200	B	42,039	42,039	0	0	Y	52	1
03/26/14	03/26/14	NEW YORK STATE INDUSTRIES FOR THE	00181019	22200	B	46,984	46,984	0	0	Y	52	1
03/26/14	03/26/14	NEW YORK STATE INDUSTRIES FOR THE	00181021	22200	B	48,081	48,081	0	0	Y	52	1
04/04/13	04/04/13	NEW YORK STATE TECHNOLOGY ENTERPRISE	00175552	73012	B	283,909	283,909	55,545	55,545	N	40	1
06/03/13	06/03/13	NEXUS CONSORTIUM INC.	00176626	76401	B	15,001	15,001	15,001	15,001	N	41	X
02/07/14	02/07/14	NICKERSON CORPORATION	00180367	27502A	B	7,893	7,893	0	0	N	41	X
06/12/13	06/12/13	NOLDUS INFORMATION TECHNOLOGY INC.	00176828	12099	C	29,165	29,165	29,165	29,165	N	40	1
06/13/13	06/13/13	NOLDUS INFORMATION TECHNOLOGY INC.	00176839	12099	C	14,625	14,625	14,625	14,625	N	40	1
10/14/13	10/14/13	NUCRAFT FURNITURE COMPANY	00178672	20915	B	132,796	132,796	0	0	N	40	1

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10/24/13	01/02/14	NYS DEPARTMENT OF CORRECTIONAL SERVIC	00178916	20915	B	15,086	19,140	19,140	19,140	Y	41
04/18/13	10/31/13	OCUTO BLACKTOP & PAVING CO., INC.	00175778	85000	B	31,045	31,045	31,045	31,045	Y	28
04/18/13	10/31/13	OCUTO BLACKTOP & PAVING CO., INC.	00175781	85000	B	14,343	14,343	14,343	14,343	Y	28
07/15/13	07/15/13	OFS BRANDS INC.	00177444	21501	B	9,056	9,056	9,056	9,056	N	52
11/01/13	11/01/13	OFS BRANDS INC.	00178984	20915	A1	40,583	0	0	0	N	40
05/23/13	05/23/13	OLUM'S OF BINGHAMTON INC.	00176469	25203	A1	9,600	9,600	9,600	9,600	N	52
06/21/13	06/21/13	OLUM'S OF BINGHAMTON INC.	00177072	25200	C	37,290	37,290	37,290	37,290	N	52
04/17/13	06/18/13	OLYMPUS AMERICA INC.	00175491	12010	C	11,768	11,784	11,784	11,784	N	40
05/23/13	05/23/13	OXFORD INSTRUMENTS AMERICA, INC.	00176472	12099	C	115,827	115,827	92,662	92,662	N	40
03/26/14	03/26/14	PAMPER DECORATING SERVICE	00181025	21200	C	93,048	93,048	0	0	Y	52
05/23/13	06/28/13	PARATA SYSTEMS LLC	00176470	11600	B	198,991	308,891	308,891	308,891	N	33
05/06/13	05/07/13	PATTERSON DENTAL SUPPLY INC.	00176135	11600	B	94,656	94,656	94,656	94,656	N	33
05/06/13	05/07/13	PATTERSON DENTAL SUPPLY INC.	00176141	11600	B	52,575	52,575	52,575	52,575	N	33
04/29/13	05/01/13	PATTERSON MEDICAL SUPPLY INC	00176039	38789	B	15,983	15,983	15,688	15,688	N	33
12/10/13	12/10/13	PEAK SCIENTIFIC INC	00179535	35900	A1	39,730	52,730	39,730	39,730	N	40
03/20/14	03/20/14	PENTAIR AQUATIC ECO-SYSTEMS INC	00180962	12099	C	57,298	57,298	0	0	N	40
05/17/13	05/22/13	PHILIPS ELECTRONICS NORTH AMERICA CORP	00176375	11600	B	25,380	25,380	25,380	25,380	N	33
12/26/13	01/26/14	PHILIPS ELECTRONICS NORTH AMERICA CORP	00179750	11600	A1	9,055	9,055	0	0	N	33
01/30/14	01/30/14	PLURA BROADCAST INC.	00180272	38212	A1	7,748	7,714	7,714	7,714	N	40
09/24/13	09/24/13	PLURA BROADCAST INC.	00178443	38212	C	20,825	20,825	20,825	20,825	N	40
10/23/13	10/23/13	PRESENTATION PRODUCTS INC.	00178893	38200	C	29,668	29,668	655	655	N	40
03/19/14	03/19/14	PRESIDIO NETWORKED SOLUTIONS INC.	00180923	85110	B	3,999,317	3,999,317	0	0	N	40
03/10/14	03/10/14	PRIDE CARPET INC DBA PRIDE FLOORING	00180755	92070	A1	5,419	5,419	5,419	5,419	N	52
05/30/13	06/30/13	PRIDE CARPET INC DBA PRIDE FLOORING	00176579	20700	A1	8,000	8,000	8,000	8,000	Y	52
06/18/13	07/18/13	PRIDE CARPET INC DBA PRIDE FLOORING	00176883	92070	C	120,000	120,000	85,802	85,802	N	52
01/13/14	03/03/14	PROCARE MEDICAL COMPANY	00179753	11600	A1	33,443	33,443	0	0	N	33
05/14/13	05/20/13	PUCCI CARPET & FURNITURE INC. D/B/A PUCC	00176237	71002	B	85,404	85,404	85,404	85,404	N	52
10/03/13	11/03/13	QIAGEN, INC.	00178566	10610	B	5,271	5,271	5,271	5,271	N	40
03/17/14	03/21/14	RAPID ACCESS COMMUNICATION ENTERPRISE	00180487	77530	B	239,182	239,182	0	0	N	40
03/20/14	03/20/14	REDHAWK EQUIPMENT	00180964	24400	A1	9,843	9,843	0	0	N	52
03/24/14	03/24/14	REDHAWK EQUIPMENT	00181000	24400	A1	49,958	49,958	0	0	N	52
06/03/13	06/03/13	REDHAWK EQUIPMENT	00176628	24400	A1	17,217	17,409	17,409	17,409	N	52
06/19/13	06/19/13	RESALTA RESEARCH TECHNOLOGIES	00177039	12099	C	59,962	59,962	59,962	59,962	N	40
04/19/13	04/19/13	RICHARD L SCHOLET INC DBA SCHOLET	00175820	21501	A1	6,373	6,373	6,373	6,373	N	52
05/16/13	05/16/13	ROBERT M NOPPER DBA HELDERBERG CONCR	00176175	85000	A1	6,700	6,700	0	0	Y	28

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Award Date	Complete Date	Contractor/Vendor	ContractID	Comm/ Service	Award Code	Original Encum. Value	Current Encum. Value	Fiscal Period Payments	Payments To-Date	NYS	Prog.	MWBE Bids
06/17/13	06/17/13	ROBERTS OFFICE INTERIORS, INC	00176982	21501	C	12,449	12,449	12,449	12,449	Y	52	X
02/27/14	02/27/14	ROBOTECH CAD SOLUTIONS	00180602	22944	A1	12,795	12,795	0	0	N	21	2
08/14/13	12/05/13	ROSS VIDEO INC.	00177833	38212	A1	6,425	6,475	6,475	6,475	N	40	1
10/22/13	12/05/13	ROSS VIDEO INC.	00178873	38212	A1	5,795	5,811	5,811	5,811	N	40	1
01/08/14	01/08/14	RSI EQUIPMENT INC.	00179925	11600	A1	8,725	8,725	0	0	N	33	X
03/26/14	03/26/14	RSI EQUIPMENT INC.	00181044	10100	A1	5,180	5,180	0	0	N	33	X
04/26/13	05/01/13	RSI EQUIPMENT INC.	00176005	11600	A1	11,396	11,396	11,396	11,396	Y	33	X
04/29/13	04/30/13	RSI EQUIPMENT INC.	00176018	11600	A1	6,280	6,280	6,280	6,280	Y	33	X
04/29/13	04/30/13	RSI EQUIPMENT INC.	00176020	38789	B	20,700	20,700	20,700	20,700	Y	33	X
05/09/13	05/10/13	RSI EQUIPMENT INC.	00176201	11600	A1	7,174	7,174	7,174	7,174	Y	33	X
05/13/13	05/14/13	RSI EQUIPMENT INC.	00176224	11600	A1	5,841	5,841	5,841	5,841	Y	33	X
05/15/13	05/17/13	RSI EQUIPMENT INC.	00176293	11600	A1	5,292	5,292	5,292	5,292	Y	33	X
05/17/13	05/20/13	RSI EQUIPMENT INC.	00176378	11600	B	14,490	14,490	14,490	14,490	Y	33	X
05/17/13	05/21/13	RSI EQUIPMENT INC.	00176380	11600	B	12,108	12,108	12,108	12,108	Y	33	X
05/21/13	05/23/13	RSI EQUIPMENT INC.	00176413	11600	A1	6,443	6,443	6,443	6,443	Y	33	X
05/21/13	05/23/13	RSI EQUIPMENT INC.	00176420	11600	A1	9,222	9,222	9,222	9,222	Y	33	X
06/07/13	06/10/13	RSI EQUIPMENT INC.	00176771	38789	A1	9,960	9,960	9,960	9,960	Y	33	X
06/13/13	06/14/13	RSI EQUIPMENT INC.	00176844	11600	A1	9,528	9,528	9,528	9,528	Y	33	X
06/21/13	07/29/13	RSI EQUIPMENT INC.	00177073	10100	A1	25,800	25,800	25,800	25,800	N	28	X
06/17/13	06/17/13	S P STAMOS ASSOCIATES INC	00176978	22002	C	13,860	15,740	15,740	15,740	Y	52	1
04/16/13	04/16/13	SAUDER MANUFACTURING CO.	00175722	38789	B	823	8,543	8,543	8,543	N	33	1
04/19/13	04/19/13	SAUDER MANUFACTURING CO.	00175818	21501	B	98,703	98,703	98,703	98,703	N	52	1
01/30/14	02/10/14	SCALE-TRONIX, INC.	00180271	11600	B	6,340	6,340	0	0	N	33	1
08/19/13	10/01/13	SCALE-TRONIX, INC.	00177961	11600	B	6,340	6,340	6,340	6,340	N	33	1
10/18/13	11/04/13	SCALE-TRONIX, INC.	00178803	11600	B	6,340	6,340	6,340	6,340	N	33	1
12/04/13	12/14/13	SCALE-TRONIX, INC.	00179455	11600	B	6,340	6,340	6,340	6,340	N	33	1
06/25/13	07/25/13	SENSOMOTORIC INSTRUMENTS INC.	00177115	38800	C	19,500	19,500	19,500	19,500	N	40	1
06/04/13	06/04/13	SHIMADZU SCIENTIFIC INSTRUMENTS, INC.	00176658	12099	B	32,097	32,097	32,097	32,097	N	40	1
04/29/13	04/29/13	SIEMENS INDUSTRY INC.	00176013	38230	B	178,500	178,500	138,500	138,500	N	41	1
08/05/13	08/06/13	SIEMENS MEDICAL SOLUTIONS USA INC.	00176683	11600	C	1,000,000	1,000,000	0	0	N	33	1
05/30/13	05/30/13	SIMPLEXGRINNELL LP	00176591	34710	B	29,824	29,824	0	0	N	40	1
09/24/13	09/24/13	SIMPLEXGRINNELL LP	00178439	38230	B	339,000	339,000	339,000	339,000	N	52	1
04/16/13	04/16/13	SOCIAL MALL LLC DBA FACELOGIQUE	00175727	22944	A1	5,697	5,697	5,697	5,697	N	40	1
09/24/13	09/24/13	SONY ELECTRONICS INC.	00178438	38212	B	9,750	9,750	9,750	9,750	N	40	1
10/24/13	11/25/13	SOURCE INTERNATIONAL CORP.	00178901	20915	B	43,788	43,788	0	0	N	52	1

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03/17/14	03/21/14	SPEED WIRE INC	00180663	77530	B	17,885	17,885	0	0	N	40	1
02/25/14	02/25/14	SR RESEARCH LTD	00180564	12099	C	42,275	42,275	0	0	N	40	1
06/04/13	06/04/13	STANLEY SECURITY SOLUTIONS INC.	00176644	35501	B	14,529	14,529	14,529	14,529	N	52	1
04/24/13	04/24/13	STEELCASE INC.	00175920	21501	B	14,862	14,862	14,862	14,862	N	52	1
06/12/13	06/13/13	STEELCASE INC.	00176818	38789	B	7,390	9,857	9,857	9,857	N	33	1
10/09/13	10/09/13	STEELCASE INC.	00178618	20915	B	803,860	803,860	0	0	N	40	1
12/19/13	02/10/14	STEELCASE INC.	00179726	20915	B	5,950	42,432	0	0	N	41	1
04/15/13	04/16/13	STERIS CORPORATION	00175700	11600	B	22,336	22,936	20,992	20,992	N	33	1
08/27/13	08/27/13	STORAGE ENGINE INC.	00178026	38212	B	5,138	5,138	5,138	5,138	N	40	1
02/12/14	02/12/14	STRYKER SALES CORPORATION	00180397	11600	B	10,982	10,982	0	0	N	33	1
08/21/13	10/01/13	STRYKER SALES CORPORATION	00177988	11600	B	12,298	12,298	12,298	12,298	N	33	1
08/21/13	12/02/13	STRYKER SALES CORPORATION	00177989	11600	B	519,654	519,654	519,654	519,654	N	33	1
12/26/13	03/03/14	STRYKER SALES CORPORATION	00179752	11600	B	37,464	37,464	37,464	37,464	N	33	1
08/09/13	08/09/13	STYLEX, INC.	00177791	20915	B	9,114	10,179	10,179	10,179	N	52	1
11/25/13	11/25/13	SUSQUEHANNA SHEET METAL ERECTION SER	00179339	85000	A1	10,029	10,029	0	0	N	52	2
04/05/13	05/01/13	SYSTEMS 2/90 INC DBA 2/90 SIGN SYSTEMS	00175570	38600	E	10,404	10,404	10,404	10,404	N	28	1
06/04/13	06/04/13	TA INSTRUMENTS - WATERS L.L.C.	00176651	12099	C	49,965	49,965	49,965	49,965	N	40	1
02/17/14	03/03/14	TEKNIUM LLC	00180432	20915	B	9,067	18,983	0	0	N	40	1
06/17/13	06/17/13	TEKNIUM LLC	00176970	21501	B	11,983	11,983	11,983	11,983	N	52	1
10/22/13	01/02/14	TEKNIUM LLC	00178877	20915	B	74,575	109,543	102,637	102,637	N	40	1
11/13/13	11/13/13	TEKRAN INSTRUMENTS CORPORATION	00179179	12099	C	31,730	31,730	31,730	31,730	N	40	1
08/21/13	08/21/13	TEKTRONIX, INC.	00177987	12099	A1	6,584	6,584	6,584	6,584	N	40	1
11/27/13	12/02/13	TELEHEALTH SERVICES D/B/A TELERENT LEAS	00179386	38212	C	110,480	134,728	0	0	N	33	1
06/20/13	06/20/13	THE CHAMBERS CORP.	00177056	21200	A1	7,732	7,732	7,732	7,732	N	52	X
02/18/14	02/18/14	THE GUNLOCKE COMPANY LLC	00180437	20915	B	207,379	207,379	0	0	Y	40	1
10/25/13	01/06/14	THE HON COMPANY LLC	00178931	20915	B	14,497	14,497	14,497	14,497	N	41	1
02/13/14	02/13/14	THE TURBOCHYLL COMPANY	00180404	30000	C	33,440	33,440	0	0	N	40	1
05/21/13	05/21/13	THERMO ELECTRON NORTH AMERICA LLC	00176418	12099	C	24,525	24,525	24,525	24,525	N	40	1
07/17/13	07/17/13	THERMO ENVIRONMENTAL INSTRUMENTS INC	00177486	12099	C	10,856	10,856	10,856	10,856	N	40	1
07/10/13	04/03/14	THOMAS SCIENTIFIC INC.	00177356	12099	B	12,232	13,777	13,777	13,777	N	40	1
07/12/13	07/12/13	THOMAS SCIENTIFIC INC.	00177394	12099	B	7,974	7,974	7,974	7,974	N	40	1
04/17/13	04/17/13	TOBII TECHNOLOGY INC	00175738	12099	C	48,020	48,020	48,020	48,020	N	40	1
08/29/13	10/29/13	TOBII TECHNOLOGY INC	00178069	10610	C	50,000	50,000	50,000	50,000	N	40	1
04/23/13	07/02/13	TOWER PRODUCTS INC DBA MARKERTEK VIDE	00175899	38212	A1	6,489	9,501	9,501	9,501	N	40	1
06/27/13	06/27/13	TRANSFORMATIONS BY WIELAND, INC. DBA TR	00177128	21501	A1	6,177	7,035	7,035	7,035	N	52	1

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Award Date	Complete Date	Contractor/Vendor	ContractID	Comm/ Service	Award Code	Original Encum. Value	Current Encum. Value	Fiscal Period Payments	Payments To-Date	NYS	Prog. MWBE Bids
07/23/13	07/23/13	TRANSFORMATIONS BY WIELAND, INC. DBA TR	00177511	21501	C	27,968	31,010	31,010	31,010	N	52
11/13/13	11/13/13	TRANSFORMATIONS BY WIELAND, INC. DBA TR	00179178	21501	C	15,115	15,072	15,072	15,072	N	52
12/02/13	06/01/14	TRANSFORMATIONS BY WIELAND, INC. DBA TR	00179394	21501	C	158,769	0	0	0	N	52
12/17/13	12/17/13	TRANSFORMATIONS BY WIELAND, INC. DBA TR	00179652	21501	C	12,437	14,919	14,919	14,919	N	52
06/03/13	06/03/13	TRINITY LIGHTING INC.	00176624	35706	A1	6,350	7,384	7,384	7,384	N	52
06/24/13	06/24/13	TRIUMVIRATE ENVIRONMENTAL INC.	00177085	79004	C	10,504	13,609	13,609	13,609	N	40
08/28/13	08/30/13	TYCO FIRE & SECURITY (US) MANAGEMENT IN	00178038	85000	B	15,006	0	0	0	N	28
01/16/14	01/16/14	U.S. CHEMICAL STORAGE LLC	00180058	38789	E	485,242	485,242	0	0	N	28
12/05/13	12/05/13	UNIFY INC	00179469	85110	C	18,375	0	0	0	N	33
04/05/13	05/05/13	UNITED HOSPITAL SUPPLY CORP	00175558	12006	C	7,619	7,619	7,619	7,619	N	40
04/05/13	05/05/13	UNITED HOSPITAL SUPPLY CORP	00175559	12006	C	7,750	7,750	7,750	7,750	N	40
04/05/13	05/05/13	UNITED HOSPITAL SUPPLY CORP	00175560	12006	C	6,215	6,215	6,215	6,215	N	40
04/05/13	05/05/13	UNITED HOSPITAL SUPPLY CORP	00175561	12006	C	8,349	8,349	8,349	8,349	N	40
04/05/13	05/05/13	UNITED HOSPITAL SUPPLY CORP	00175562	12006	C	7,012	7,012	7,012	7,012	N	40
04/05/13	06/03/13	UNITED RENTALS (NORTH AMERICA) INC.	00175569	39007	A1	55,536	55,536	55,536	55,536	N	41
06/17/13	03/04/14	UPRIGHT INSTALLATION SERVICES CORP.	00176966	85000	A1	98,575	115,400	0	0	N	32
10/09/13	10/11/13	UPRIGHT INSTALLATION SERVICES CORP.	00178627	85000	A1	8,720	8,720	0	0	N	32
07/09/13	07/09/13	UPSTATE OFFICE LIQUIDATORS INC DBA	00177323	21501	B	35,661	35,661	35,661	35,661	N	52
02/25/14	05/30/14	VAN BORTEL FORD INC.	00180547	40560	A1	102,606	102,606	0	0	N	28
01/29/14	01/29/14	VANDIS INC.	00180182	85110	B	131,921	131,921	0	0	N	40
03/17/14	03/21/14	VANDIS INC.	00180452	77530	B	138,855	164,848	0	0	N	40
03/17/14	03/21/14	VANDIS INC.	00180455	77530	B	140,086	140,086	0	0	N	40
03/17/14	03/21/14	VANDIS INC.	00180457	77530	B	208,215	208,215	0	0	N	40
03/21/14	03/21/14	VANDIS INC.	00180978	77530	B	292,316	292,316	0	0	N	40
05/22/13	05/22/13	VIDEO CORPORATION OF AMERICA	00176439	38205	A1	9,570	9,570	9,570	9,570	N	41
03/03/14	03/03/14	VIRCO, INC.	00180622	21501	A1	9,393	9,393	0	0	N	52
04/24/13	05/24/13	VIRCO, INC.	00175918	21501	B	6,600	6,600	6,600	6,600	N	52
04/29/13	04/29/13	VIRCO, INC.	00176014	21501	B	14,090	14,090	14,090	14,090	N	52
10/16/13	10/16/13	VIRCO, INC.	00178724	21501	B	5,280	5,280	5,280	5,280	N	52
05/17/13	05/17/13	VWR INTERNATIONAL LLC	00176369	12099	B	32,010	32,010	32,010	32,010	N	40
07/16/13	07/16/13	VWR INTERNATIONAL LLC	00177481	12099	C	17,331	17,331	17,331	17,331	N	40
11/19/13	11/19/13	VWR INTERNATIONAL LLC	00179305	12099	A1	29,928	29,928	29,927	29,927	N	40
12/06/13	12/31/13	VWR INTERNATIONAL LLC	00179498	10610	A1	9,321	9,321	9,321	9,321	N	40
02/03/14	02/03/14	W.W. GRAINGER INC. D/B/A GRAINGER	00180300	38789	A1	8,295	8,295	8,295	8,295	N	28
06/24/13	06/24/13	W.W. GRAINGER INC. D/B/A GRAINGER	00177084	26500	B	14,731	14,731	14,731	14,731	N	52

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03/14/14	03/14/14	WALDNER'S BUSINESS ENVIRONMENTS, INC.	00180805	77530	B	10,095	10,095	0	0	N	40	X
03/18/14	03/18/14	WALDNER'S BUSINESS ENVIRONMENTS, INC.	00180853	77530	A1	8,311	8,311	0	0	N	40	X
06/12/13	06/13/13	WALDNER'S BUSINESS ENVIRONMENTS, INC.	00176827	85000	A1	8,500	8,500	8,500	8,500	Y	33	X
10/11/13	10/11/13	WALDNER'S BUSINESS ENVIRONMENTS, INC.	00178619	20915	B	306,609	306,609	0	0	N	40	X
10/23/13	10/23/13	WALDNER'S BUSINESS ENVIRONMENTS, INC.	00178880	20915	B	166,499	166,499	0	0	N	40	X
03/17/14	03/21/14	WASHINGTON COMPUTER SERVICES INC.	00180444	77530	B	175,196	175,196	0	0	N	40	X
11/08/13	03/13/14	WATERS TECHNOLOGIES CORPORATION	00179150	79004	A1	5,172	5,172	5,172	5,172	N	40	
03/17/14	03/21/14	WEB HOUSE INC.	00180507	77530	B	161,679	161,679	0	0	N	40	
08/06/13	08/06/13	WEMS INC DBA VACUUM ATMOSPHERES COM	00177704	12099	C	24,000	24,000	24,000	24,000	N	40	
07/03/13	07/03/13	WILLIAM G JOSEPH DBA JOSEPH ENTERPRISE	00177218	79004	A1	6,710	6,710	6,710	6,710	Y	52	
02/03/14	02/03/14	WOLBERG ELECTRICAL SUPPLY CO. INC	00180301	35501	A1	5,142	5,142	0	0	N	52	
05/14/13	06/14/13	WOLBERG ELECTRICAL SUPPLY CO. INC	00176246	35501	A1	6,281	6,281	6,281	6,281	N	52	
12/19/13	02/10/14	WORKSTREAM INC. D/B/A HAMILTON SORTER	00179727	20915	A1	9,351	9,351	0	0	N	41	
04/01/13	04/03/13	WORKWELL PARTNERS	00175459	85000	A1	9,500	9,500	9,500	9,500	N	33	
04/02/13	04/04/13	WORKWELL PARTNERS	00175513	85000	E	16,800	16,800	16,800	16,800	Y	33	
12/30/13	03/10/14	WORKWELL PARTNERS	00179799	20915	A1	8,195	8,195	0	0	N	33	
07/17/13	07/17/13	ZISKE-KRAFTWERKS, INC. DBA KRAFTWERKS	00177487	21501	A1	7,500	7,500	7,500	7,500	Y	52	X
04/16/13	04/17/13	ZOLL MEDICAL CORP.	00175726	11600	B	80,212	80,212	80,212	80,212	N	33	
Total Awarded Purchase Orders (Construction)						35,929,400	37,450,693	11,980,773	11,980,773			

TOTAL 2013/2014 PROCUREMENT AWARDS

317,585,490 328,996,039 82,366,853 82,366,853

LEGEND - AWARD CODES

A	Competitive Bids or Proposals prior to 4-12-94 - No Distinction Made Prior to 4/12/94 on Bids vs. Proposals
A1	Competitive Bids (Post 4-12-94): Primarily used for construction contracts, and frequently for the purchase of furnishings, equipment and supplies. Awards are made to the lowest responsible and responsive bidder as prescribed by statute.
A1E	Competitive bids received; exemption from placement of a notice in the New York State Contract Reporter approved by the Executive Director: Exemptions from placing a notice in the New York State Contract Reporter are granted in situations where it is determined that there is an emergency or critical need, or on the basis that it is not feasible to place notice in the New York State Contract Reporter.
A2	Competitive Proposals (Post 4-12-94): Primarily used for architect, engineer, survey, data processing, printing and minority business enterprise consultants; testing, controlled inspections, construction management, management of parking facilities, audit and accounting, training, investment banking contracts, and for goods or services for the Authority's own accounts. Awards are made to the best qualified personal services contractor at costs determined by the Authority to be fair and reasonable.
A2E	Competitive proposals received; exemption from placement of a notice in the New York State Contract Reporter approved by the Executive Director: Exemptions from placing a notice in the New York State Contract Reporter are granted in situations where it is determined that there is an emergency or critical need, or on the basis that it is not feasible to place notice in the New York State Contract Reporter.
B	Government Contracts: Primarily used for purchase of goods from contract lists established by NYS Office of General Services, Federal General Services Administration, from City Government; from Preferred Source contracts such as prison industry, the disabled and the blind; Dormitory Authority; City of New York; County Government for Community Colleges, City University of New York; and the State University of New York. B1 -State Contract, B2 -GSA Contract, B3 -City Contract, B4 -Preferred Source, B5 -DASNY Contract, B6 -Board of Education Contract, B7 -County Contract, B8 -CUNY Contract, B9 -SUNY Contract
C	Selection Controlled by Client: Primarily used for architect, engineer, or artwork contracts where the selection process is controlled by the Client, and the Authority negotiates and holds the contract for personal services. Occasionally used to identify assigned contracts.
D	Competition Waived Waived from competition by Procurement Guidelines. Includes tuition and other expenses incurred for employee training; services provided by monopolies, utilities and hotels; transportation services; contracts for computer or telephone hardware, software or services where current Authority license or use restrictions render competition impractical.
E	Exemption from competition and placement of a notice in the New York State Contract Reporter approved by the Executive Director: Primarily used in emergency or unique situations which cannot await the usual competitive procedure, or where the services require a uniquely qualified personal service or sole source provider.
EC	Completion Contract: Primarily used for contracts with sub-consultants or sub-contractors when a prime consultant or contractor defaults their contract, or when the Surety on a Performance Bond steps in to complete the work following termination of a contract. Occasionally used when the Authority invokes the "Owner's Right to do Work" provision of the construction contract General Conditions, when a contractor is near completion of work but not progressing the work satisfactorily.

PROGRAM NUMBERS - by Client

	Client Type	New Program Numbers	Related Operating Funds	Annual Report Column
PUBLIC				
	New York Agencies			
	State Education Department	20	SED	NYS Agen
	Department of Health	21	D90, DOH, DVH, RPG	NYS Agen
	CEFAP	22	CEF	NYS Agen
	Child Care Facilities Dev Prtg	23	CCF	NYS Agen
	Mental Health - MCFFA	27	MLH	NYS Agen
	Mental Health - DASNY	28	OMR, OAS, OMH, MLH	NYS Agen
	New York State (Pension)	29	PRI	NYS Agen
	Library Facilities	29	LFB	NYS Agen
	Office of General Services (Parking Garage)	29	OGS	NYS Agen
	Office of State Comptroller	29	OSC	NYS Agen
	Albany County (Airport)	29	ACA	NYS Agen
	Pace Judicial	29	PJI	NYS Agen
	Rescue	29	RES	NYS Agen
	PIT	29	NYA	NYS Agen
	Hudson Valley Stadium	29	HVS	NYS Agen
	HHAC	29	HHA	NYS Agen
	CBVH	29	CBV	NYS Agen
	Municipal Programs			
	Municipal Health Facilities (PCDC)	30	MUN	Municipal
	Special Act School Districts	31	SAS	Municipal
	Court Facilities	32	SUF, NYC, WES, MUN, UCS	Municipal
	NYC Health and Hospital Corp	33	HHC, HHO, OCM, MUN	Municipal
	Boces	34	MUN	Municipal
	Public School Districts	35	PSD	Municipal
	City University			
	CUNY - Senior	40	CSC	CUNY
	CUNY - Community	41	CCC, CSC	CUNY
	State University			
	SUNY Ed	50	SYE	SUNY
	SUNY Dorms	52	SYD, UMG, SBK	SUNY
	SUNY Athletic Facilities	54	SYA	SUNY
	Upstates	55	ERI, FIT, UPS	SUNY
	Educational and Nonprofit Organizations			
	Educational & Nonprofit Organizations	60	PRI, PCP, PST, SHF	Indep
	853 Schools	61	PRI	Indep
	4201 Schools	62	PRI	Indep
	Healthcare			
	Hospitals - DASNY	80	HCR	Healthcare
	Hospitals - MCFFA	81	HCR, MLO	Healthcare
	Nursing Homes - DASNY	82	HCR	Healthcare
	Nursing Homes - MCFFA	83	HCR	Healthcare

Commodity Codes

APPENDIX C

<u>Commodity Code</u>	<u>Commodity Description</u>	<u>Commodity Code</u>	<u>Commodity Description</u>
5400	Lamps, Electric	12003	Aquarium, Terror & Acces.
5500	Fuel, Heating	12004	Lab & Teach Supplies
5600	Fuel, Gasoline	12005	Sewing Equipment
5700	Oil, Motor, High Detergen	12006	Lab & Pharm Furn, Install
5702	Transmission Fluid, Autom	12007	Lab Apparatus, Bal & Weig
5800	Propane (Liquid Petroleum	12008	Furniture, Pharmacy
5900	Natural Gas	12009	Furniture, Lab, Free Stan
8300	Food Serv. - Milk Coolers	12010	Microscopes, Related Item
10100	Medical Supplies & Equip	12011	Binoculars
10120	First Aid Kits, All Types	12012	Microscopes, Surg & Acces
10132	Meical Supplies & Equipme	12013	Microscopes, Electron, Ac
10133	Basins, Bowls, Buckets-Me	12014	Laboratory Casework
10136	Catheters (Surgical)	12015	Stereotaxic Inst. & Acces
10500	Sterilizers, Hosp & Lab	12016	Centrifuges & Access
10502	Stills, Hosp & Lab	12018	Specimen Cabinets & Acces
10610	Medical Research Equipmen	12023	Lab Apparatus (Ovens & In
10611	Ultrasound System	12024	Furnace, Laboratory
10612	Radiology Equipment	12026	Environmental Rooms/Chamb
10800	Dental Equipment	12029	Air Compressors
11000	X-Ray Equipment	12029A	Laboratory Equip., Vacuum
11001	X-Ray Equipment Dental	12034	Laboratory Equip, Notions
11002	X-Ray, Diffraction	12040	Cages, Animal, Metal
11070	Carillon	12041	Cages, Animal, Non-Metal
11501	X-Ray Accessories	12049	Lab Equip, Filters/filtra
11600	Hospital Equip,Ward & OR,	12050	Blood Cell Counters & Acc
11601	Hosp. Equip, Ward&OR Stai	12055	Clean Rooms
11602	Furniture, Healthcare	12060	Tissue Processors/Analyze
11603	Cabinets, Medical & Surgi	12061	Cryosstats, Mictotomes, T
11604	Tables, Necropsy	12066	Controlled Temp. Chamber
11605	Scrub, Sinks	12070	Vacuum Pumping System
11613	Hospital Equip, Wheeled	12070A	Laboratory Glassware Wash
11614	Chairs, Wheels	12071	Lab Cleaners, Ultrasonic
11625	Hydrotherapy Bathing Unit	12072	Lab Equip, Cage Washers
11800	Lights, Major Surgery	12080	Containers-Lab, Hospital
11801	Tables, Operating	12099	Laboratory Equip. & Appar
11802	Autopsy Equip & Tables	12100	Resuscitation Equipment
11900	Orthopedic Equipment, Bed	12201	Anesthesia Equip, Hospita
11901	Orthopedic Equip, Bed/Fra	12300	Oxygen Tents & Accessorie
12001	Lab & Pharm Ware, Glass/P	12301	Infant Care Equipment
		12400	Blood Clean/Recovery Equi
		12402	Oxygenates & Related Item
		12500	Instruments, Operate,Gen.
		12502	Instruments & Acces,Diagn
		12600	Electronic Monitor. Equip
		12601	Electronic Heart Resus. A
		12602	Gas Detectors
		12604	Physiological Test & Resea
		12605	Defibrillator/Electrocard
		12606	Electroencephalographs
		12700	Oxygenates & Related Item
		20000	Publications (Not Books)
		20001	Maps & Globes
		20020	Periodicals, Serials
		20060	Books
		20100	Site Work
		20301	Safety Side Rails
		20302	Furniture, Patient Room,
		20304	Furniture, Flotation Ther
		20600	Carpets & Rugs
		20700	Carpets & Rugs
		20700A	Fabric, Upholstery
		20701	CPR Manikins & Equipment
		20702	Wall Covering, Vinyl
		20800	Furniture, Lounge
		20801	Chairs, Stacking
		20802	Furniture, Reception Room
		20803	Furniture, Indoor-Outdoor
		20807	Office Accessories
		20900	Chairs, Folding
		20901	Seating, Lecture Hall
		20902	Chairs, Folding
		20903	Tables, Folding
		20904	Chairs, Conference
		20905	Chairs, Stacking, Wood
		20906	Chairs, Stacking, Metal
		20910	Seating, Auditorium & The
		20911	Benches
		20915	Furniture, Industrial & I

Commodity Codes

APPENDIX C

<u>Commodity Code</u>	<u>Commodity Description</u>	<u>Commodity Code</u>	<u>Commodity Description</u>
22978	Software Maint., Multi Us	30212	Gymnasium Floor Covers
22979	Software Maint, Multi Use	30213	Bowling Equipment & Acces
22980	Computer Parts, Desktop	30214	Football Equipment
22982	Parts, Network & Telecom	30215	Tennis Courts
22984	Computer Parts, Multi Use	30216	Sauna Equipment
22990	Computer, Peripherals Des	30217	Golf Equipment
22992	Computer, Peripherals Mul	30218	Goals, Hockey, Soccer Etc
22994	Computer, Periph Network&	30219	Gymnasium Equipment
23000	Misc. Office Supplies	30220	Bike Rack
23023	Time Stamps, Auto, Electr	30221	Tennis Equipment
23026	Floppy Magnetic Disks	30222	Ski Equipment
23033	Masking Tape	30224	Baseball Equipment
23045	Card Catalogs	30225	Mats-All (Gym, Office etc
23100	Art Crafts School Supplie	30226	Table Tennis Equipment
23101	Looms, Weaving	30227	Cases, Exhibit & Trophy
23103	Bulletin Boards	30229	Volleyball Equipment
23104	Kilns	30233	Soccer Equipment
23106	Tables, Drawing Fancy	30300	Batteries, Automotive
23203	Chalkboards Bulletin Boar	30305	Filters, Auto, Oil,Air,Fu
23500	Dispensers, Toilet Tissue	30306	Lamps, Automotive
24100	Appliances, Stoves Commer	30600	Tires & Tubes
24103	Appliances, Microwave Ove	30700	Barber Shop Equipment
24104	Fryers, Electric Comm.	30900	Antifreeze/Coolant, Engin
24200	Refrigerator, Reach-in	30901	Gas Line Antifreeze
24201	Ice Makers	31600	Furniture, Drafting
24202	Refrigeration (Blood Bank	31601	Engineering Instruments
24203	Refrigeration - Vac/Lab	31605	Millwork, Custom
24204	Refrigerators, Freezers,W	31606	Acoustical; Panels, Scree
24208	Refrigeration Equip, Low	31607	Furniture Refurbishing-Woo
24209	Refrig Equip, Compressors	31608	Furniture Refurbishing-Met
24213	Refrigeration - Ice Cream	31609	Rentals Furniture & Equip
24300	Sewing Machines	31610	Wardrobes
24400	Shades & Shade Cloth	31616	Beds, Bunk-Metal
24401	Blinds, Venetian	31618	Bed Accessories, Linens
24402	Blinds, Wood	31800	Building Materials, Gen.
24600	Flatware, Table (Silverwa	31804	Mirrors & Mirror Light Un
24603	Children's Furniture/Toys	31807	Wood Laminated Products
24800	Tables, Cafeteria	31817	Shades, Screens Solar
25100	Glassware, Table Use Only	31823	Ceiling & Acoustical Tile
25101	Glass, Plexiglass		
25200	Appliances, Kitchen, Dome		
25201	Dishwasher, Commercial		
25202	Freezers, Domestic		
25203	Washers & Dryers,Domestic		
26002	Microfilm Equip & Supplie		
26500	Water Coolers, Electric		
27000	Furniture, School		
27200	Cabinets, Kitchen		
27500	Shelving & Lockers,Instit		
27501	Shelving, Library, Wood		
27502	Shelving, Bookshelves - W		
27502A	Lockers Coin Operated		
27503	Shelving, Cafeteria & Ref		
27504	Shelving, Library, Steel		
27505	Shelving, Cab.-Chemical St		
27506	Shelving, Open Filing		
27507	Kitchen Units		
28000	Physical Therapy & Rehab.		
28001	Ophthalmic Equip & Acces		
28002	Hydrotherapy Units, Whirl		
28003	Handicapped, Disabled Equ		
30000	Air Conditioners		
30001	Dehumidifiers		
30002	Humidifier		
30003	Air Filter Equipment		
30004	Boilers & Heating Equipme		
30200	Athletic Equipment		
30201	Pool Ladders & Railings		
30202	Risers, Band		
30203	Bleachers		
30204	Exercise Machines, Mats&W		
30205	Athletic Equipment, Nets		
30206	Athletic Equip, Track & F		
30207	Tables, Games; i.e. Pinba		
30208	Pool Tables		
30209	Track & Field Equipment		
30210	Scoreboards		
30211	Playground Equipment		

Commodity Codes

APPENDIX C

<u>Commodity Code</u>	<u>Commodity Description</u>	<u>Commodity Code</u>	<u>Commodity Description</u>	<u>Commodity Code</u>	<u>Commodity Description</u>
40603	Wheel Loaders	45001	Turf Utility Vehicles	73003	Temporary Personal Servic
40604	Skid Steer Loaders	46000	Pumps	73004	Consulting, Computer Trai
40605	Fork Lifts (Rough Terrain	47100	Sand Blasting Equipment	73007	Building Commissioning
40606	Farm Tractors	49600	Boats	73011	Occupational Safety & Hea
40700	Power Plant Equipment	49606	Marine Supplies	73012	Consulting, Systems Integr
40710	Incinerators	49608	Life Preservers	73013	Environmental Consulting
40900	Snowremoval Equipment	50041	Engraving System	73014	Consultant, Energy
41100	Cranes, Pedestal Mounted	50042	Maps & Globes	73100	Printing Forms Etc.
41101	Rigging & Hauling	50050	Printing Equipment	75000	Svs.-Spec.(secur.Maint.PI
41101A	Buckets, Clam Shell Etc.	53333	Aluminum Grille Covers	75002	Sys/Periph,Hdwr - Ncr
41102	Trench Diggers	55511	Batteries, Automotive	75003	Sys/Periph, Hdwr - Bull H
41103	Hydraulic Excavators	55551	Salary Reimbursement	75005	Sys/Periph, Hdwr-Digital
41104	Trench Filling Machines	55555	Expansion Joints	75006	Sys/Periph, Hdwr-Hewlett
41105	Cranes, Pedestal Mounted	56666	Let Layout for Waste Heat	75008	Sys/Periph, Hdwr - IBM
41200	Drilling Equipment	60000	Telephone Systems	75011	Sys/Periph, Hdwr - Micro
41400	Air Compressors	60001	Telecommunications, Consu	75016	Sys/Periph, Hdwr - Sun
41600	Sweeper, Rotary	60002	Consultant - Audio Visual	75020	Sys/Periph, Hdwr - Xerox
42200	Snow Vehicles	60003	Cable & Fiber Optics	75031	Micro Computer Hdwr - Dell
42201	All-Terrain Vehicles	60004	Video Educational TV Syst	75300	Micro Computer Hdwr - Ast
42300	Trailers, Heavy Hauling	60005	Planetariums	75301	Micro Computer Hdwr - Comp
42301	Trailers, Home-Field Offi	60006	Electrical Substations	75302	Micro Computer Hdwr - Dell
42302	Modular Buildings - Trail	63636	Fuses	75303	Micro Computer Hdwr-Digit.
42800	Furnace, Heat Treating	65000	Law Enforcement Equip.	75304	Micro Computer Hdwr - Gate
42900	Brush & Wood Chippers	66666	Bus Duck Riser	75305	Micro Computer Hdwr-Hewlet
43000	Ice Resurfacing Machines	70000	Artwork	75306	Micro Computer Hdwr - IBM
43100	Hoists	71002	Carpet Installation	75307	Micro Computer Hdwr - Nec
43101	Hydraulic Jacks	71004	Elevator Maint. & Repairs	75308	Micro Computer Hdwr - Tosh
43200	Tools, Power	71006	Fire Extinguisher (Portab	75309	Micro Computer Hdwr - Zeni
43201	Tools, Machine	71007	HVAC Equip. Maint/Repair	75310	Micro Computer Hdwr - Hert
43202	Tools, Machine, Metal For	71008	Janitorial Services	75311	Micro Computer Hdwr - Cube
43203	Tools, Pneumatic	71009	Landscaping	75312	Micro Computer Hdwr - Css
43204	Tools - Electric Drills	71010	Pest Control Thru Integ.M	75313	Micro Computer Hdwr -Evere
43205	Jointers, Wood (Motorized	71011	Security Services	75314	Micro Computer Hdwr - Appl
43206	Lathes	71012	Snow Plowing/Removal	75315	Micro Computer Hdwr - Sire
43221	Paint Spraying Equipment	72001	Automobile/Truck Rental	75316	Micro Computer Hdwr - Acer
43222	Paint Spraying Equipment	72002	Automobile/Truck Repair	75317	Micro Computer Hdwr-Packar
43223	Welding Equipment	73000	Office Supplies, Clients	75318	Micro Computer Hdwr-Misc H
43229	Saws, Chain (Gasoline)	73001	Consultant, Computer	75320	Micro Computer Hdwr-Bernou
44500	Post Hole Diggers	73002	Office Supplies, DASNY	75321	Micro Computer Hdwr-Texas

Commodity Codes

APPENDIX C

<u>Commodity Code</u>	<u>Commodity Description</u>	<u>Commodity Code</u>	<u>Commodity Description</u>	<u>Commodity Code</u>	<u>Commodity Description</u>
77002	Digital Centrex Equipment	79520	X-Terminals	78411	Printer (Hdwr-Maint) Xero
78412	Printer (Hdwr-Maint) IBM	79521	Electronics Switch	81080	Rating Agencies Commerc P
78414	Printer (Hdwr-Maint) Unis	79522	PC Sharing Devices/Tech -	81090	Claims Handling
78416	Printer (Hdwr-Maint) Brot	79523	Optical Mark Readers	81100	Remarketing Fees
78550	Comm Equip/Maint/Repair	79524	Maint Tracking Stwr Tech-	81110	Mortgage Servicing
78551	Telecomm Equip Refurbish	79525	Time Mgmt/Acc Control Hdwr	81120	Bond Insurance Fees
78552	Comm Equip/Maint-Racal-Da	79526	Project Mgmt Sftwr/Tech-Mi	81130	Floor Ceiling Fees
79001	Energy Conserv./Management	79527	Edi Value Added Network/T	81140	Fees, Final Endorsement
79004	Moving/Trucking Services	79528	Hardware & Software - Mis	81150	Administrative Fees
79006	Travel Services	79529	Telecomm On-Site Train -	81160	SHELF Rebates
79007	Lodging	79530	Comp Point of Sale Equip-	81300	Background Check for Empl
79008	Bank Card Services	79531	Intel Char Recog Sftw Tec	82000	Pre-Design Phase Contract
79009	Transcriptions	79532	Forms Process Sftw Tech -	82010	Soil/Boring Testing
79010	Info Subscrip Svs&On-Line	79533	Edi Sftw Tech - Misc	82020	Environmental Studies
79011	Overnight Mail	79534	Tech Misc - Tsr Consultin	82030	Surveying
79012	Mail Presort	79535	Tech Misc - Bus Records C	82040	Real Estate
79013	Waste Collection & Dispos	80000	Building Maintenance, DAS	82050	Programming Planners
79014	Contract Hearing Report&T	80010	Building Supplies, DASNY	82060	Appraiser
79015	Telecommunications Audit	80020	Office Supplies, DASNY	82070	Abstract Company
79016	Internet/Web Services	80030	Ergonomics, DASNY	82080	Land Survey Company
79018	Mail Presorting/Bar Codin	80040	Projection Systems/Equip	82090	Real Estate Acquisition
79020	Recycling (paper)	80060	Equip Ribbons/Printer DAS	82100	Lease Renovations
79021	Towing	80070	Equip Repairs, DASNY	83000	Design Phase Contracts
79022	Cost Recovery Services	80100	Artwork, Framing	83010	Architect
79027	Payroll Services	80101	Artwork, Installation	83020	Design/Build
79031	Record Ctr Storage & Retr	80102	Artwork, Fabrication	83030	Engineering
79034	Telephone Debit Card	80103	Artwork, Storage	83040	Interior Design
79501	Microfilming	80104	Artwork, Appraisal	83050	Landscape Design
79503	Security Sys Maint/Repair	80105	Artwork, Transportation	84000	Construction Contracts
79504	Power Supply (Uninterrupt	80106	Artwork, Honorarium	84001	Honorarium
79505	Educational Prod (Hdwr&St	80107	Artwork, Consultant	84010	Clerk-of-the-Works
79507	Scanning Products	81000	Finance Contracts	84020	Construction Management
79508	Tape Drives	81010	Audit/Accounting	84030	Controlled Inspections
79509	Imaging	81020	Investment Banking	84040	Estimator - Estimating
79512	Ticket Dispensing System	81030	Trustee Custody Fees	84050	Field Representative
79513	Plotters	81040	Lodging - Hotels & Motels	85000	Miscellaneous Contracts
79514	Digitizers	81050	Insurance Premiums & Fees	85010	Artists
79515	Memory (Technology) Misc	81060	Letter of Credit Fees	85020	Asbestos
79518	Software (Microcomp) - Mi	81070	Rating Agencies Bond Clos	85030	Custody & Safekeeping
79519	Disk Drives	92000	General Construction Cont	85035	Bridge/Street Franchises

Commodity Codes

APPENDIX C

<u>Commodity Code</u>	<u>Commodity Description</u>	<u>Commodity Code</u>	<u>Commodity Description</u>	<u>Commodity Code</u>	<u>Commodity Description</u>
85040	Data Processing	92010	Acoustical Ceilings	95010	Fire Suppression System
85050	Equipment Maintenance	92020	Carpentry	95020	Pools
85060	Garage Maintenance	92030	Concrete	95021	Pool Tiles/Repairs
85070	Management Consultant	92040	Decks, Metal	95030	Storage Tanks
85075	Consultant Services	92050	Drywall	95040	Utility Control Systems
85080	MBE Consultant	92060	Fabrications	95041	Automated Watering System
85090	Printing	92070	Flooring	95050	Elevators
85100	Security	92080	Glazing	95051	Elev. Car Position Indica
85110	Telecommunications	92090	Insulation	95060	Asbestos Abatement
85120	Photography	92100	Masonry	96000	Trailer Rental, DASNY Fie
85130	Training Consultant	92110	Ornamental Metal	96010	Office Equipment, DASNY F
85131	Seminars	92120	Painting	96020	Computer Equipment DASNY
85132	Training Coordinator	92130	Partitions	96030	Heat Light & Power DASNY
85135	Caterer	92140	Plaster	96040	Telephone, DASNY Field
85140	Building & Office Rent	92150	Roofing	96050	Water, DASNY Field
85150	Licensing & Fees - Govern	92160	Sealants & Caulking	96060	Postage/Messenger, DASNY
85160	Garage Operations	92170	Sheetmetal	96070	Office Supplies, DASNY Fi
85170	Memberships	92180	Siding	96080	Progress Photos, DASNY Fi
85180	Building Operating Expens	92190	Signs	96090	Parking Expenses, DASNY F
85190	Real Estate Tax Escalatio	92200	Wall Covering	96100	Misc. Expenses, DASNY Fie
85200	Freight	92210	Waterproofing	97000	Telecommunications Expens
85210	Courier Service	92220	Windows	98000	Parking Rental
85220	Misc. Building Expenses	93000	Electrical Contracts	98010	Cable Television
85230	Site Safety Inspections	93010	Communications	98020	Gasoline Expense
86000	Legal Contracts	93020	Controls	98030	Express Mail
86010	Litigation Counsel	93030	Instrumentation	98040	Utilities
86020	Real Estate Counsel	93040	Lighting	98050	Postage
86030	Special Counsel	93041	Reflectors	99000	Accountant Fees, COIS
86040	Bond Counsel	93050	Power Distribution	99100	Advertising Fees, COIS
86050	UCC Filings	94000	Mechanical Contracts	99110	DASNY Construction Fee, C
86060	Contract Claim Settlement	94010	Air Conditioning	99120	DASNY Financing Fee
90000	Equip Replacemet Direct P	94020	Drainage	99130	DOH Con Fee, COIS
91000	Site Work Contracts	94030	Fire Protection	99140	FHA Counsel Fee, COIS
91010	Demolition	94040	Heating	99150	Financial Consultant, COI
91020	Excavation	94050	Plumbing	99160	Floor Ceiling Fees, COIS
91030	Piped Utilities	94060	Temperature Controls	99170	Institution Attorney, COI
91040	Landscaping	94070	Ventilating	99180	LOC Attorney Fees, COIS
91050	Paving	95000	Misc. Construction Contra	99190	LOC Fees, COIS
91060	Surfacing			99200	Miscellaneous 2%, COIS

Commodity Codes

APPENDIX C

<u>Commodity Code</u>	<u>Commodity Description</u>	<u>Commodity Code</u>	<u>Commodity Description</u>
99210	Mortgage Banking Fee, COI		
99220	Municipal Bond, COIS		
99230	Printing/Documents/Bonds,		
99240	Surety Bond Fees, COIS		
99250	Title Insurance, COIS		
99260	Trustee Acceptance Cou, C		
99270	Trustee Administrative, C		
99280	Underwriter Discount, COI		
99290	Verification Refunding, C		
99300	Bond Issuance Fees, COIS		

Trade/Service Codes

APPENDIX D

<u>Trade/Service Category Code</u>	<u>Category Code Description</u>	<u>Trade/Service Category Code</u>	<u>Category Code Description</u>
100	Finance Contracts	411	Telecommunications
101	Auditing/Accounting	412	Training Consultant
102	Investment Banking	413	Photography
103	Letter of Credit Banking	415	Training Coordinator
104	Trustee Banking	416	Building & Office Rent
105	Lodging-Hotels/Motels	417	Licensing & Fees-Gov't
106	Insurance Premiums	450	Legal
200	Pre-Design Phase Contracts	451	Litigation Counsel
201	Soil/Boring Tests	452	Real Estate Counsel
202	Environmental Studies	453	Special Counsel
203	Surveying	454	Bond Counsel
204	Real Estate	500	Site Work
205	Programming/Planners	501	Demolition
250	Design Phase Contracts	502	Excavation
255	Architect	503	Piped Utilities
260	Design Build	504	Landscaping
265	Engineer	505	Paving
266	Energy Performance Contracting	506	Surfacing
270	Interior Design	600	General Construction
275	Landscape	601	Acoustical
300	Construction Phase Contracts	602	Carpentry
301	Clerk of the Works	603	Concrete
302	Construction Management	604	Decks
303	Controlled Inspections	605	Drywall
304	Estimator	606	Fabrications
305	Field Representative	607	Flooring
306	General Conditions	608	Glazing
307	Construction Manager at Risk	609	Insulation
400	Misc. Professional Svcs	610	Masonry
401	Artist	611	Ornamental Metal
402	Asbestos Consultant	612	Painting
403	Printing	613	Partitions
404	MBE Consultant	614	Plaster
405	Security	615	Roofing
406	Data Processing	616	Sealants
407	Management Consultant	617	Sheet Metal
408	Custody and Safekeeping	618	Siding
409	Equipment Maintenance	619	Signs
410	Garage Maintenance	620	Wall Coverings
		621	Waterproofing
		622	Windows
		700	Electrical
		701	Communications
		702	Controls
		703	Instrumentation
		704	Lighting
		705	Power Distribution
		800	Mechanical
		801	Air Conditioning
		802	Drainage
		803	Fire Protection
		804	Heating
		805	Plumbing
		806	Temperature Controls
		807	Ventilating
		900	Misc. Construction
		901	Fire Suppression Systems
		902	Pools
		903	Storage Tanks
		904	Utility Control Systems
		905	Elevators
		906	Asbestos Abatement
		907	Building Management System

Trade/Service Codes

APPENDIX D

<u>Trade/Service Category Code</u>	<u>Category Code Description</u>	<u>Trade/Service Category Code</u>	<u>Category Code Description</u>	<u>Trade/Service Category Code</u>	<u>Category Code Description</u>
AIR	Air Conditioners	DIR	Direct Pay Invoice	LCD	Video Electronic Equip
ALA	Fire/Smoke Alarm Systems	DOF	Dormitory Furniture	LEG	Legal Contracts
APP	Appliances	DRA	Draperies	LIG	Lighting Equipment, General
ART	Artwork	ELC	Electrical Contracts	LOC	Lockers & Shelving
ATH	Athletic Equipment	ELE	Electrical Supplies, General	LOO	looms, Weaving
AUD	Audio & Video Electronics	ENE	Energy Conserv/Management Sys	LUM	Lumber
AUT	Batteries, Automotive	ENG	Engineering Instruments	MAI	Maint. & Repairs
BAR	Barber Shop Equip	ERE	Equipment Repairs, DASNY	MAP	Maps & Globes
BED	Bed	ERG	Ergonomics, DASNY	MAR	Marine Supplies
BLI	Blinds	ERI	Equip Ribbons/Printer DASNY	MAT	Mattress
BMA	Building Maintenance, DASNY	EXT	Fire Extinguishers	MCN	Misc. Construction Contracts
BOI	Boilers & Heating Equipment	FAB	Fabric, Upholstery	MCO	Miscellaneous Contracts
BOO	Books	FAN	Fans	MEC	Mechanical Contracts
BRU	Brush Cutters, Tractor-drawn	FAX	Facsimile	MED	Medical Supplies
BSU	Building Supplies, DASNY	FEN	Fencing	MIS	Miscellaneous
BUI	Building Materials, General	FIE	DASNY Field	MOW	Mowing Equipment
BUL	Bulletin Boards	FIL	Files	MUS	Musical Instruments
CAB	Cabinets	FIN	Finance Contracts	NET	Networking Hardware & Software
CAF	Cafeteria Line Equipment	FLA	Flags	OFF	Office Equipment
CAR	Carts	FLM	Film	OSU	Office Supplies, DASNY
CAS	Casework	FLO	Carpet Cleaning Equipment	PAR	Parking Gate Systems
CHA	Chairs	FLU	Fluid, Transmission	PER	Periodicals
CLO	Clocks	FOO	Food	PHO	Photographic Equipment
COI	Fees	FUE	Fuel, Heating	PLU	Plumbing Supplies, General
COM	Air Compressors	FUR	Furniture	POO	Pool Ladders & Railings
CON	Consultant Services	FRT	Freight	POW	Power Plant Equip
COO	Water Coolers	GAR	Garage Equipment, General	PRD	Pre-Design Phase Contracts
COP	Copiers	GEN	General Construction Contracts	PRE	Offset Presses
COS	Construction Contracts	HAN	Handicap	PRI	Printing Equipment
COV	Floor Covering	HDW	Systems/Peripherals-Hardware	PRO	Projection Systems/Equip DASNY
CUR	Cubical Curtains	HMR	Background Check	PUB	Publications
DEG	Design Phase Contracts	HOO	Coat Hooks	RAD	Radios, Portable, Hand-Held
DEN	Dental Equipment	HOS	Hospital Supplies	REC	Waste Receptacles
DES	Desks	ICE	Ice Makers	REF	Refrigerator
DET	Metal Detectors, Walk-Through	INS	Carpet Installation	RUG	Rugs and Carpets
REN	Parking Rental	IRO	Flatworm Ironed Pads & Covers	RSK	Ins.Prem. & Fees/Claims Handling
RIS	Risers, Band	LAB	Laboratory Equipment	SAF	Safes
RLP	Appraisals/Survey/Real Estate/Lease	LAD	Ladders	SCH	School
SAL	Salary	LAM	Lamps, Table & Floor	SCQ	Scientific Equipment

Trade/Service Codes

APPENDIX D

<u>Trade/Service Category Code</u>	<u>Category Code Description</u>	<u>Trade/Service Category Code</u>	<u>Category Code Description</u>
SEC	Security		
SEM	Seminars		
SEW	Sewing Machines		
SHA	Shades		
SHE	Shelving, Cafeteria & Refrig		
SIG	Signage		
SIT	Site Work Contracts		
SNO	Snowblowers		
SOF	Software Sys, Purchase & Maint		
SVS	Services		
TAB	Tables, Picnic		
TEC	Memory Technology		
TEL	Telephone Systems		
THE	Photo, Cine, & Theater Equip		
TIC	Ticket Dispensing Systems		
TOO	Tools		
TRA	Transformers		
TVS	Television Sets		
TYP	Typewriters		
UPS	Power Supply (Uninterruptable)		
VAC	Vacuums		
VEH	Automobiles		
VID	Tape Recorders, Video		
XRA	X-Ray Equipment		
XTA	X-Ray		

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DORMITORY AUTHORITY STATE OF NEW YORK

**DORMITORY AUTHORITY
STATE OF NEW YORK**

PROCUREMENT POLICY

PROCUREMENT POLICY OF THE DORMITORY AUTHORITY
OF THE STATE OF NEW YORK

1. Purpose

It is the policy of the Dormitory Authority of the State of New York (“DASNY”) to procure goods and services in a manner which ensures: (i) compliance with all provisions of law governing procurements by DASNY; (ii) the acquisition of quality goods and services at the lowest possible cost in a timely and efficient manner; (iii) the wise and prudent use of the resources of DASNY, its clients and the taxpayers of the State of New York; (iv) the promotion of the use of qualified Certified Minority and Women-Owned Enterprises in Procurement Contracts in accordance with law; and (v) guards against favoritism, extravagance, fraud and corruption.

2. Competitive Procurement Methods

(a) All procurements of goods and services shall be made by DASNY on a competitive basis except as otherwise provided in this Policy. The competitive methods used to make procurements shall include: (i) sealed competitive bids; (ii) requests for proposals; (iii) written quotations; (iv) verbal quotations; (v) purchases made pursuant to centralized contracts let by other public and private entities pursuant to a competitive process; or (vi) any other competitive method of procurement that is consistent with the purposes of this Policy.

(b) For every contract awarded pursuant to sealed competitive bids, DASNY shall maintain procedures designed to ensure that the contract is awarded to the lowest responsible bidder submitting a bid that meets the specifications. In determining responsibility, staff shall consider factors such as financial responsibility, reliability, skill, past performance by the contractor on DASNY projects, judgment and integrity. The written procedures shall further require, among other things, that: (i) to the extent required by law, there be separate specifications for major categories for work; (ii) all advertisements for sealed bids be published in the manner required by law and in such other publications as are appropriate to ensure reasonable competition; and (iii) the rationale for awarding the contract to other than the apparent low bidder be documented and retained in the procurement file.

(c) For every contract awarded pursuant to a request for proposal process, DASNY shall maintain procedures governing the solicitation, evaluation and selection of consultants and contractors designed to ensure that the contract is awarded to a responsible contractor evidencing proven experience with projects of the scope, magnitude and complexity of the type that are the subject of the contract and that the contractor has the ability to perform all work required in a professional and timely manner. The written procedures shall require, among other things, that: (i) there be a written request for proposals setting forth the criteria and standards upon which the award is to be based; (ii) the notice for request for proposals be published in the manner required by law and in such other publications as are appropriate to ensure reasonable competition; (iii) the rationale for awarding the contract be documented and retained in the procurement file; and (iv) any competitive negotiations with a proposer be in the best interests of the procurement and documented in the procurement file.

(d) DASNY shall not let a construction contract under which the contractor is to provide both design and construction services ("design/build contract") unless: (i) this method of procurement is permitted under applicable law; and (ii) DASNY and its client concur in writing prior to the commencement of the procurement process that the use of a design/build contract is in the best interests of the project and in support of that determination, identify the specific benefits, such as schedule, financial, or project feasibility, expected to be gained from a design/build method of procurement. All design/build contracts shall be awarded pursuant to a documented request for proposal process.

(e) DASNY shall, in addition to the procedures required above, maintain written procedures for any other method of competitive procurement to be used by DASNY. These procedures shall, among other things: (i) identify the category of procurement to which the procedure relates; (ii) ensure reasonable competition given the cost and type of procurement; and (iii) require written documentation of the rationale for awarding the procurement.

(f) DASNY shall implement and maintain a process for reviewing inquiries from unsuccessful bidders and proposers. Such process shall ensure that unsuccessful bidders and proposers are treated in a fair and equitable manner.

3. Non-Competitive Procurement Methods

(a) DASNY, subject to the provisions of paragraph b of this Section, shall not be required to use a competitive method of procurement in the following instances:

(i) Selection By Client. DASNY may enter into contracts with contractors selected by clients of DASNY in appropriate instances where the client has provided adequate written rationale justifying the selection.

(ii) Emergency Contract. In the case of an emergency arising out of an accident or other unforeseen occurrence or condition whereby circumstances affecting property or other interests of DASNY or life, health or safety require immediate action, the President or his or her designee may authorize the award of a contract on other than a competitive basis. For each such procurement, there shall be a written record setting forth the basis for concluding that there was an emergency and the methods used to identify the selected contractor.

(iii) Discretionary Purchases. The Authority may purchase commodities or services from small business concerns or Minority or Women-Owned Business Enterprises, or commodities or technology that are recycled or remanufactured, in an amount not exceeding two hundred thousand dollars without a formal competitive process.

(iv) Preferred Sources. Goods or materials may be obtained from any provider, such as the Department of Correctional Services Industries Program and qualified charitable agencies for the blind, which, by law, are exempt from competitive bidding or similar requirements.

(v) Single Source. The President or his or her designee may authorize the award of a contract on other than a competitive basis, even though two or more firms can supply the required service, good, or material, provided that DASNY determines that either: (a) one particular firm has unique knowledge or expertise with respect to the required service, good or material rendering the use of competitive procedures impractical; or (b) other material or substantial reasons exist for awarding the contract on other than a competitive basis. For each such procurement, there shall be a written record setting forth

the basis for concluding that a single source procurement was in the best interests of the project and the methods used to identify the selected contractor.

(vi) Sole Source. When there is only one source for a required service, good or material, the President or his or her designee may the authorize the award of a contract for that service, good or material on other than a competitive basis.

(vii) Miscellaneous Exempt Contracts. Other types of goods or services for which a competitive procurement process is impractical may be awarded on other than a competitive basis. Such exempt contacts may include: tuition and other expenses incurred for employee training; services provided by monopolies, utilities and hotels; transportation services; contracts for computer or telephone hardware, software or services where current DASNY license or use restrictions render competition impractical; and contracts for the completion of existing contracts that have been the subject of contractor default, termination or the exercise of owner's right to do work. Miscellaneous Exempt Contracts may be awarded without the approval of the President

(b) All procurements made pursuant to this Section 3 shall be done in accordance with law and in furtherance of the purposes enumerated in Section 1 of this Policy. In addition, even if procurements need not be made on a competitive basis under this Policy, it is still the policy of DASNY to seek competition to the maximum extent practicable under the circumstances.

4. Procurement Guidelines.

In furtherance of this Policy and section 2879 of the Public Authorities Law, the Board of DASNY hereby adopts the attached Procurement Guidelines. The Members of DASNY shall annually review this Policy and the attached Guidelines, together with the procurement report required by section 2879.

June 26, 2013

**DORMITORY AUTHORITY
STATE OF NEW YORK**

PROCUREMENT CONTRACT GUIDELINES

AMENDED 6/27/01
9/22/04
9/27/06
9/22/10
6/22/11
6/26/13

**DORMITORY AUTHORITY OF THE STATE NEW YORK
PROCUREMENT CONTRACT GUIDELINES**

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Section 1. STATEMENT OF PURPOSE

These Guidelines are adopted pursuant to the provisions of the Act (as defined below), Section 2879 of the Public Authorities Law, as amended, and the Procurement Policy of the Dormitory Authority of the State of New York (“DASNY”). These Guidelines are designed to ensure the wise and prudent use of the resources of DASNY, its Clients and taxpayers; to guard against favoritism, extravagance, fraud and corruption; and, to facilitate the efficient and timely acquisition of goods and services for DASNY and its Clients. To help ensure that the purposes of these Guidelines are met and that there is compliance with applicable law and procedures governing procurements by DASNY, each operating division within DASNY shall involve the Procurement Unit within the Division of Construction in all purchases of goods and services by DASNY.

Section 2. DEFINITION OF TERMS

Definitions. The following terms shall, for purposes of these Guidelines, have the following meanings unless the context shall clearly indicate otherwise:

- (1) “Act” shall mean the Dormitory Authority Act.
- (2) “Alternative Project Delivery System” shall mean any project delivery system, including (but not limited to) Construction Manager at Risk and Design/ Build, pursuant to which one or more contracts for the provision of design or construction services are awarded pursuant to an open, objective and competitive method of procurement.
- (3) “Authority” shall mean the Dormitory Authority of the State New York.
- (4) “Client” shall mean those entities for which DASNY is authorized to perform work under the Act or by other statute.
- (5) “Goods” shall mean tangible goods, materials, supplies, products or other standard articles of commerce other than technology and printing. For purposes of these Procurement Guidelines, the term “goods” shall also include “commodities” as defined elsewhere in applicable law and/or DASNY policies and procedures.
- (6) “Construction Manager At Risk” shall mean a method of procurement whereby: (i) a construction manager serves as part of a team in conjunction with the owner in the design phase of the project; (ii) during the construction phase, acts as general contractor for agreed upon compensation as set forth in the construction manager at risk agreement; and (iii) assumes the risk of construction costs exceeding an amount specified in the Construction Manager at Risk agreement.
- (7) “Counsel” shall mean an attorney in the regular employment of DASNY.
- (8) “Competitive Basis” shall mean any of the Source Selection Methods enumerated in Paragraph B of Section 3 of these Guidelines.

- (9) “Completion Contract” shall mean contracts for the completion of existing contracts that have been the subject of contractor default, termination or the exercise of owner’s right to do work.
- (10) “Critical Contracts” shall mean Procurement Contracts which must be awarded within a specific time period because delay of award would have a serious adverse effect on DASNY that outweighs the benefits of advertising or reporting in the Contract Reporter as determined by the President or his or her designee.
- (11) “Design/Build” shall mean a Procurement Contract under which the contractor is to provide both design and construction services under a single contract but further requires that any professional services regulated by articles one hundred forty-five, one hundred forty-seven and one-hundred forty eight of the education law be performed by a professional licensed in accordance with such articles.
- (12) “Diversity Practices” shall mean the contractor’s practices and policies with respect to:
- a. utilizing certified Minority and Women-Owned Business Enterprises as subcontractors and suppliers on contracts awarded by DASNY to the contractor; and
 - b. entering into partnerships, joint ventures or other similar arrangements with certified Minority and Women-Owned Business Enterprises.
- (13) “Exempt Contracts” shall mean: (i) any written agreement for goods or services for which a procurement process is impractical, including but not limited to, tuition and other expenses incurred for employee training; services provided by monopolies, utilities and hotels; transportation services; contracts for computer or telephone hardware, software or services where current Authority license or use restrictions render competition impractical; and (ii) Completion Contracts-.
- (14) “Foreign Business Enterprise” shall mean a business enterprise, including a sole proprietorship, partnership or corporation, which offers for sale, lease or other form of exchange, goods sought by DASNY and which are substantially produced outside New York State, or services sought by DASNY and which are substantially performed outside New York State. For purposes of construction services, a Foreign Business Enterprise shall mean a business enterprise, including a sole proprietorship, partnership or corporation, which has its principal place of business outside New York State.

- (15) “Minority-Owned Business Enterprise” shall have the same meaning ascribed thereto by Article 15-A of the Executive Law and shall include any business enterprise, including a sole proprietorship, partnership or corporation that is:
- a. at least fifty-one percent owned by one or more of the following minority groups: Blacks, Hispanics, Asians, Pacific Islanders or Native Americans, or, in the case of a publicly-owned business, at least fifty-one percent of the common stock or other voting interests of which is owned by Blacks, Hispanics, Asians, Pacific Islanders or Native Americans;
 - b. an enterprise in which the minority ownership is real, substantial and continuing;
 - c. an enterprise in which the minority ownership has, and exercises, the Authority to control independently the day-to-day business decisions;
 - d. an enterprise authorized to do business in New York State, independently owned and operated, and not dominant in its field;
 - e. an enterprise owned by an individual or individuals, whose ownership, control and operation are relied upon for certification, with a personal net worth that does not exceed three million five hundred thousand dollars, as adjusted annually on the first of January for inflation according to the consumer price index of the previous year; and
 - f. an enterprise that is a Small Business.
- (16) “New York State Business Enterprise” shall mean a business enterprise, including a sole proprietorship, partnership, or corporation, which offers for sale or lease or other form of exchange, goods which are sought by DASNY and which are substantially manufactured, produced or assembled in New York State, or services which are sought by DASNY and which are substantially performed within New York State. For purposes of construction services, a New York State Business Enterprise shall mean a business enterprise, including a sole proprietorship, partnership or corporation that has its principal place of business in New York State.
- (17) “New York Resident” shall mean a natural person who maintains a fixed, permanent and principal home located within New York State and to which such person, whenever temporarily located, always intends to return.
- (18) “Officer” shall mean any person so defined in the By-Laws of DASNY.
- (19) “Procurement Contract” shall mean any written agreement to which DASNY is a party for the acquisition of goods or services of any kind in the actual or estimated amount of five thousand dollars (\$5,000) or more.

- (20) “Procurement Contractor” shall mean any contractor, consultant or vendor who enters into a Procurement Contract with DASNY.
- (21) “Procurement Contracts for Goods” shall mean any written agreement entered into by DASNY primarily for the acquisition of goods in the actual or estimated amount of five thousand dollars (\$5,000) or more unless the goods are procured as part of a Procurement Contract for Non-Professional Services.
- (22) “Procurement Contracts for Non-Professional Services” shall mean any written agreement entered into by DASNY for the acquisition of services (other than Professional Services) and related goods, including construction contracts and Alternative Project Delivery System contracts, in the actual or estimated amount of five thousand dollars (\$5,000) or more.
- (23) “Procurement Contract for Professional Services” shall mean any written agreement for services involving the exercise of discretion, knowledge or expertise that are performed for fee, commission or other compensation by persons or organizations not providing such services in their capacities as officers or employees of DASNY or of any state agency or public corporation in the actual or estimated amount of five thousand dollars (\$5,000) or more. Professional Services include, but are not limited to, management consulting, investment banking, financial services, insurance, planning, training, statistical, research, public relations, architectural, engineering, surveying or any other services of a consulting, technical or professional nature. Professional Services shall not include any contract for the retention of outside counsel or independent auditors, which are procured separately and shall remain subject to independent approval of the DASNY Board of Directors in accordance with the DASNY By-Laws. Professional Services that may be required by DASNY include without limitation:
- a. Intentionally deleted.
 - b. Appraisal - independent appraisals of properties or art work.
 - c. Art Work - provide selected art objects for display to enhance the aesthetic appearance of Authority projects.
 - d. Construction and Design Consulting.
 - i. Architectural - professional architectural services related to the design and construction of Authority and Client-owned facilities.
 - ii. Construction Management - management services on certain designated Authority projects including on-site inspections and overall coordination of all prime construction contracts.
 - iii. Controlled Inspection - professional engineering services to assure

conformance to certain requirements of New York State and City Building Code.

- iv. Engineering - professional engineering services related to the design and construction of Authority and Client-owned facilities.
- v. Scheduling - construction Critical Path Method (CPM) scheduling services or other scheduling services as may be required.
- vi. Surveying - surveys of Authority and Client-owned, or to be acquired, properties to establish boundaries, utility locations, etc.
- vii. Test Boring - test borings services for the purpose of analyzing sub-surface soil conditions on Authority construction sites.
- viii. Testing - technical testing services on various construction projects to assure adherence to quality of materials and design intent.
- e. Credit Facility - municipal bond insurance, letters of credit, surety bonds or other contracts that secure the repayment of obligations issued by DASNY, constitute part of a debt service reserve fund or provide liquidity for obligations rendered for purchase or redemption.
- f. Custody and Safekeeping Services - custody and safekeeping services to secure Authority investments and receive and evaluate underlying collateral.
- g. Data Processing Services - including report generating and printing services for DASNY which are not programmed to be run on site, programming services as may be required and systems maintenance and support.
- h. Equipment Maintenance - maintenance for the routine service and repair of office, data processing or other equipment.
- i. Financial Advice - independent advice with regard to Authority investments and the structure of Authority debt instruments.
- j. Legal - bond and other outside counsel retained by DASNY.
- k. Investment Banking - purchase of Authority bonds, including assistance in the preparation, marketing, distribution and sale of Authority debt issues.
- l. Management Consulting - analysis and recommendations concerning DASNY's structure and operation, including but not limited to, data processing and telecommunications services and training.
- m. Minority Business Enterprise Consultants - technical assistance services to Minority Owned Business Enterprises to facilitate their participation in

DASNY's construction program

- n. Mortgage Servicing - services involving the collection of monthly mortgage payments on insured mortgages, maintaining escrow accounts, transmittal of mortgage insurance premiums to the insurer, submission of advances for insurance, filing of notices, and in the event of default, processing claims for benefits to the insurer.
 - o. Trustee Banking Services - banking services to monitor the timely receipt of debt service payments, compliance with reserve requirements, retirement of debt, collateral evaluations, and other services as required by the various bond resolutions.
 - p. Training - supervisory and special skills training to Authority employees.
 - q. Typographical/Printing – financial printing services based upon specifications and detail developed by DASNY; technical printing services relative to the reproduction of construction documents for the purpose of securing completion; graphic, layout, and printing services in connection with production of DASNY's annual report and any special reports that may be required.
 - r. Such other Professional Services DASNY determines to be necessary pursuant to paragraph A of Section 5 hereunder.
- (24) "Procurement Policy" shall mean the Procurement Policy of DASNY that was developed by the Board of DASNY pursuant to which these Guidelines were adopted.
- (25) "Procurement Record" shall mean: (i) the documentation of the deliberative process by which a Procurement Contractor is selected and the approach taken in the procurement process; and (ii) the documentation pertaining to each contract amendment to any Procurement Contract.
- (26) "Re-bid Contracts" means contract opportunities being re-bid or re-solicited within forty-five business days after bids or proposals were originally due pursuant to publication in the Contract Reporter.
- (27) "Small Business" shall have the same meaning ascribed thereto by Article 15-A of the Executive Law and shall include a business which has a significant business presence in the State of New York, is independently owned and operated, not dominant in its field and employs, based on its industry, a certain number of persons as determined by the Director of the Division of Minority and Women's Business Development, but not to exceed three hundred, taking into consideration factors which include, but are not limited to, Federal small business administration standards pursuant to 13 CFR part 121 and any amendments thereto.
- (28) "Source Selection Method" shall mean any method of procurement set forth in

Paragraphs B and C of Section 3 of these Guidelines.

- (29) “The 2010 Disparity Study” shall refer to the disparity study conducted by the Director of the Division of Minority and Women-Owned Business Development regarding the participation of Minority and Women-Owned Business Enterprises in the state contracting marketplace.
- (30) “Women-Owned Business Enterprise” shall have the same meaning ascribed thereto by Article 15-A of the Executive Law and shall include any business enterprise, including a sole proprietorship, partnership or corporation that is:
- a. at least fifty-one percent owned by one or more United States citizens or permanent resident aliens who are women, or, in the case of a publicly-owned business, at least fifty-one percent of the common stock or other voting interests of which is owned by United States citizens or permanent resident aliens who are women;
 - b. an enterprise in which the women ownership is real, substantial and continuing;
 - c. an enterprise in which the women ownership has, and exercises, the authority to control independently day-to-day business decisions;
 - d. an enterprise authorized to do business in New York State, independently owned and operated, and not dominant in its field;
 - e. an enterprise owned by an individual or individuals, whose ownership, control and operation are relied upon for certification, with a personal net worth that does not exceed three million five hundred thousand dollars, as adjusted annually on the first of January for inflation according to the consumer price index of the previous year; and
 - f. an enterprise that is a Small Business.

Section 3. SOURCE SELECTION METHODS

- A.
1. All Procurement Contracts shall be awarded using a Competitive Basis unless these Guidelines, the Procurement Policy and any applicable provisions of law permit the use of another Source Selection Method. Such contracts shall be awarded: (i) in accordance with the Act, other applicable provisions of law, the Procurement Policy, these Guidelines and other applicable DASNY procedures, including without limitation any procedure relating to the solicitation of quotes from qualified vendors; (ii) on terms most favorable to DASNY and its Clients; and (iii) be supported by a written Procurement Record.
 2. Prior to using any other Source Selection Method, DASNY must, in accordance with the requirements of section 162 of the State Finance Law

insofar as it applies to public authorities, first ascertain whether the goods or services are required to be obtained from a Preferred Source as provided in Section 3.C.6 of these Guidelines.

B. SOURCE SELECTION METHODS (COMPETITIVE BASIS): Subject to the requirements of these Guidelines, Procurement Contractors may be selected using one of the following competitive Source Selection Methods:

1. Centralized Contracts. Services, goods or materials may be obtained through contracts or other arrangements of the State of New York (e.g., New York State Office of General Services) or the United States General Services Administration or contracts or other arrangements of any health care, educational institution or local governmental Clients provided that the procurement complies with applicable procurement laws, regulations and guidelines for the use of such contracts.
2. Comparative Pricing. Solicitation of qualified potential contractors and analysis of the cost of each good, material or service required.
3. Competitive Bid. Solicitation of price bids by advertising for specified services (other than Professional Services), goods, materials, to be awarded to the lowest responsible bidder after the submission of a responsive bid.
4. Competitive Negotiations. Solicitation of qualified potential contractors who have submitted materials for a Procurement Contract not subject to Competitive Bid, which permits further negotiation of their proposals, including prices for contract award, on the basis of a formal evaluation of the characteristics, quality and cost of such proposals.
5. Mental Hygiene Projects Under \$40,000. DASNY may award Procurement Contracts valued below forty thousand dollars (\$40,000) utilizing Comparative Pricing for authorized Mental Hygiene projects.
6. Job Order Contracts. DASNY may award Procurement Contracts or work orders to Procurement Contractors who have been selected, pursuant to a Competitive Basis, to participate in the Job Order Contract program. A Job Order Contract consultant shall be selected to develop contract documents necessary to procure Job Order Contractors, and to implement execution procedures and training.
7. Requests for Proposals. Solicitation of specific proposals to determine the proposer's understanding of identified financial, organizational, logistical and technical requirements and/or problems and detailing elements of performance including techniques and procedures as well as prices. Award of a Procurement Contract using this method is made on the basis of a formal evaluation of the qualifications of the proposers and the

characteristics, quality and cost of such proposals.

8. Requests for Qualifications. A solicitation used in connection with any Procurement Contract to be awarded on a Competitive Basis for which DASNY is authorized by law to establish a pre-qualified list of Procurement Contractors in order to retain a qualified pool of Procurement Contractors.
9. Term Contracts. Procurement Contracts entered into by DASNY on a Competitive Basis for a specific period of time for either (i) Professional Services or (ii) other than Professional Services.

C. SOURCE SELECTION METHODS (NON-COMPETITIVE BASIS):

Subject to the requirements of these Guidelines, Procurement Contractors may be selected using one of the following non-competitive Source Selection Methods:

1. Assignment. DASNY may acquire contracts through assignment from Clients or their legal subsidiaries. The assignor shall certify that such contracts were awarded pursuant to applicable procurement laws, regulations and guidelines and, if required, that a competitive process was employed.
2. Completion Contract. DASNY may enter into contracts for the completion of existing contracts that have been the subject of contractor default, termination or the exercise of owner's right to do work. Except as otherwise required by any law or regulation, DASNY shall seek competition to the extent required by procedures adopted by DASNY.
3. Designation. DASNY may enter into Procurement Contracts with Procurement Contractors that are designated by Clients or their legal subsidiaries, provided that such designation is not inconsistent with any legal requirement applicable to DASNY. The designator, in the case of public clients, shall certify that such Procurement Contractors were selected pursuant to applicable procurement laws, regulations and guidelines and, if required, that a competitive process was employed.
4. Emergency Contract. In the case of an emergency arising out of an accident or other unforeseen occurrence or condition whereby circumstances affecting property or other interests of DASNY or life, health or safety require immediate action, the President or an Officer designee may award a Procurement Contract without the use of a Competitive Basis. A record setting forth the circumstances under which such contract was let shall be maintained with the contract.
5. Certain Discretionary Purchases: Public Authorities Law §2879[3][b][i] authorizes the Board of Directors to define the circumstances under which DASNY may facilitate (a) the purchase of goods or services from Small

Businesses or certified Minority or Women-Owned Business Enterprises, and (b) goods or technology that are recycled or remanufactured, in an amount not exceeding two hundred thousand dollars without a formal competitive process. In accordance with this authority, DASNY shall establish a Discretionary Purchase Procedure setting forth the parameters under which procurements for such goods or services may be made. Such procedure shall at a minimum contain provisions relating to: the development and maintenance of a list of qualified Small Business and certified Minority of Women-Owned Business Enterprises; the provision of notice and compliance with State publication requirements; and the duty to maintain a written Procurement Record in accordance with these Guidelines. Such Discretionary Purchases shall not exceed two hundred thousand dollars and shall comply with applicable law (including section 162 of the State Finance Law insofar as it applies to public authorities).

6. Preferred Sources. Goods or services may be available from any provider who has been afforded Preferred Source status in accordance with section 162 of the State Finance Law, including the Department of Correctional Services Industries Program and qualified charitable agencies for the blind. If a Preferred Source has goods or services available in the form, function and utility consistent with the needs of DASNY, such goods or services shall be procured in accordance with the requirements of State Finance Law section 162. If a good or service is not available in form, function and utility consistent with the needs of DASNY from a Preferred Source, DASNY may then exercise its authority to utilize any of the Source Selection Methods set forth in these Guidelines.
7. Single Source. When DASNY determines, and the President approves in writing, that a Procurement Contract may be awarded on other than a Competitive Basis because, even though two or more firms can supply the required goods or services, one particular firm has unique knowledge or expertise with respect to the required goods or services rendering the use of a Competitive Basis method of selection impractical or other material or substantial reasons exist for awarding the Procurement Contract on other than a Competitive Basis.
8. Sole Source. When DASNY determines, and the President approves in writing, that there is only one source for required goods or services, a Procurement Contract for that good or service may be awarded on other than a Competitive Basis. In addition, Exempt Contracts, other than Completion Contracts, may also be procured on a Sole Source basis without the approval of the President.

Section 4. PUBLICATION REQUIREMENTS FOR SOURCE SELECTION METHODS

The following publication requirements shall apply to Procurement Contracts:

- A. Methods of obtaining Bids and Proposals. The solicitation of bids, proposals or submissions of qualification data or offers for Procurement Contracts shall be made by DASNY in a manner determined by DASNY to be the most cost effective method of providing reasonable competition. For Procurement Contracts that must be awarded on a Competitive Basis, the requirements may include advertisement in appropriate newspapers or trade journals and may also include direct mailings to firms considered qualified and such other outreach mechanisms as are consistent with the policy of these Guidelines, including the Minority and Women-Owned Business Enterprise provisions herein.
- B. Procurement Opportunities Newsletter – Contracts Under \$50,000. In the case of Procurement Contracts having a value greater than five thousand dollars (\$5,000) but less than fifty thousand dollars (\$50,000), DASNY will provide a quarterly listing of such Procurement Contracts for publication in the Procurement Opportunities Newsletter (“Contract Reporter”) published by the New York State Department of Economic Development to the extent permitted or required by law.
- C. Procurement Opportunities Newsletter – Contracts \$50,000 or More. In the case of Procurement Contracts in the actual or estimated amount of fifty thousand dollars (\$50,000) or more, the Authority will place a notice of all such opportunities in the Contract Reporter.
- D. Publication on Authority’s Website - All competitive Procurement Contracts should be made available on DASNY’s website concurrent with or after publication in the NYS Contract Reporter.
- E. Exemptions from Publication Requirement - The requirements in Paragraphs B, C and D above relative to publications in the Contract Reporter and on DASNY’s website shall not apply to Procurement Contracts awarded pursuant to the following method
1. Assignment;
 2. Centralized Contracts;
 3. Critical Contracts;
 4. Designation;
 5. Emergency Contracts;
 6. Exempt Contracts;
 7. Preferred Sources;
 8. Re-bid Contracts;
 9. Single Source; or,
 10. Sole Source.
- F. Post-Award Publication Requirements- Whenever a Procurement Contract is let or awarded as a Critical Contract, Emergency Contract, Single Source or Sole Source and a notice has not been published in the Contract Reporter prior to the award or letting of the contract as permitted by Paragraph E above, DASNY shall,

after the award or letting of the Procurement Contract, publish a notice of such award or letting stating the basis for not publishing advance notice of the procurement.

Section 5. REQUIREMENTS REGARDING THE SELECTION OF CERTAIN PROCUREMENT CONTRACTORS

A. Procurement Contracts for Professional Services. DASNY shall award Procurement Contracts for Professional Services on a Competitive Basis unless the Procurement Contract is an Exempt Contract or is awarded using any of the non-competitive Source Selection Methods. Before entering into a Procurement Contract for Professional Services, DASNY should consider whether the contemplated services are best provided by Authority staff or external consultants. Capacity, expertise and cost shall be considered in making the determination. In addition, DASNY shall assure that the award of such contract is made to a Procurement Contractor evidencing proven experience with projects of the scope, magnitude and complexity that are the subject of the contract and that such contractor has the ability to perform all work required in a professional and timely manner. DASNY shall also consider factors such as financial responsibility, reliability, skill, past performance on Authority projects, judgment and integrity. As part of the competitive process, DASNY shall:

1. solicit proposals from several firms known to be qualified in the area of the service to be provided;
2. evaluate those proposals received on the basis of the proposers' qualifications and other appropriate factors recited in the Request for Proposals. Contract price and fee may be the subject of Competitive Negotiation;
3. establish a Procurement Record;
4. in the procurement of architectural, engineering, construction management and surveying services with respect to Authority projects, DASNY may request such professional firms to submit statements of their qualifications (RFQ's) and performance data and rank each professional firm submitting such statements and performance data on the basis of its demonstrated competence and qualifications for the type of professional services rendered. DASNY may utilize Competitive Negotiations for architectural, engineering construction management and surveying services with the highest qualified professional firm at a fee determined by DASNY to be fair and reasonable;
5. DASNY shall not refuse to negotiate with an architecture, engineering or surveying firm solely because the ratio of the "allowable indirect costs" to direct labor costs of such professional firm or the hourly labor rate in any labor category of such professional firm exceeds a limitation generally set

by DASNY in the determination of the reasonableness of the estimated cost of services to be rendered by such professional firm, but rather DASNY should also consider the reasonableness of cost based on the total estimated cost of the service of such professional firm which should include, among other things, all the direct labor costs of such professional firm for such services plus all “allowable indirect costs,” other direct costs, and negotiated profit of such professional firm. “Allowable indirect costs” of such a professional firm are defined as those costs generally associated with overhead which cannot be specifically identified with a single project or contract and are considered reasonable and allowable under specific State contract or allowable limits;

6. maintain written procedures to be used in connection with Requests for Proposals and Competitive Negotiations that are consistent with these Guidelines and that contain provisions relating to the solicitation, evaluation and selection of service contractors to which Procurement Contracts for Professional Services are to be awarded. These procedures shall also provide for, among other things, the promotion of Minority and Women-Owned Business Enterprises, New York State Business Enterprises, New York State Residents and Small Businesses and an assessment of the Diversity Practices of Procurement Contractors in accordance with the provisions of Article 15-A of the Executive Law and any regulations promulgated thereunder; and
7. request contractors to submit completed New York State Vendor Responsibility Questionnaires which shall be processed according to the procedures adopted by the Council of Contracting Agencies pursuant to Executive Order No. 125 in accordance with the procedures adopted by DASNY pursuant to these Guidelines. In addition, DASNY may request additional information from contractors deemed necessary to assist in making determinations to award Procurement Contracts.

B. Procurement Contracts for Non- Professional Services- Construction. The award of construction contracts by DASNY is authorized by legislation that may or may not require contracts to be awarded pursuant to Competitive Bids and the drawing of separate specifications for the major categories of work, i.e., plumbing, electrical and heating, ventilating, and air conditioning work. In those program areas where Competitive Bids are not required by statute, DASNY shall select its construction contractors using a Competitive Basis (including Competitive Bids) unless the Procurement Contract is an Exempt Contract or is made using any of the following Source Selection Methods: Assignment, Designation, Emergency Contract, Single Source or Sole Source. In addition, all Authority-held construction contracts must require compliance by contractors and subcontractors with the provisions of the Labor Law requiring the payment of prevailing wage rates.

Any method of procurement employed for any construction contract shall assure

that the work is done by qualified and experienced contractors at the lowest price to DASNY. If a contract is awarded on a Competitive Basis, DASNY shall assure that the award of such contract is made to a contractor evidencing proven experience with projects of the scope, magnitude and complexity of the facilities that are the subject of the contract and that such contractor has the ability to perform all work required in a professional and timely manner. In addition, DASNY shall consider factors such as financial and other responsibility, reliability, skill, past performance on Authority projects, judgment and integrity. Determinations of the responsibility of bidders shall be made consistent with the guidelines established by Executive Order No. 170.1.

For construction contracts of ten thousand dollars (\$10,000) or more, Procurement Contractors shall submit completed New York State Vendor Responsibility Questionnaires which shall be processed according to the procedures adopted by the Council of Contracting Agencies pursuant to Executive Order No. 125. In addition, DASNY may request additional information from Procurement Contractors deemed necessary to assist in making determinations to award Procurement Contracts.

DASNY shall maintain written procedures consistent with these Guidelines concerning the solicitation, evaluation and selection of Procurement Contractors to which Procurement Contracts for construction services are to be awarded. These procedures shall also provide for, among other things, the promotion of Minority and Women-Owned Business Enterprise, New York State Business Enterprises, New York State Residents and Small Businesses and an assessment of the Diversity Practices of Procurement Contractors in accordance with the provisions of Article 15-A of the Executive Law and any regulations promulgated thereunder.

All Alternative Project Delivery System contracts shall be awarded pursuant to a Request for Proposals Source Selection Method. Alternative Project Delivery System contracts shall not be utilized unless: (i) this method of procurement is permitted under applicable law; and (ii) DASNY and its client concur in writing prior to the commencement of the procurement process that the use of an Alternative Project Delivery System contract is in the best interests of the project and in support of that determination, identify the specific benefits, such as schedule, financial, or project feasibility, expected to be gained from an Alternative Project Delivery System method of procurement.

C. Procurement Contracts for Goods and Other Non-Professional Services. DASNY shall award Procurement Contracts for goods (including but not limited to, materials, fixtures, furnishings and equipment) and services (other than Professional Services and construction services) in the following manner:

1. Consult with the Client to establish a realistic furnishings and equipment budget based upon that Client's program.

2. Prepare, when required, Competitive Bid documents and comply with advertisement requirements or, if Competitive Bidding is not required by statute, utilize a Competitive Basis (including Competitive Bids) unless the Procurement Contract is an Exempt Contract or is made using one of the following Source Selection Methods: Assignment, Centralized Contracts, Designation, Emergency Contract, Preferred Source, Discretionary Purchases, Single Source or Sole Source.
 3. Evaluate Competitive Bids received or analyze Comparative Pricing costs and make recommendations to the client.
 4. Prepare contracts and/or purchase orders.
 5. Monitor vendors for quality control and timely deliveries.
 6. Assist the Client in the receipt and placing of furnishings and equipment.
 7. Verify the quantities received and the quality of the products in light of the specifications, and monitor the vendor invoices for timely payments.
 8. DASNY shall maintain written procedures that are consistent with these Guidelines concerning the solicitation, evaluation and selection of vendors and suppliers to which Procurement Contracts for goods and materials shall be awarded. These procedures shall provide for, among other things, the promotion of Minority and Women-Owned Business Enterprises, New York State Business Enterprises, New York State Residents and Small Businesses and an assessment of the Diversity Practices of Procurement Contractors in accordance with the requirements of Article 15-A of the Executive Law and any regulations promulgated thereunder.
- D. Review of Unsuccessful Bids (Debriefing Requirements). In the event that an unsuccessful bidder or proposer requests DASNY to review the award of a Procurement Contract to a bidder or proposer other than itself, DASNY shall afford the unsuccessful bidder or proposer the opportunity to review its bid or proposal with DASNY. In addition, DASNY shall provide the reasons to the unsuccessful bidder or proposer for not receiving the award of the Procurement Contract. DASNY shall ensure that in the process of reviewing the bid or proposal of an unsuccessful bidder or proposer that such bidder or proposer is treated in a fair and equitable manner.
- E. Promotion of Minority and Women-Owned Business Enterprises. It is the goal of DASNY to (a) promote and assist participation by Certified Minority and Women-Owned Business Enterprises in competition for Procurement Contracts and to (b) award a fair share of Procurement Contracts to Certified Minority and Women-Owned Business Enterprises. It is also DASNY's goal to award contracts to those contractors who have evidenced compliance with the laws of the State of New York prohibiting discrimination in employment. DASNY recognizes that

this goal may be achieved by awarding Procurement Contracts to those firms who have demonstrated that they do not discriminate in employment. Furthermore, if the performance of any contract permits or requires the use of a subcontractor, said contract shall require the contractor to act affirmatively to secure participation by Certified Minority and Women-Owned Business Enterprises in such subcontract and to report the nature and extent of such efforts to DASNY. All Procurement Contracts, where required, shall be in compliance with Article 15-A of the Executive Law.

1. In furtherance of the above, and to promote the use of Certified Minority and Women-Owned Enterprises in Procurement Contracts, DASNY shall:
 - i. establish appropriate goals for participation of Minority and Women-Owned Business Enterprises in Procurement Contracts and for the utilization of Minority and Women-Owned Business Enterprises as subcontractors and suppliers by entities having Procurement Contracts with DASNY in accordance with Article 15-A of the Executive Law and any regulations promulgated thereunder.
 - ii. solicit offers from Minority and Women-Owned Business Enterprises known to have experience in the area of the goods or service to be provided, regardless of the type of contract.
 - iii. provide notice of any procurement to appropriate professional organizations that serve Minority and Women-Owned Business Enterprises so that members of these organizations are apprised of potential opportunities to contract with DASNY.
 - iv. maintain a database of Minority and Women-owned Business Enterprises that have expressed interest in doing business with DASNY and ensure that such entities receive direct notice of any impending procurements.
 - v. consult any list maintained by any State agency or department known to DASNY, including the Department of Economic Development, which identifies Minority and Women-Owned Business Enterprises by area of expertise and shall contact appropriate Certified Minority and Women-Owned Business Enterprises listed therein to solicit their offers.
 - vi. designate appropriate staff to oversee DASNY's programs established to promote and assist: (i) participation by certified Minority or Women-owned Business Enterprises in DASNY's procurement opportunities and facilitation of the award of procurement contracts to such enterprises; (ii) the utilization of certified Minority and Women-Owned Business Enterprises as subcontractors and suppliers by entities having procurement contracts with DASNY; and (iii) the utilization of

partnerships, joint ventures or other similar arrangements between certified Minority and Women-Owned Business Enterprises and other entities having procurement contracts with DASNY. Such staff shall be familiar with the procurement of the types of construction, financial, legal or professional services utilized by DASNY, report directly to DASNY's President and either directly or through their designees participate in the procurement process.

- vii. Establish requirements to conduct procurements in a manner that will enable DASNY to achieve the maximum feasible portion of the goals established pursuant to subparagraph (i) of this subsection and that eliminates barriers to participation by Minority and Women-Owned Business Enterprises on Procurement Contracts. Such procedures shall, in accordance with the requirements of section 2879 of the Public Authorities Law, include, without limitation:
 - a. a requirement that each contract solicitation document accompanying each solicitation provide the expected degree of Minority and Women-Owned Business Enterprise participation;
 - b. provisions relating to joint ventures, under which a bidder may count toward meeting its Minority Business Enterprise Participation goal, the Minority and Women-Owned Business Enterprise portion of the joint venture;
 - c. provisions under which DASNY may waive obligations of the contractor relating to Minority and Women-Owned Business Enterprise participation after a showing of good faith efforts to comply with the requirements of these Guidelines and all applicable laws pursuant to the waiver provisions contained in Article 15-A of the Executive Law; and
 - d. verification that Minority and Women-Owned Business Enterprises listed in a successful bid are participating to the extent listed on the project for which the bid was submitted

2. In implementing the provisions of this Section E, DASNY shall:
 - a. Consider, where practicable, the severability of construction projects and other bundled contracts;
 - b. Implement a program that will enable it to evaluate each contract to determine the appropriateness of the established goal;
 - c. Consider compliance with the requirements of any federal law concerning opportunities for Minority and Women-Owned Business Enterprises which effectuates the purpose of this section; and

- d. Consult the most recent disparity study pursuant to Article 15-A of the Executive Law.

F. Promotion of New York State Business Enterprises and New York State Residents. It is the goal of DASNY to promote the participation of New York State Business Enterprises and New York State Residents in Procurement Contracts. Accordingly, the following procedures shall apply:

1. DASNY shall collect and consult the specifications of New York State Business Enterprises in developing specifications for any Procurement Contract for the purchase of goods where possible, practicable, feasible and consistent with open bidding. DASNY shall, where feasible, make use of the stock item specification forms prepared by the New York State Commissioner of General Services, and where necessary, consult with said Commissioner in developing such specifications and making such determinations.
2. DASNY shall, with the cooperation of the Department of Economic Development and through cooperative efforts with contractors, provide for the notification of New York State Business Enterprises of opportunities to participate as subcontractors and suppliers on Procurement Contracts let by DASNY in an amount estimated to be equal to or greater than one million dollars and promulgating procedures which will assure compliance by contractors with such notification as a condition of awarding bids. Such contractors shall, as supplementary materials to their bids, document their efforts to encourage the participation of New York State Business Enterprises as suppliers and subcontractors on Procurement Contracts equal to or greater than one million dollars; attest to compliance with the federal Equal Employment Opportunity Act of 1972 (P.L. 92-261) as amended; and document their efforts to provide notification to New York State Residents of employment opportunities through listing any such positions with the community service division of the New York State Department of Labor or providing for such notification in such manner as is consistent with existing collective bargaining contracts or agreements.
3. DASNY shall include in all bid documents provided to potential bidders a statement that information concerning the availability of New York State subcontractors and suppliers is available from the New York State Department of Economic Development; a statement notifying potential bidders located in foreign countries that DASNY may assign or otherwise transfer offset credits created by such Procurement Contracts to third parties located in New York State, and it is the policy of New York State to encourage the use of New York State subcontractors and suppliers, and to promote the participation of Certified Minority and Women Owned Business Enterprises where possible, in the procurement of goods and services.

4. DASNY, with the cooperation of the community service division of the New York State Department of Labor and through cooperative effort with contractors, shall provide for the notification of New York State Residents of employment opportunities arising in New York State out of Procurement Contracts let by DASNY in an amount estimated to be equal to or greater than one million dollars, and shall require contractors to submit post-award compliance reports documenting their efforts to provide such notification through listing any such positions with the community service division, or providing for such notification in such manner as is consistent with existing collective bargaining contracts or agreements.
 5. DASNY shall notify the New York State Commissioner of Economic Development of the award of a Procurement Contract for the purchase of goods from a Foreign Business Enterprise in an amount equal to or greater than one million dollars simultaneously with notifying the successful bidder therefore. DASNY shall not thereafter enter into a Procurement Contract for said goods until at least fifteen days has elapsed, except for Procurement Contracts awarded on an emergency or critical basis. The notification to the Commissioner of Economic Development shall include the name, address and telephone and facsimile number of the Foreign Business Enterprise, the amount of the proposed Procurement Contract and the name of the individual at the Foreign Business Enterprise or acting on behalf of the same who is principally responsible for the proposed Procurement Contract.
 6. DASNY shall comply with the provision of paragraphs (b), (c) and (d) of subdivision five of section 2879 of the Public Authorities Law in relation to dealing with discriminatory jurisdictions as such term is defined therein.
- G. Approval and Annual Review of Certain Contracts. DASNY may enter into Procurement Contracts for Professional Services for periods of longer than one year in accordance with these Guidelines provided such contracts are presented to the Board of DASNY for approval and review annually as part of the approval of the Annual Report on Procurement Contracts. Such Procurement Contracts may also be terminated by the Board of DASNY.
- H. Contracts Requiring Approval of the Board of DASNY. All Procurement Contracts for the general corporate purposes of DASNY, other than Exempt Contracts, that are in excess of three hundred thousand dollars (\$300,000.00), or are for the retention of outside legal counsel or independent auditors must be approved by the Board of DASNY.
- I. Requirement that Procurement Contracts be in Writing. All Procurement Contracts shall be in writing, and except as provided in the DASNY By-Laws or separate Board resolution, approved and executed by an authorized officer of DASNY.

- J. Limitations on Contracts with Former Officers and Employees. DASNY shall not enter into a Procurement Contract with a former officer or employee of DASNY or any entity in which such officer or employee has an interest (including a position of employment with such entity) unless there has been compliance with these Guidelines and the applicable provisions of the Public Officers Law.

Section 6. STANDARD PROVISIONS FOR PROCUREMENT CONTRACTS

- A. Procurement Contracts for Professional Services. Procurement Contracts for Professional Services shall detail the scope of services to be performed and the time frame for performance, the monitoring or reviewing of that performance by Authority personnel and, where appropriate, any permitted use of Authority supplies, facilities or personnel. Such contracts shall also state the compensation for the services, the schedule of payment, the pre-conditions for receiving payment from DASNY, procedures for termination of the contract and any other provisions deemed necessary or appropriate for each particular Contract. In addition, if performance of a particular Procurement Contract for Professional Services will require the use of subcontractors, the contract shall require the contractor to act affirmatively to secure such participation by Certified Minority and Women-Owned Business Enterprises and to report such efforts to DASNY.
- B. Requirements for All Procurement Contracts: All Procurement Contracts shall generally include, without limitation, the following provisions:
1. Scope of Services;
 2. Contract Price or Fee Structure;
 3. Method of Monitoring Work Performed;
 4. Use of Authority Supplies and Facilities;
 5. Use of Authority Personnel;
 6. Method or Basis of Payment;
 7. Compliance with Laws, Rules and Regulations;
 8. Contract Deemed Executory;
 9. Termination of Agreement for Cause and for Convenience;
 10. Suspension or Alteration of Agreement;
 11. Death of Consultant, if such consultant is an individual or partnership;
 12. Laws of New York State Apply;
 13. Creation of Independent Contractor Relationship;
 14. Provisions Required by Law Deemed Inserted;
 15. Certification of Non-Segregated Facilities and Non-Discrimination in Employment in Northern Ireland, compliance with Federal Equal Employment Opportunity Act, Commitment to New York State Business Enterprises and Non Collusive Bidding Requirement;
 16. Indemnity and Insurance;
 17. Severability;
 18. Promotion of Certified Minority and Women-Owned Business Enterprises; and

19. Promotion of New York State Business Enterprises, New York State Residents and Small Businesses.
 20. Integrity Certification.
- C. Compliance with Procedures of the Procurement Unit. All Procurement Contracts shall be awarded in compliance with procedures adopted by the Procurement Unit within the Division of Construction for the applicable type of Procurement Contract.
- D. Compliance with Title 4 of Article 9 of Public Authorities Law. DASNY shall comply with all provisions of Title 4 of Article 9 of the Public Authorities Law as they relate to Procurement Contracts of DASNY; provided however, that DASNY shall not be required to comply with the provisions of subdivision one of section 2603-a of that statute (relating to the use of domestic steel) if the Managing Director of Construction has advised the Board of DASNY that the criteria contained in subdivision two of that section is satisfied. Under Public Authorities Law §2879-a and the regulations promulgated thereunder, Procurement Contracts in excess of one million dollars that either: (i) are to be paid for in whole or in part from moneys appropriated by the State to DASNY for the purpose of paying such contractual expenditure; or (ii) are not to be awarded after a competitive process (regardless of funding source) are subject to approval by the Office of the State Comptroller (“OSC”) if OSC has notified DASNY in writing that any such contract or category of contracts requires the OSC’s approval. Upon the receipt of any such notice from OSC, DASNY shall include appropriate language in the affected Procurement Contract stating that the OSC’s approval is required for the Procurement Contract to be effective and valid and enforceable against the parties thereto.
- E. Compliance with State Finance Law §§ 139-j and 139-k (the Procurement Lobbying Law). In order to comply with the Procurement Lobbying Law, DASNY has established Policy and Procedures pertaining to contacts regarding Procurement Contracts. Consistent with the Procurement Lobbying Law and DASNY’s Policies and Procedures, DASNY shall designate one or more persons who may be contacted by Procurement Contractors regarding a given procurement and shall require disclosure from Procurement Contractors of persons or organizations designated, retained or employed to attempt to influence the procurement process. DASNY shall incorporate a summary of the Policy and Procedures in all solicitation of proposals, bid documents or specifications. Procurement Contracts must contain a certification by the Procurement Contractor that all information provided to DASNY with respect to the Procurement Lobbying Law is complete, true and accurate, and a provision authorizing termination of the Procurement Contract where it is found that the Procurement Contractor intentionally violated the Procurement Lobbying Law. DASNY shall consider as part of its determination of responsibility of a Procurement Contractor whether there has been past or present non-compliance with the Procurement Lobbying Law.

Section 7. REPORT ON PROCUREMENT CONTRACTS

- A. Annual Report. Following each fiscal year, the Board of DASNY shall approve an annual report on Procurement Contracts as required by subdivision 7 of section 2879 of the Public Authorities Law. Such annual report will include: (i) these Guidelines and any amendments hereto; (ii) an explanation of these Guidelines and any amendments hereof; (iii) a list of the year's Procurement Contracts; (iv) a list of the aforesaid contracts entered into with New York State Business Enterprises (as defined in Public Authorities Law, §2879(3)(i)), and the subject matter and value thereof; (v) a list of the aforesaid contracts entered into with Foreign Business Enterprises and the subject matter and value thereof; (vi) a list of contracts exempted from reporting in the Contract Reporter and the basis for each exemption; and, (vii) the total fees, commissions or other compensations, by payee, paid for Professional Services. The percent of contracts awarded, and total compensation paid, to Minority and Women-Owned Business Enterprises as well as all referrals made and penalties imposed pursuant to Executive Law § 316 shall be reported in accordance with the provisions of Article 15-A of the Executive Law and need not be included in the Annual Report required by these Guidelines.
- B. Submission of Annual Report. The annual report on Procurement Contracts, after being approved by the Board of DASNY, shall be submitted to the Division of the Budget with copies to the Department of Audit and Control, the Department of Economic Development, the Senate Finance Committee and the Assembly Ways and Means Committee.
- C. Availability to Public. Copies of the annual report on Procurement Contracts shall also be available to the public upon reasonable request at DASNY's main office.
- D. Article 15-A Reports. Reports made pursuant to Article 15-A of the Executive Law, with respect to activities undertaken by DASNY to promote and increase participation by certified Minority and Women Business Enterprise Procurement Contractors, shall also include, without limitation, DASNY's annual goals for contracts with Minority-owned and Women-owned Business Enterprises; the number of actual contracts issued to Minority-owned and Women-owned Business Enterprises; and a summary of all waivers of the requirements of subdivisions 6 and 7 of section 313 of Article 15-A of the Executive Law allowed by DASNY during the preceding year, including a description of the basis of the waiver request and the rationale for granting such waiver. DASNY shall also include in such annual report whether or not it has been required to prepare a remedial plan, and, if so, the plan and the extent to which DASNY has complied with each element of the plan.

Section 8. MISCELLANEOUS PROVISIONS

- A. Amendment of Guidelines. Any modification or amendment of these Guidelines may be made by a Supplemental Resolution adopted at any duly constituted meeting of the Board of DASNY; provided, however, that no such modification or amendment shall abrogate the rights and duties of existing Authority contracts, the terms of which were established pursuant to these Guidelines.

- B. No Recourse Under these Guidelines. No provision contained solely in these Guidelines shall be the basis for any claim based on these Guidelines against any member, officer or employee of DASNY or DASNY itself.

- C. Effect of Noncompliance of Guidelines. Nothing contained in these Guidelines shall be deemed to alter, affect the validity of, modify the terms of or impair any contract or agreement made or entered into in violation of, or without compliance with, the provisions of these Guidelines.

June 26, 2013